



# Random aliovalent cations induce synergistic bonding for high thermoelectric performance in $\text{NaSn}_2\text{SbSe}_4$

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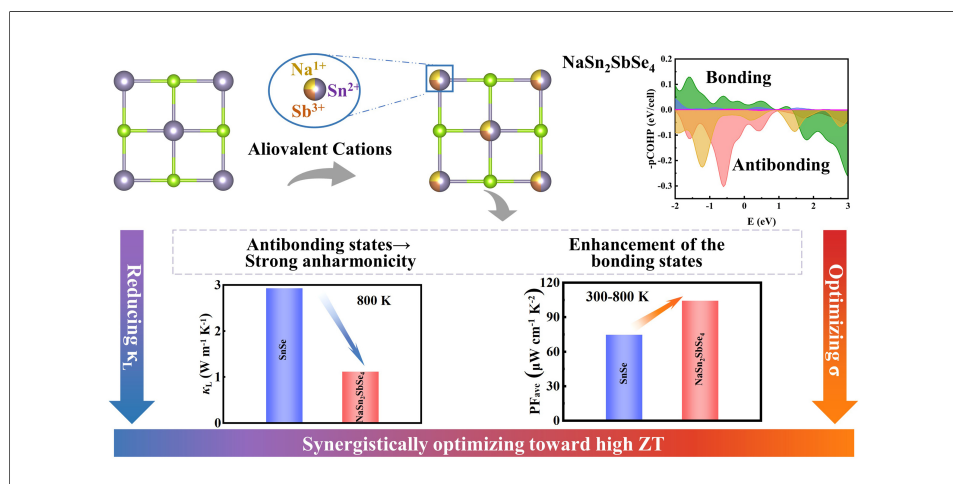
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## Abstract

The dimensionless figure of merit  $ZT$  is the key metric for quantifying thermoelectric performance; however, its optimization is inherently limited by the intrinsic coupling between electrical and thermal transport properties. Herein, we perform first-principles calculations using the temperature-dependent effective potential method to investigate cation alloying in  $\text{SnSe}$ , where  $\text{Sn}^{2+}$  ions were randomly substituted by aliovalent cations - specifically  $\text{Na}^+$  and  $\text{Sb}^{3+}$  - a strategy that induces chemical bond synergy in  $\text{NaSn}_2\text{SbSe}_4$ , effectively decoupling electronic and phonon transport behaviors. Random cation occupation induces a mixed covalent-ionic bonding character, generating local bond-strength fluctuations that act as phonon-scattering centers. Furthermore, localized  $\text{Sb-Se}$  and  $\text{Sn-Se}$  antibonding states below the Fermi level correlate with the softening of low-frequency optical phonon branches. Combined with pronounced lattice anharmonicity, this phonon softening significantly enhances four-phonon scattering rates and suppresses the lattice thermal conductivity. Concurrently, aliovalent cation incorporation promotes electron delocalization between  $\text{Sn-5p}$  and  $\text{Se-4p}$  orbitals and strengthens covalent bonding character. This modified orbital hybridization alters band dispersion, reducing the hole effective mass while preserving high electrical conductivity in  $\text{NaSn}_2\text{SbSe}_4$ . The

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optimal balance between low lattice thermal conductivity and high power factor yields superior thermoelectric performance for  $\text{NaSn}_2\text{SbSe}_4$  relative to  $\text{SnSe}$  across the entire temperature range, with a peak  $ZT$  of 0.88 at 800 K. This study establishes chemical bonding engineering as a promising strategy for enhancing thermoelectric performance and provides guidance for exploring high-performance high-entropy thermoelectric materials.

## INTRODUCTION

The escalating global energy crisis has motivated the recent growing interest in thermoelectric energy conversion, which is widely recognized as one of the most promising approaches for recovering waste heat from fossil fuel combustion. Consequently, the development of high-efficiency thermoelectric materials has emerged as the research focus in the pursuit of sustainable and efficient energy conversion solutions<sup>[1-3]</sup>. The thermoelectric conversion efficiency is commonly quantified in terms of the dimensionless figure of merit  $ZT$ , defined as  $ZT = S^2\sigma T / (\kappa_e + \kappa_L)$ , where  $S$ ,  $\sigma$ ,  $T$ ,  $\kappa_L$ , and  $\kappa_e$  are the Seebeck coefficient, electrical conductivity, absolute temperature, lattice thermal conductivity, and electron thermal conductivity, respectively<sup>[4,5]</sup>. Achieving a high  $ZT$  value requires either enhancing the power factor ( $PF = S^2\sigma$ ) or suppressing the sum of thermal conductivities ( $\kappa_e + \kappa_L$ ). Among the characteristic variables that control  $ZT$  -  $S$ ,  $\sigma$ ,  $\kappa_L$ , and  $\kappa_e$  - the lattice thermal conductivity  $\kappa_L$  is unique in that it can be tuned independently of thermal transport properties. Therefore, reducing  $\kappa_L$  has emerged as a key strategy for improving thermoelectric performance<sup>[6,7]</sup>.

Conventional approaches to suppress  $\kappa_L$  include the introduction of point defects<sup>[8]</sup> and the engineering of nanostructures<sup>[9]</sup>. Although these methods effectively enhance phonon scattering, they often degrade charge-carrier transport due to increased carrier scattering, thereby limiting overall improvements in thermoelectric efficiency. Recently, entropy engineering has emerged as a promising alternative strategy, enabling an optimal balance between low lattice thermal conductivity and high electrical transport performance through the deliberate introduction of atomic-scale disorder<sup>[10-12]</sup>. This approach exploits configurational entropy to stabilize single-phase solid solutions composed of multiple principal elements, which leads to enhanced thermoelectric properties<sup>[13-16]</sup>. For instance, the high-entropy chalcogenides,  $(\text{SnSe})_{1-x}(\text{NaSbSe}_2)_x$  alloy remains phase stable in the cubic structure at ambient temperature, and its thermoelectric  $ZT$  value reaches 0.5 due to improved electrical transport arising from increased carrier concentration<sup>[17]</sup>. Similarly,  $\text{Sn}_{0.4}(\text{AgSb})_{0.3}\text{Se}$ , designed via cationic alloying, attains a  $ZT$  of 0.82 at 842 K<sup>[18]</sup>. These advancements highlight the critical influence of local atomic structure - particularly cation disorder at the Sn site - on thermoelectric performance<sup>[19]</sup>. However, due to inherent limitations in experimental characterization techniques, direct observation of local structural details remains challenging, resulting in an incomplete understanding of how local disorder and configurational entropy affect thermoelectric behavior in entropically stabilized chalcogenides. This knowledge gap significantly impedes the establishment of clear structure-property relationships.

In this study, first-principles simulations are employed to investigate the relationship between local structural disorder and thermoelectric performance in entropy-stabilized chalcogenides. A cation-disordered  $\text{NaSn}_2\text{SbSe}_4$  structure was constructed within a  $\text{SnSe}$ -based framework using the Monte Carlo special quasi-random structure (SQS) method<sup>[20]</sup>. In  $\text{NaSn}_2\text{SbSe}_4$ , the simultaneous occupation of the same Wyckoff site by two aliovalent cations -  $\text{Na}^+$  and  $\text{Sb}^{3+}$  - induces significant local structural heterogeneity. The disordered distribution of cations enhances asymmetry at the Sn sites, with a transition from uniform covalent bonding in pristine  $\text{SnSe}$  to a mixed covalent-ionic bonding character in  $\text{NaSn}_2\text{SbSe}_4$ . This evolution toward a heterogeneous bonding environment is expected to strengthen lattice anharmonicity and suppress phonon-mediated heat transport. Moreover, substituting Sn sites with cations of different ionic radii -  $\text{Na}^+$  (1.91 Å) and  $\text{Sb}^{3+}$  (1.41 Å) - helps mitigate excessive lattice strain while maintaining structural stability, thereby facilitating the high optical dielectric constant and strong electronic polarizability. By integrating

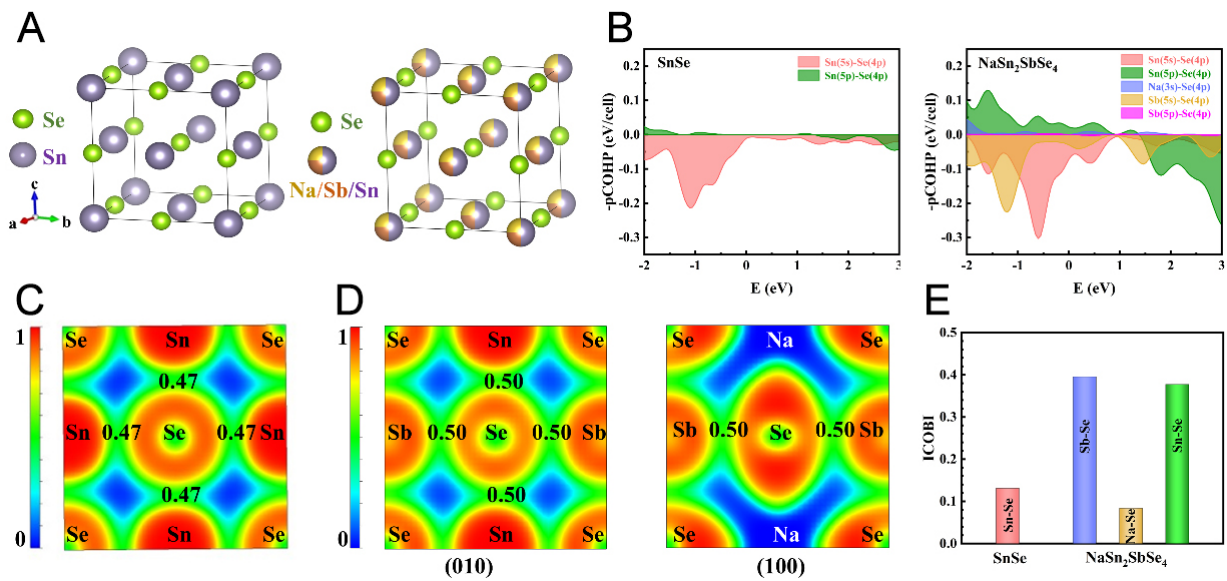
these effects, this work provides a comprehensive understanding of the distinctive structural and chemical bonding features of  $\text{NaSn}_2\text{SbSe}_4$ . The diverse chemical bonding environments effectively modulate lattice anharmonicity, impede phonon propagation, and reduce lattice thermal conductivity. These findings offer new insights into the interplay between structural disorder, chemical bonding nature, and thermoelectric performance, providing fundamental design principles and strategic guidance for the development of advanced thermoelectric materials.

## METHODS

All first-principles calculations employed density functional theory (DFT) implemented with the projected augmented wave (PAW) pseudopotentials via the Vienna Ab initio Simulation Package (VASP)<sup>[21]</sup>. Quasi-random structures of  $\text{NaSn}_2\text{SbSe}_4$  were generated via the Monte Carlo SQS method in the alloy theoretical automated toolkit (ATAT)<sup>[20]</sup>, guided by the experimentally confirmed single-phase cubic structure of the  $(\text{SnSe})_{1-x}(\text{NaSbSe}_2)_x$  solid solution. The final selected configuration achieves the Perfect\_match criterion in the mcsqs.log file by accurately reproducing all targeted pair and multi-body correlation functions. The corresponding bestqs.out file contains the resulting optimal structure.

Owing to computational resource limitations, the structure of  $\text{NaSn}_2\text{SbSe}_4$  used to evaluate thermal transport properties was constructed based on the SnSe unit cell. In this unit cell, the atomic fractions of Na, Sb, and Sn occupying the Sn Wyckoff positions were 25%, 25%, and 50%, respectively. These values were obtained from the SQS construction based on the target stoichiometry, consistent with the experimentally reported composition<sup>[17]</sup>. Although denoted by the nominal stoichiometry  $\text{NaSn}_2\text{SbSe}_4$ , the compound is modeled as a solid solution where the Sn and Sb atoms are randomly distributed on the cation sublattice. To account for this configurational disorder in our first-principles calculations, we employ the SQS method to generate supercells that best mimic the statistics of a perfectly random alloy. The plane-wave cutoff energy was set to 520 eV, and the Monkhorst-Pack k-mesh was configured as  $9 \times 9 \times 9$ . To fully relax the lattice structure, the convergence thresholds for the total energy and Hellmann-Feynman force were set to  $10^{-7}$  eV and  $10^{-5}$  eV/Å, respectively. A  $3 \times 3 \times 3$  supercell comprising 216 atoms was employed for Ab Initio molecular dynamics (AIMD) simulation. The trajectories were propagated for 10 ps with a 1 fs integration interval under the canonical (NVT) ensemble. Second- to fourth-order interatomic force constants (IFCs) were obtained from the AIMD-derived potential energy surface within the framework of the temperature-dependent effective potential (TDEP) method<sup>[22,23]</sup>. By combining the TDEP method with the FourPhonon package<sup>[24]</sup>, we solved the phonon Boltzmann transport equation (BTE) by incorporating four-phonon (4ph) scattering. The lattice thermal conductivity  $\kappa_l$ , phonon scattering rates, the Grüneisen parameter  $\gamma$ , and other relevant properties were computed on a  $14 \times 14 \times 14$  q-mesh in the irreducible Brillouin zone. After performing convergence testing, the Gaussian smearing parameter was chosen as 0.5. Four-phonon scattering rates were rapidly estimated under the relaxation time approximation (RTA) using  $1 \times 10^5$  sampled processes within the maximum likelihood estimation method<sup>[25]</sup>. Bond orders were calculated using the integrated crystal orbital bond index (ICOBI) in the LOBSTER package<sup>[26]</sup>.

To accurately simulate cation disorder, a  $2 \times 2 \times 2$  supercell (containing 64 atoms) based on the SnSe unit cell was constructed to model the structure of  $\text{NaSn}_2\text{SbSe}_4$  for electrical transport calculations. The identical atomic alloying ratios were used in both electrical and thermal transport calculations. A plane-wave cutoff energy of 450 eV was employed, along with a Monkhorst-Pack k-mesh of  $3 \times 3 \times 3$ . The convergence thresholds were set to  $10^{-6}$  eV for the total energy and  $10^{-2}$  eV/Å for the Hellmann-Feynman force, respectively. The electronic band structure was obtained using the Heyd-Scuseria-Ernzerhof (HSE06) functional in conjunction with spin-orbit coupling (SOC). Based on the electronic band structure obtained using the HSE06 functional with SOC, the electronic transport properties were computed by solving the semiclassical BTE under the relaxation time approximation as implemented in the BoltzTraP2 package<sup>[27]</sup>.



**Figure 1.** (A) The structures and (B) projected Crystal Orbital Hamilton Population (-pCOHP) of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub>. The Electron Localization Function (ELF) analysis of (C) SnSe and (D) NaSn<sub>2</sub>SbSe<sub>4</sub>, respectively; (E) The calculated integrated crystal orbital bond index (ICObI) for the Sn-Se bond in SnSe and the Sb/Na/Sn-Se bonds in NaSn<sub>2</sub>SbSe<sub>4</sub>.

## RESULTS AND DISCUSSION

### Disordered structure and chemical bond characterizations

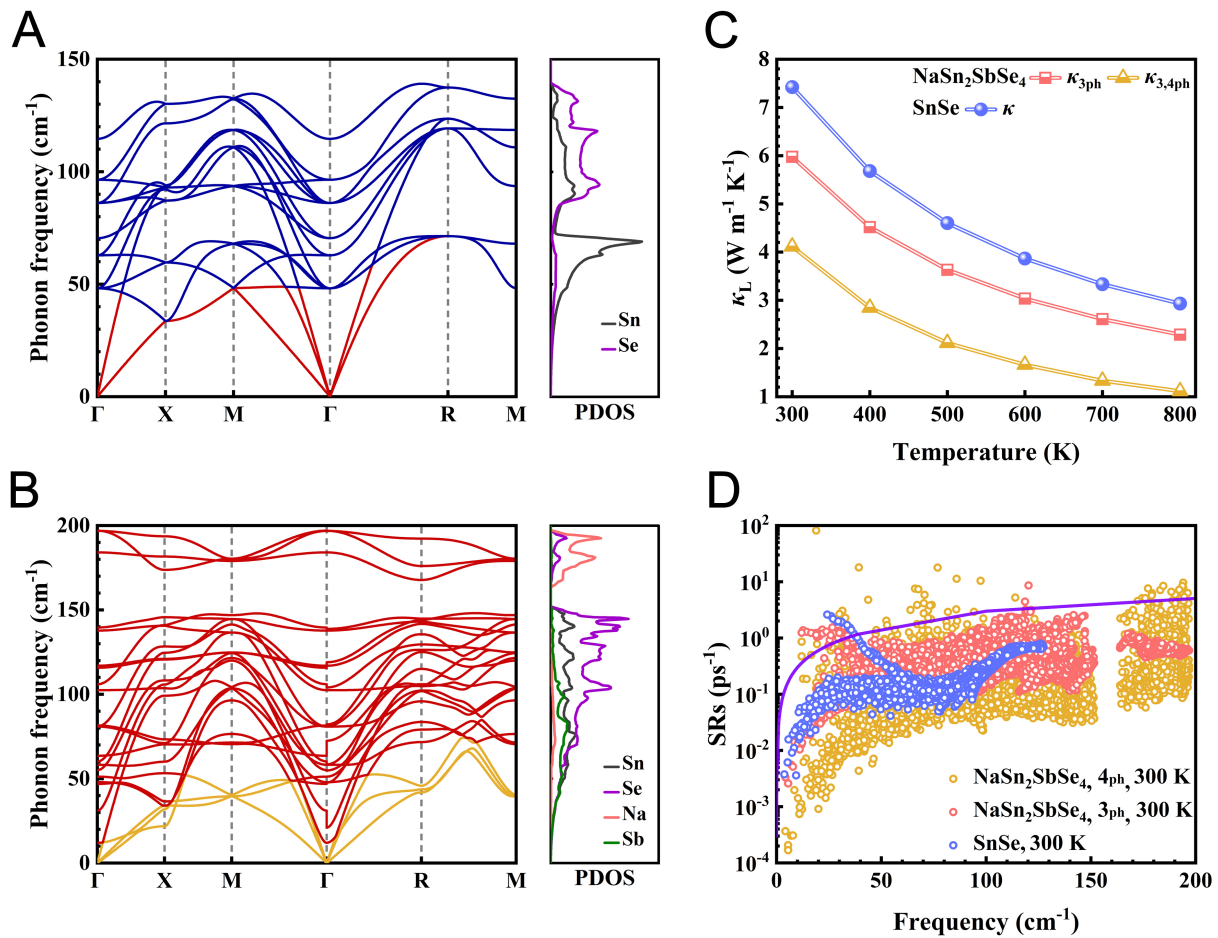
To investigate cationic disorder arising from the entropy stabilization effect, appropriate cations were incorporated into the SnSe lattice, and quasi-random structures of NaSn<sub>2</sub>SbSe<sub>4</sub> were constructed via the SQS method, as illustrated in Figure 1. The optimized lattice parameters of NaSn<sub>2</sub>SbSe<sub>4</sub> are  $a = 5.954 \text{ \AA}$  and  $b = c = 5.931 \text{ \AA}$ , with  $\alpha = \beta = \gamma = 90^\circ$ , consistent with a typical tetragonal phase structure. Compared with cubic-phase SnSe, the lattice parameters along the  $a$  and  $b/c$  axes of NaSn<sub>2</sub>SbSe<sub>4</sub> exhibit changes of 1.636% and 2.016%, respectively [Supplementary Table 1]. These variations are primarily attributed to the significantly greater contraction of Sb-Se, Na-Se, and Sn-Se bond lengths along the  $b/c$ -axes relative to those along the  $a$ -axis [Supplementary Table 2]. Analysis of bond lengths reveals that the random distribution of Na and Sb atoms, together with their distinct local bonding environments, results in significant structural deviations from pristine SnSe, with structural distortion degrees of 1.619% and 2.016% along the  $a$  and  $b/c$ -axes, respectively. This degree of distortion effectively mitigates excessive lattice strain while preserving the structural stability of the tetragonal phase, and is anticipated to maintain favorable electronic transport properties. As shown in Supplementary Table 3, the calculated optical dielectric constants of NaSn<sub>2</sub>SbSe<sub>4</sub> along the  $a$  and  $b/c$  directions are approximately 4.17 and 3.70 times those of SnSe, respectively, confirming its significantly enhanced electronic polarizability. Higher electronic polarizability is closely associated with band structure features favorable for electron transport, predicting that NaSn<sub>2</sub>SbSe<sub>4</sub> exhibits excellent electrical transport properties.

Furthermore, the structural distortion in NaSn<sub>2</sub>SbSe<sub>4</sub> is strongly correlated with significant changes in its bonding characteristics<sup>[28]</sup>. To systematically investigate this effect, we employed projected Crystal Orbital Hamilton Population (-pCOHP) and Electron Localization Function (ELF) analyses. As shown in Figure 1B, the -pCOHP spectra of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> provide detailed insights into orbital interactions and bond strength, with negative and positive regions corresponding to antibonding and bonding states, respectively. In SnSe, the Sn-Se bonding is primarily attributed to the hybridization between Sn(5p) and Se(4p) orbitals, whereas the antibonding states arise mainly from the coupling of Sn(5s) and Se(4p) orbitals. In NaSn<sub>2</sub>SbSe<sub>4</sub>, the introduction of Na and Sb enhances the bonding interactions between Sn (5p) and Se (4p), which is

expected to influence the electrical transport properties via band structure modification. Additionally, it introduces significant orbital interactions predominantly originating from Sb, where Sb(5s) forms a distinct antibonding state with Se(4p) and further enhances the existing Sn(5s)-Se(4p) antibonding interaction. It has been established in prior work that the formation of s-p antibonding states below the Fermi level plays a decisive role in shaping the phonon dynamics<sup>[29]</sup>. Consequently, the pronounced intensification of Sn(5s)-Se(4p) antibonding states from -1 eV to 0 eV in NaSn<sub>2</sub>SbSe<sub>4</sub> relative to SnSe is expected to induce significantly stronger lattice anharmonicity, thereby contributing to a reduction in lattice thermal conductivity. We further investigated the influence of Sb and Na incorporation on electronic localization [Figure 1C and D]. The results reveal that the ELF distribution in SnSe exhibits weakly covalent bonding characteristics, whereas NaSn<sub>2</sub>SbSe<sub>4</sub> displays covalency and ionicity: the Sb atom significantly strengthens the covalent character of both Sn-Se and Sb-Se bonds, while the introduction of Na induces a partial transition of certain Sn-Se bonds from covalent to Na-Se ionic bonds<sup>[30]</sup>. We further employ the ICOBI to quantify bonding heterogeneity in NaSn<sub>2</sub>SbSe<sub>4</sub>. This index reflects differences in bond strength and allows direct comparison among bonds within a structure, making it a reliable indicator of bond non-uniformity<sup>[31]</sup>. As shown in Figure 1E, the calculated ICOBI values for the Sb-Se and Sn-Se bonds in NaSn<sub>2</sub>SbSe<sub>4</sub> are 0.40 and 0.38, respectively - both notably higher than that of the Sn-Se bond in SnSe (0.13) - whereas the ICOBI value for the Na-Se bond is 0.08, which is lower than that of the Sn-Se bond in SnSe. This provides additional evidence that NaSn<sub>2</sub>SbSe<sub>4</sub> exhibits mixed covalent-ionic bonding character, with the heterogeneous nature of strong and weak bonds acting to enhance lattice anharmonicity, strengthen phonon scattering, and consequently reduce lattice thermal conductivity<sup>[32]</sup>. Notably, structural distortion induces charge polarization, resulting in an inhomogeneous charge density distribution, with pronounced electron delocalization observed between Sn-5p and Se-4p orbitals. This modification is expected to further influence the band dispersion, thereby helping to preserve high electrical conductivity. These findings collectively demonstrate that the introduction of aliovalent cations and the resultant structural distortion profoundly influence the chemical bonding nature of the material. In this configuration, the synergistic interaction between Sb and Na fosters the formation of a complex chemical bonding network, giving rise to a unique electronic environment characterized by heterogeneous bonding interactions with varying orbital overlap strengths and bond polarizations. Such heterogeneous bonding characteristics are expected to suppress lattice thermal conductivity and concurrently maintain favorable electronic transport, thereby offering new avenues for the synergistic optimization of thermoelectric performance<sup>[33,34]</sup>.

### Thermal transport properties

To investigate the influence of chemical bonding variations on thermal transport properties, we calculate the phonon dispersion curves and phonon density of states (PhDOS) for both SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub>. To enable a systematic comparison, the high-symmetry q-point path along  $\Gamma$ -X-M- $\Gamma$ -R-M was employed. Within the harmonic approximation, the phonon dispersion of NaSn<sub>2</sub>SbSe<sub>4</sub> was calculated at 0 K using Phonopy, and imaginary frequencies are observed in the resulting spectrum [Supplementary Figure 1]. Previous studies have shown that such behavior commonly occurs in strongly anharmonic materials<sup>[35]</sup>, indicating that the reference structure of NaSn<sub>2</sub>SbSe<sub>4</sub> does not correspond to a stable local minimum of the effective potential energy surface within the harmonic approximation. Instead, this behavior is consistent with a complex, multi-well potential energy surface, which is a characteristic feature of strongly anharmonic systems. In contrast, as shown in Figure 2A and B, the finite-temperature phonon spectra obtained using the TDEP method at 300 K show that these harmonic instabilities are removed through anharmonic renormalization, demonstrating that both SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> are dynamically stable at 300 K<sup>[36-37]</sup>. Meanwhile, NaSn<sub>2</sub>SbSe<sub>4</sub> shows a calculated formation energy of approximately -4 eV, indicating the thermodynamic stability of the corresponding structures. To accurately assess the phonon transport properties, the correction of the nonanalytic term and the  $\Gamma$  point splitting between transverse and longitudinal optical (TO-LO) phonon branches were incorporated into the analysis<sup>[38,39]</sup>. Notably, NaSn<sub>2</sub>SbSe<sub>4</sub> shows a splitting of the optical



**Figure 2.** Calculated phonon dispersion curves and projected phonon density of states (PDOS) at  $T = 300$  K for (A) SnSe and (B) NaSn<sub>2</sub>SbSe<sub>4</sub>. The optical branches are shown in blue in (A) and red in (B), and the acoustic branches are shown in red in (A) and yellow in (B). The grey, purple, red, and green solid lines in subfigures (A) and (B) represent the PDOS of Sn, Se, Na, and Sb atoms, respectively; (C)  $\kappa_L$  calculated from the three-phonon (3ph) and combined three- and four-phonon (3,4ph) scattering models over the temperature range from 300 K to 800 K, and (D) the calculated 3ph and 4ph scattering rates (SRs) as a function of phonon frequency at 300 K for SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub>.

branches at the  $\Gamma$  point. Compared to SnSe, NaSn<sub>2</sub>SbSe<sub>4</sub> also demonstrates more pronounced softening of the optical phonon branches, which primarily originate from the vibrational contributions of the Sb, Sn, and Se atoms. For example, at the high-symmetry  $\Gamma$  point and in the frequency range of 0–50 cm<sup>-1</sup>, the phonon dispersion of NaSn<sub>2</sub>SbSe<sub>4</sub> reveals low-frequency optical phonon branches, which are associated with lattice anharmonicity<sup>[23,40]</sup>. This observation is consistent with our initial prediction that the Sb/Na-induced disorder perturbs the local bonding environment and disrupts the periodicity required for coherent phonon propagation, thus strengthening the lattice anharmonicity. Concurrently, the phonon dispersion of NaSn<sub>2</sub>SbSe<sub>4</sub> displays significant coupling between the acoustic and low-frequency optical modes - a feature that enhances phonon scattering and will reduce lattice thermal conductivity<sup>[39,41]</sup>.

Lattice thermal conductivity  $\kappa_L$  quantifies the phonon contribution to thermal transport. For materials with pronounced anharmonicity, such as NaSn<sub>2</sub>SbSe<sub>4</sub>, it is essential to consider quartic anharmonicity effects to predict  $\kappa_L$  values accurately<sup>[42,43]</sup>. Therefore, we investigated  $\kappa_L$  using three-phonon (3ph) and combined three- and four-phonon (3,4ph) scattering models, with results presented in Figure 2C. For both SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub>, the  $\kappa_L$  exhibits a steady decline, approximately inversely proportional to temperature in the range of 300–800 K. This phenomenon arises primarily from enhanced lattice vibrations at elevated

temperatures, which facilitate phonon interactions and strengthen phonon scattering processes<sup>[39,42]</sup>. Notably,  $\text{NaSn}_2\text{SbSe}_4$  exhibits an approximately 50% reduction in  $\kappa_L$  relative to SnSe, aligned with the observations extracted from the phonon dispersion assessment. Additionally, for  $\text{NaSn}_2\text{SbSe}_4$ ,  $\kappa_{3,4\text{ph}}$  is significantly reduced relative to  $\kappa_{3\text{ph}}$  due to the inclusion of the 4ph scattering mechanism, highlighting the significant impact of 4ph scattering processes on phonon thermal transport. Specifically, the theoretically obtained  $\kappa_{3\text{ph}}$  and  $\kappa_{3,4\text{ph}}$  values are 3.0 and 1.6  $\text{W m}^{-1} \text{K}^{-1}$  at 600 K for  $\text{NaSn}_2\text{SbSe}_4$ , respectively. At 800 K, the  $\kappa_{3,4\text{ph}}$  of  $\text{NaSn}_2\text{SbSe}_4$  is 1.1  $\text{W m}^{-1} \text{K}^{-1}$ , showing a reduction from the 2.9  $\text{W m}^{-1} \text{K}^{-1}$  of SnSe. This reduction can be theoretically attributed to additional phonon scattering channels introduced by 4ph processes, which increase the overall scattering rates and further suppress the  $\kappa_L$ <sup>[44,45]</sup>. As shown in [Supplementary Figure 2](#), we further compare the reductions in lattice thermal conductivity of  $\text{NaSn}_2\text{SbSe}_4$  arising from 3ph scattering alone and from the additional inclusion of 4ph scattering. The results show that the reduction in  $\kappa_L$  induced by 4ph processes, defined relative to the 3ph-only case, is larger than that caused by 3ph scattering alone. This comparison clearly demonstrates that, in  $\text{NaSn}_2\text{SbSe}_4$ , 4ph scattering processes play a dominant role in suppressing lattice thermal transport. As illustrated in [Figure 2D](#), we further calculated scattering rates. The analysis reveals that most of both 3ph and 4ph scattering rates are higher in  $\text{NaSn}_2\text{SbSe}_4$  than in SnSe across the entire frequency range, indicating more pronounced lattice vibrations anharmonicity in  $\text{NaSn}_2\text{SbSe}_4$ . These elevated scattering rates are the main origin of the ultralow  $\kappa_L$  of  $\text{NaSn}_2\text{SbSe}_4$ . Notably, in  $\text{NaSn}_2\text{SbSe}_4$ , the 4ph scattering rates are comparable to or even exceed those of 3ph processes over the entire frequency spectrum. This result is consistent with the preceding comparative analysis of the contributions of 3ph and 4ph scattering to the reduction of  $\kappa_L$ , indicating that 4ph scattering exhibits overall significant strength in  $\text{NaSn}_2\text{SbSe}_4$ . Additionally, the purple solid line in [Figure 2D](#) represents the scattering rate for phonons with identical frequencies, indicating that the phonon lifetime corresponds closely to the oscillation period of the phonon quasiparticle<sup>[46,47]</sup>. [Figure 2D](#) demonstrates that the majority of 3ph and 4ph scattering events are located within the reference curve, supporting the applicability of the phonon BTE. The frequency-dependent cumulative lattice thermal conductivity shown in [Supplementary Figure 3](#) indicates that the main contributions to  $\kappa_L$  for both SnSe and  $\text{NaSn}_2\text{SbSe}_4$  come from phonon branches in the 10-120  $\text{cm}^{-1}$  range. At frequencies between 25 and 50  $\text{cm}^{-1}$ , the cumulative 3ph lattice thermal conductivity of  $\text{NaSn}_2\text{SbSe}_4$  is slightly lower than that of SnSe, showing a similar growth trend because the 3ph scattering in  $\text{NaSn}_2\text{SbSe}_4$  is not significantly stronger than in SnSe in this range. When 4ph scattering processes are included, the contributions to  $\kappa_L$  from low-frequency branches, especially below 50  $\text{cm}^{-1}$ , are notably suppressed due to additional scattering channels. Furthermore, as indicated by the calculated phonon group velocity ( $v_{\text{ph}}$ ) (see [Supplementary Figure 4](#) in the Supporting Information), the overall magnitude of the phonon group velocity of  $\text{NaSn}_2\text{SbSe}_4$  remains relatively low, which is conducive to the suppression of  $\kappa_L$ .

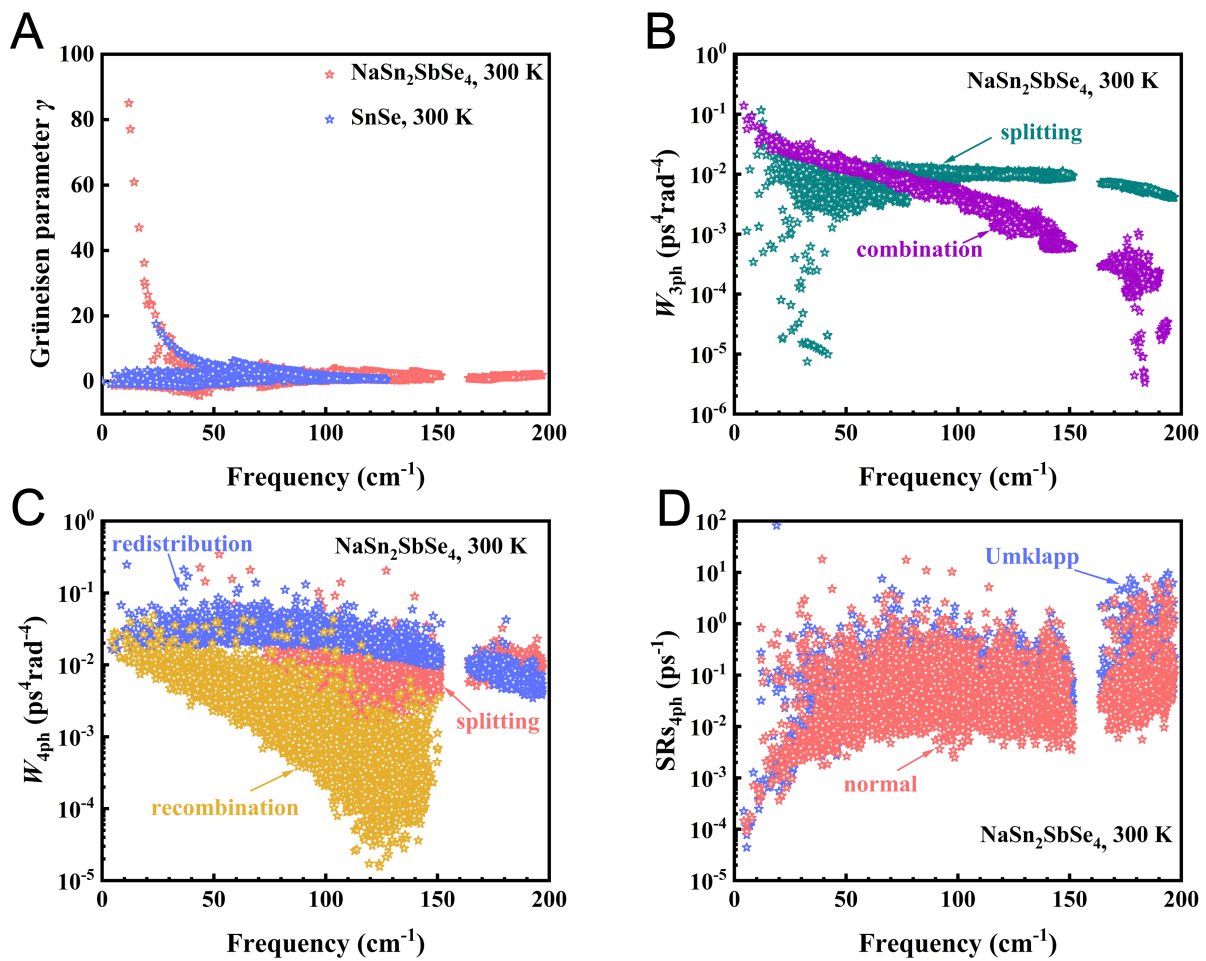
Phonon scattering rates are primarily determined by two factors: the anharmonic scattering strength, typically characterized by the Grüneisen parameters  $\gamma$ <sup>[48]</sup>, and the number of available scattering channels, quantified by the phonon scattering phase space  $W$ . The phonon scattering phase space is defined as the set of all accessible final states in momentum space, thereby quantifying the number of scattering channels permitted by simultaneous energy and momentum conservation<sup>[41,49]</sup>. To clarify the underlying origin of the low  $\kappa_L$ , both the Grüneisen parameter  $\gamma$  and the scattering phase space  $W$  were analyzed. As shown in [Figure 3A](#), the magnitude of  $\gamma$  in  $\text{NaSn}_2\text{SbSe}_4$  within the 0-25  $\text{cm}^{-1}$  frequency range is significantly larger than that in SnSe, thereby indicating its stronger anharmonicity. This finding further supports that a high Grüneisen parameter  $\gamma$  plays an important role in enhancing phonon scattering in  $\text{NaSn}_2\text{SbSe}_4$ . [Figure 3B](#) presents the calculated 3ph scattering phase space ( $W_{3\text{ph}}$ ). Under the conservation of energy and momentum, low-frequency phonons mainly participate in combination processes that up-convert population into high-frequency phonons, while high-frequency phonons primarily evolve via intrinsic 3ph scattering. Therefore, within the 3ph phase space, phonon scattering is predominantly governed by splitting processes ( $\lambda \rightarrow \lambda' + \lambda''$ ) in the 50-200  $\text{cm}^{-1}$  range, and by combination processes ( $\lambda + \lambda' \rightarrow \lambda''$ ) at frequencies below

50 cm<sup>-1</sup>. As shown in [Figure 3C](#), NaSn<sub>2</sub>SbSe<sub>4</sub> possesses a large 4ph scattering phase space ( $W_{4ph}$ ). This enlarged phase space indicates more scattering channels, which consequently lead to high scattering rates<sup>[50]</sup>. Additionally, redistribution processes ( $\lambda + \lambda' \rightarrow \lambda'' + \lambda'''$ ) dominate the entire 4ph scattering phase space ( $W_{4ph}$ ) because the energy selection rule is more readily satisfied. Previous investigations have demonstrated that the 4ph redistribution processes significantly hinder phonon propagation in highly anharmonic systems, emphasizing the importance of 4ph scattering processes<sup>[51]</sup>. Moreover, the splitting ( $\lambda \rightarrow \lambda' + \lambda'' + \lambda'''$ ) and recombination ( $\lambda + \lambda' + \lambda'' \rightarrow \lambda'''$ ) within the 4ph scattering phase space align with the mechanisms of 3ph scattering interactions. Overall, compared with SnSe, NaSn<sub>2</sub>SbSe<sub>4</sub> exhibits both larger Grüneisen parameters  $\gamma$  and a large 3ph and 4ph scattering phase space. These features consistently indicate that the enhanced three- and four-phonon scattering rates in NaSn<sub>2</sub>SbSe<sub>4</sub> arise from stronger lattice anharmonicity and the availability of more scattering channels. [Figure 3D](#) shows the decomposition of the 4ph scattering rates into normal and Umklapp processes<sup>[47,51]</sup>. Normal scattering processes primarily mediate the transfer of phonon momentum. In contrast, Umklapp processes generate heat conduction and impede phonon movement. Throughout the entire 4ph scattering processes, Umklapp processes are dominant, implying that the diminished  $\kappa_L$  primarily results from heat conduction. Building on the above analysis, the pronounced anharmonicity of NaSn<sub>2</sub>SbSe<sub>4</sub> is attributed to the combined effects of Sb/Na-induced local structural disorder and the occupation of antibonding states arising from Sn(5s)/Sb(5s)-Se(4p) covalent interactions below the Fermi level. This conclusion is further supported by the high 3ph and 4ph scattering rates as well as the large Grüneisen parameter  $\gamma$ , collectively providing evidence that chemically engineered bonding plays a critical role in suppressing lattice thermal conductivity  $\kappa_L$ .

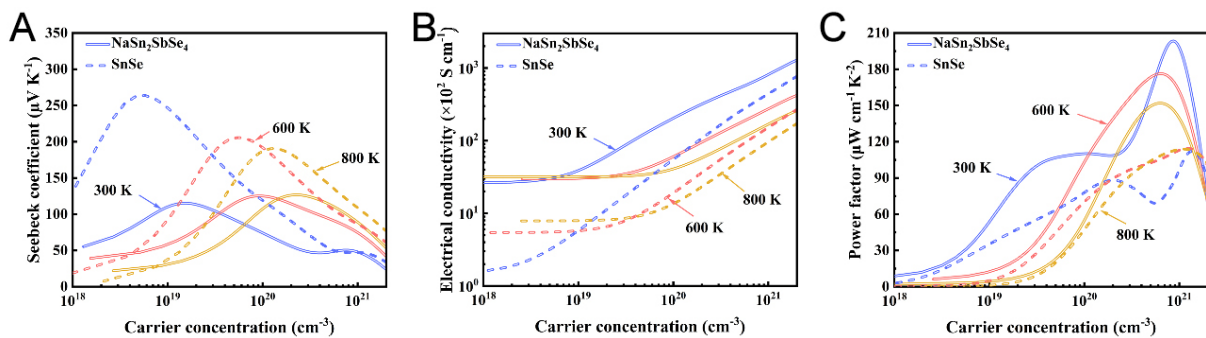
### Electronic transport properties

To systematically characterize the influence of structural disorder on electrical transport properties, we built a supercell and conducted a preliminary investigation of the electronic structure of NaSn<sub>2</sub>SbSe<sub>4</sub>, as shown in [Supplementary Figures 5 and 6](#). Upon the incorporation of SOC in the calculations, NaSn<sub>2</sub>SbSe<sub>4</sub> exhibits a relatively narrow band gap ( $\sim 0.25$  eV)<sup>[52]</sup>. Furthermore, as shown in [Supplementary Figure 6C](#), the charge density at the valence band maximum (VBM) demonstrates significant delocalization and hole transport pathways between the Sn and Se atoms. This is further supported by [Supplementary Figure 7](#), where the projected density of states confirms the hybridization of Sn-5p and Se-4p orbitals, also highlighting their contribution to the valence band edge. The above observation not only indicates enhanced hole transport capability in NaSn<sub>2</sub>SbSe<sub>4</sub> but also corroborates the prior chemical bonding analysis, which revealed that strengthened hybridization between Sn-5p and Se-4p orbitals leads to greater delocalization and increased covalency. This effect of strengthened hybridization gives rise to an electronic environment that modulates the band structure, thereby creating favorable conditions for carrier transport and electrical conductivity in NaSn<sub>2</sub>SbSe<sub>4</sub><sup>[33,53]</sup>.

To comprehensively evaluate and compare the electronic transport properties of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub>, we employed Boltzmann transport theory to calculate and analyze how the Seebeck coefficient ( $S$ ), electrical conductivity ( $\sigma$ ), and  $PF$  vary with carrier concentration and temperature. As shown in [Figure 4A](#), the Seebeck coefficients of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> display an inverse dependence on carrier concentration, initially increasing at low doping levels and then decreasing at higher concentrations. According to the Mott relation<sup>[54]</sup>, the Seebeck coefficient varies with carrier concentration  $n$  as  $S \propto n^{-2/3}$ . At low p-type doping levels, the observed deviation from the expected trend can be attributed to the bipolar conduction effect induced by a small bandgap. This effect occurs because, although holes dominate charge transport at low carrier concentrations, intrinsically excited minority electrons also make a non-negligible contribution<sup>[55]</sup>. The opposite-sign contributions of these two types partially compensate each other, thereby markedly



**Figure 3.** (A) Calculated Grüneisen parameter  $\gamma$  of SnSe and  $\text{NaSn}_2\text{SbSe}_4$  at 300 K; (B) Decomposition of 3ph scattering phase space ( $W_{3\text{ph}}$ ) of  $\text{NaSn}_2\text{SbSe}_4$  at 300 K into combination ( $\lambda + \lambda' \rightarrow \lambda''$ ) and splitting ( $\lambda \rightarrow \lambda' + \lambda''$ ) processes; (C) Decomposition of the 4ph scattering phase space ( $W_{4\text{ph}}$ ) of  $\text{NaSn}_2\text{SbSe}_4$  at 300 K into redistribution ( $\lambda + \lambda' \rightarrow \lambda'' + \lambda'''$ ), recombination ( $\lambda + \lambda' + \lambda'' \rightarrow \lambda'''$ ), and splitting ( $\lambda \rightarrow \lambda' + \lambda'' + \lambda'''$ ) processes; (D) Decomposition of 4ph scattering rates of  $\text{NaSn}_2\text{SbSe}_4$  at 300 K into the normal and Umklapp processes.



**Figure 4.** Calculated (A) Seebeck coefficient, (B) electrical conductivity, and (C) power factor of SnSe and  $\text{NaSn}_2\text{SbSe}_4$  at 300, 600, and 800 K as a function of carrier concentration.

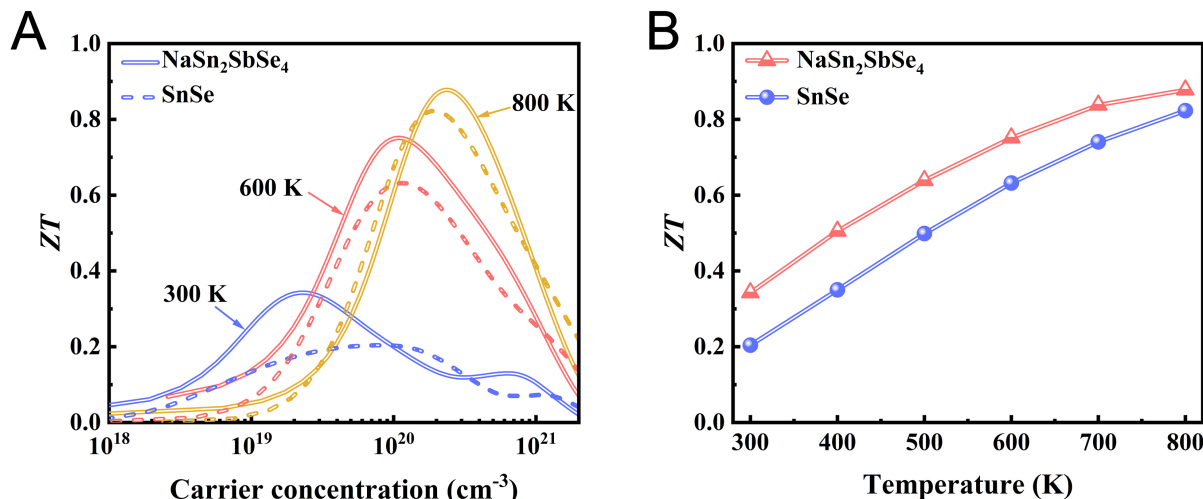
suppressing the Seebeck response in the low-doping regime. By contrast, at high carrier concentrations, the Seebeck coefficient is mainly determined by the majority hole carriers and thus follows the expected trend.

The carrier relaxation time ( $\tau$ ) was calculated for SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> according to the deformation potential theory<sup>[56,57]</sup>, with the results presented in Supporting Information [Supplementary Table 4](#). NaSn<sub>2</sub>SbSe<sub>4</sub> consistently demonstrates a longer relaxation time than SnSe at the same temperature. This behavior is primarily attributed to the reduction in the hole effective mass<sup>[52,58]</sup>. Electrical conductivity was calculated based on the obtained relaxation time, and the results are shown in [Figure 4B](#). At a given temperature, the electrical conductivities of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> increase with increasing charge carrier concentration. At the same temperature and carrier concentration, NaSn<sub>2</sub>SbSe<sub>4</sub> shows higher electrical conductivity than SnSe, mainly because its longer relaxation time reduces electron scattering<sup>[59]</sup>. For example, at a carrier concentration of  $10^{21} \text{ cm}^{-3}$  and 600 K, the electrical conductivity increases from  $1.976 \times 10^3 \text{ S cm}^{-1}$  in SnSe to  $6.063 \times 10^3 \text{ S cm}^{-1}$  in NaSn<sub>2</sub>SbSe<sub>4</sub>. Moreover, the electronic thermal conductivity ( $\kappa_e$ ) quantifies the contribution of charge carriers to thermal transport within the material. [Supplementary Figure 8](#) presents the calculated  $\kappa_e$  values for SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub>, showing that  $\kappa_e$  is higher in NaSn<sub>2</sub>SbSe<sub>4</sub> than in SnSe under identical temperature and hole concentration conditions, which reflects proportional dependence on electrical conductivity.

The power factor  $PF$ , expressed as  $PF = S^2\sigma$ , measures the effectiveness of electronic transport in thermoelectric materials. To further explore the contradictory dependence between the Seebeck coefficient and electrical conductivity, the power factors of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> were analyzed with respect to carrier concentration, as shown in [Figure 4C](#). Despite the reduction in the Seebeck coefficient, the significant enhancement in electrical conductivity ensures that NaSn<sub>2</sub>SbSe<sub>4</sub> maintains a higher optimal power factor compared to SnSe. Specifically, at 300 K, the peak power factor of NaSn<sub>2</sub>SbSe<sub>4</sub> reaches  $203.42 \mu\text{W cm}^{-1} \text{ K}^{-2}$  at a hole concentration of  $8.69 \times 10^{20} \text{ cm}^{-3}$ , which is twice as high as that of SnSe.

### Thermoelectric figure of merit

Based on the analysis of structural features and chemical bonding characteristics, together with thermal and electrical transport properties, it is evident that, compared to SnSe, the incorporation of aliovalent cations in NaSn<sub>2</sub>SbSe<sub>4</sub> induces pronounced local structural distortions and establishes a heterogeneous bonding environment. The Sb(5s)/Sn(5s)-Se(4p) antibonding states located below the Fermi level correlate with the softening of low-frequency optical phonon branches in NaSn<sub>2</sub>SbSe<sub>4</sub>. This softening, coupled with the strong lattice anharmonicity, significantly enhances four-phonon scattering rates and effectively suppresses the lattice thermal conductivity. Furthermore, the incorporation of aliovalent cations enhances the hybridization between Sn-5p and Se-4p orbitals, leading to greater electronic delocalization and increased covalency - factors that promote high carrier mobility and enable superior electrical conductivity. The synergistic optimization of low thermal conductivity and high electrical conductivity leads to a significant enhancement in thermoelectric performance. To assess its practical potential, we calculated the thermoelectric figure of merit, given by  $ZT = S^2\sigma T / (\kappa_e + \kappa_l)$ , with the results shown in [Figure 5](#). The optimal  $ZT$  values of NaSn<sub>2</sub>SbSe<sub>4</sub> surpass those of SnSe. Specifically, at 800 K, the maximum  $ZT$  value reaches 0.88 with an optimized carrier concentration of  $2.39 \times 10^{20} \text{ cm}^{-3}$ . These results demonstrate that the regulation of chemical bonding is a promising approach for enhancing the thermoelectric performance of SnSe-derived alloys such as NaSn<sub>2</sub>SbSe<sub>4</sub>, thereby validating its significant potential as a novel thermoelectric compound for practical applications. At the intermediate temperature of 600 K, the  $ZT$  value of NaSn<sub>2</sub>SbSe<sub>4</sub> at the optimal carrier concentration is 0.75, surpassing the  $ZT$  value of 0.63 for SnSe. Furthermore, the  $ZT$  values calculated using  $\kappa_{3\text{ph}}$  are also presented as lower bounds (see [Supplementary Figure 9](#)), confirming the favorable thermoelectric properties of NaSn<sub>2</sub>SbSe<sub>4</sub>. Moreover, the temperature-dependent optimized  $ZT$  values of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> are plotted in [Figure 5B](#), where NaSn<sub>2</sub>SbSe<sub>4</sub> consistently demonstrates superior  $ZT$  values across the entire temperature range. Notably, the constructed crystal structure introduces only a limited degree of disorder that affects thermal transport, resulting in an overestimation of the lattice thermal conductivity and underestimation of the  $ZT$  values. The excellent thermoelectric performance of NaSn<sub>2</sub>SbSe<sub>4</sub>



**Figure 5.** (A) Calculated ZT values of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> as a function of carrier concentration; (B) Optimized ZT values of SnSe and NaSn<sub>2</sub>SbSe<sub>4</sub> at different temperatures. ZT: Dimensionless thermoelectric figure of merit.

highlights its potential as a high-performance thermoelectric material.

## CONCLUSIONS

In conclusion, we designed the NaSn<sub>2</sub>SbSe<sub>4</sub> alloy through random substitution of Sn<sup>2+</sup> with aliovalent cations, specifically Na<sup>+</sup> and Sb<sup>3+</sup>. By combining first-principles, we systematically investigated the microscopic mechanism underlying the chemical bonding modulation and its impact on thermoelectric performance. For thermal transport, the Sb(5s)-Se(4p) and Sn(5s)-Se(4p) interactions in NaSn<sub>2</sub>SbSe<sub>4</sub> exhibit enhanced antibonding states below the Fermi level, softening low-frequency optical phonons. Combined with pronounced lattice anharmonicity, phonon softening boosts four-phonon scattering and suppresses lattice thermal conductivity. Meanwhile, Sn-5p and Se-4p orbital hybridization improves electron delocalization and covalent bonding, sustaining high electrical conductivity. The low lattice thermal conductivity and high electrical conductivity indicate that chemical bonding regulation is a promising strategy to enhance the thermoelectric performance of SnSe-derived alloys such as NaSn<sub>2</sub>SbSe<sub>4</sub>, highlighting its potential for practical applications. Consequently, a deeper understanding of chemical bonding is essential for the discovery of new compounds and could accelerate the development of advanced high-performance thermoelectric materials. For instance, doping with ternary or quaternary cations could be investigated, potentially leveraging the high-entropy alloy strategy.

## DECLARATIONS

### Authors' contributions

Conceived and designed the study: Li, L.; Li, Y.

Performed simulations and data curation: Qin, Y.

Conducted software reliability testing: Song, Q.; Bai, L.; Bao, Q.

Provided valuable suggestions: Li, L.; Li, Y.; Cheng, X.; Zhao, X.

Drafted the manuscript: Qin, Y.; Li, L.

### Availability of data and materials

The data supporting this article have been included as part of the [Supplementary Materials](#). The DFT simulations and the crystal structure schematic of NaSn<sub>2</sub>SbSe<sub>4</sub> are freely available. Further data are available from the corresponding authors upon request.

### AI and AI-assisted tools statement

Not applicable.

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### Conflicts of interest

All authors declared that there are no conflicts of interest.

### Ethical approval and consent to participate

Not applicable.

### Consent for publication

Not applicable.

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### Supplementary Materials

[Supplementary Materials](#)

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