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### When friend turns foe: central and peripheral neuroinflammation in central nervous system injury

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#### ABSTRACT

Injury to the central nervous system (CNS) is common, and though it has been well studied, many aspects of traumatic brain injury (TBI) and stroke are poorly understood. TBI and stroke are two pathologic events that can cause severe, immediate impact to the neurostructure and function of the CNS, which has been recognized recently to be exacerbated by the body's own immune response. Although the brain damage induced by the initial trauma is most likely unsalvageable, the secondary immunologic deterioration of neural tissue gives ample opportunity for therapeutic strategists seeking to mitigate TBI's secondary detrimental effects. The purpose of this paper is to highlight the cell death mechanisms associated with CNS injury with special emphasis on inflammation. The authors discuss sources of inflammation, and introduce the role of the spleen in the systemic response to inflammation after CNS injury.



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#### INTRODUCTION

#### Prevalence and incidence of stroke and TBI

Presently, there are over 500 defined neurological disorders caused by trauma, infections, degeneration, autoimmune disorders, structural defects, tumors, or strokes of the brain and spinal cord.[1] Of these disorders, which are characterized by progressive neuronal degeneration and adverse physical and cognitive impairments, stroke and traumatic brain injury (TBI) both have profound impacts on the American population and worldwide. According to the American Stroke Association, stroke is the 5th leading cause of death in the United States, killing 130,000 every year, and is the leading cause of preventable long-term disability. Healthcare services and medications for stroke alone cost a total of over \$34 billion per year nationwide.[2] Stroke patients commonly suffer paralysis and other motor dysfunctions, which require extensive therapy.[3] Similarly, TBI accounted for approximately 2.4 million emergency department visits, hospitalizations, or deaths in 2010, and an estimated 5.3 million people are currently living with TBI-related disabilities in the United States.[4] Common features of TBI include bruising, torn tissues, bleeding, and physical damage to the brain resulting in long-term complications or death. Among other effects, characteristic symptoms of mild TBI include fatigue or lethargy, a change in sleep patterns, behavioral or mood changes, and trouble with memory, concentration, attention, or thinking, which are clear clinical manifestations of a neurological disorder.[4]

#### **CNS** inflammation in stroke and TBI

The CNS was previously believed to be an immuneprivileged site, but a large body of research from the past few decades reveals a complex interplay alial cells and systemic leukocytes neuroinflammation. Neuroinflammation is a pathological hallmark of many neurological disorders. Following onset of both stroke and TBI, an acute inflammatory response is mounted to counter initial mechanical damage to brain tissue. Resident microglia become activated and carry out neuroprotective roles via secretion of pro-inflammatory cytokines. [5,6] However, infiltration of peripheral leukocytes through the compromised blood-brain barrier (BBB) coupled with chronically activated microglia propagates chronic inflammation and maintains a toxic environment that cyclically contributes to secondary axonal death.[7] Thus. sequestration of the neuroinflammatory response has been the target of recent therapeutic investigation to attenuate neurological damage. Our laboratory's long-understanding neuroinflammation in preclinical models of stroke and TBI is the main theme of this review paper.

### Therapeutic effects of acute neuroinflammation

It is important to note that CNS inflammation detrimental. Acute is not entirely central inflammation following stroke or TBI is deemed to be "neuroprotective".[3,4] Activated microglia and CNS-specific T cells, for example, help maintain neurogenesis and spatial learning abilities in the adult brain.[8] Ziv et al.[8] described how a protective immune response that intends to eliminate danger and minimize tissue (neuronal) loss must be "regulated and shaped by a well-balanced innateadaptive dialogue" between microglia and systemic T-lymphocytes.

#### Acute inflammation in stroke

In the acute inflammatory stage associated with stroke. potent pro-inflammatory cytokines TNF- $\alpha$ , interleukin 6 (IL-6), and IL-1β are upregulated in the cerebrospinal fluid (CSF) and blood in humans.[9] There is evidence that microglia 1 (M1) activated microglia locally produce TNF-a and IL-1, while IL-6 is also produced by neurons.[9] Additionally, Beschorner et al.[10] demonstrated abundant expression of cluster of differentiation 14 (CD14) by ischemia-activated microglia. Since CD14 is key pattern recognition receptor of the innate immune system also found on peripheral monocytes involved in cellular activation, this implicates resident microglial contribution to acute ischemic inflammation.[10] Investigations therefore aim to shift classically activated M1 microglia to the alternatively activated M2 phenotype, which secretes anti-inflammatory cytokines and neurotrophic factors that may contribute to neuroregeneration. [6]

### **Acute inflammation in TBI**

The TBI brain experiences a short, endogenous procell-survival stage in acute neuroinflammation, though this is not sufficient for long-term neuroprotection against chronic neuroinflammation.[11] Primary damage caused by TBI is mechanical, including neuronal injury and disruption of the BBB.[11] Following are two stages of immune response similar to that of stroke: an acute "neuroprotective" stage and a chronic "neurodegenerative" stage. [6] Microglial cells become activated into their pro-inflammatory states, [6] while some afford neuroprotective/regenerative capabilities to combat such damage, but only acutely. [6] Giunta et al. [5] showed that microglia promoted widespread cellular proliferation and focal neurogenesis in the dentate gyrus of the hippocampus. However, this protection appears to be insufficient as activated microglia secreting pro-inflammatory cytokines prove to have a more powerful role in acute inflammation and beyond; chronically activated microglia were found in TBI patients up to two decades after the initial traumatic insult. [5,11] Neuroinflammation in the TBI brain appears to be more widespread. In TBI mouse model, there was significant upregulation of activated microglial cells in both gray and white matter not only at the TBI impacted cortical site but also at proximal adjacent ipsilateral areas and distal areas. [12]

### Detrimental effects of chronic neuroinflammation

Chronic neuroinflammation is mediated by both central and peripheral sources. [6,13] If this delicate innate-adaptive, central-peripheral immune dialogue between central microglia and systemic lymphocytes is not properly regulated, [8] the resulting regulatory imbalance sustains a harsh environment by chronic neuroinflammation and causes secondary cell death and adverse neurological deficits. In stroke and TBI, physical trauma to the BBB activates an innate immune response, but the consequences of such mechanical damage extend beyond if not properly mitigated. Peripheral immune cells infiltrate the brain via the compromised BBB and thus exacerbate any existing central neuroinflammation.

#### Stroke and chronic neuroinflammation

The chronic "degenerative" stage of stroke involves BBB disruption associated with infarction of the parenchyma and cerebral vasculature. [14] At this point, invasion of immune cells and serum proteins through the damaged endothelial cell barrier precipitates adverse physiological consequences such as propagation of neuroinflammation, increased cerebral pressure and increased cellular death, [7] thus, the initial brain damage caused by stroke is exacerbated by this secondary BBB destruction. Ischemic stroke was found to induce an autoimmune response to neuronal antigens that can possibly potentiate or ameliorate long-term neuroinflammation. [15]

### TBI and chronic neuroinflammation

Similarly, peripheral immune cells enter the TBI brain through the damaged BBB, continuing to release pro-inflammatory cytokines, attract more immune cells, and activate microglia, rendering a cycle of extended inflammation in the brain. A decrease in hippocampal neurons and decline in cell proliferation in the ipsilateral subventricular zone and the subgranular zoneconsistent with TBI pathology was also observed, indicating the deleterious effects of chronic inflammation. [12] Low graft survival of stem cells has been documented in the TBI brain during investigational cell therapy treatments, which may be attributed to the harsh environment caused by this secondary neuroinflammatory response. [16]

Secondary neuronal damage caused by chronic inflammation of activated microglial cells appears to be the link between TBI and Alzheimer's disease (AD) neuropathology. [5] Several neuropathological hallmarks of Alzheimer's were observed in brains chronic TBI patients. namelyamyloid-beta (AB) plagues and neurofibrillary tangles.[17] AB42 aggregation has been attributed to aging microglia's reduced phagocytic capacity and therefore decline in microglial clearance of AB plagues. [5] Further, post mortem analysis of TBI patient brains showed senile AB plagues present across all age groups, including children, suggesting that TBI is indeed the cause of AD in these patients. [5] Chronic inflammation and subsequent neuronal degeneration makes patients vulnerable to neurological deficits. In addition to Alzheimer's disease, TBI is strongly associated with several other neurologic disorders 6 months or more after injury.[18] Uryu et al.[19] characterized multiple proteins implicated in neurodegenerative diseases in post-mortem TBI brains, formed within 4 h to 5 weeks of injury. AB plaques co-accumulated with amyloid precursor protein, beta-secretase, and presenilin 1 and the presence of alpha synuclein was confirmed all within the axonal bulbs.[19] Alpha-synuclein is a presynaptic neuronal protein that aggregates to form toxic protofibrils which are then released from dying neurons to contribute to pathogenesis and also accumulated in the CSF following TBI in infants and children. [20,21] Synucleinopathy additionally links TBI and Alzheimer's to Parkinson's disease (PD). PD displays the same active contribution of reactive microglia to loss of dopaminergic neurons.[4] This "reactive gliosis" upregulates pro-inflammatory cytokines in both the brain and CSF of PD patients.[4] Finally, microglia are the first to respond to a traumatic spinal cord injury and were found to remain activated for at least 6 months post-injury in humans.[22] Intraspinal neurons and astrocytes contribute by producing pro-inflammatory cytokine IL-1B.[22]

The next section of this paper discusses the sources of inflammation after TBI and stroke, and how inflammation contributes to the pathogenesis of these disorders.

### SOURCES OF INFLAMMATION: CENTRAL AND PERIPHERAL

### Central and peripheral sources of neuroinflammation

There are both central and peripheral sources contributing to neuroinflammation of neurological disorders [Figure 1]. Traditionally, chronically activated microglia has been the targets of therapeutic treatment

but research suggests another viable option. Stem cells were shown to preferentially migrate to the spleen following ischemic stroke, [3] and splenectomies following stroke or TBI reduced neuronal damage. [23-26] This supports the concept of an existing dialogue between the local CNS and systemic immune system, because the spleen is the primary source of systemic inflammation, it has been the focus of investigation in the "brain-spleen inflammatory coupling" associated with stroke, TBI, and other neurological disorders. [18] Therefore, sequestration of inflammation to the spleen in order to attenuate chronic neuroinflammation and improve the efficacy of stem cell therapy provides a promising therapeutic approach to stroke and TBI treatment.

We have chosen to separate the body's response into two categories, central inflammation and peripheral inflammation, in an attempt to show the peripheral immune response's contribution to the cognitive decline following TBI and stroke, and elucidate the potential for research into novel therapies. By central inflammation we are referring to the role of resident cells of the CNS in inflammation, and by peripheral inflammation we are referring to the contribution of the systemic immune response to neuroinflammation after traumatic brain injury or stroke.

### **Central source of neuroinflammation**

Traditionally, the immune system is thought to be non-existent in the CNS. Accumulating evidence now

suggests that the CNS has its own immune system, and in addition, the peripheral immune system may play a role in neuroinflammation. [27-29] As mentioned in the previous section, the negative outcomes of stroke and TBI are exacerbated by the body's reaction to the injury. The body's inflammatory response, which protects against infection, also induces a chronic state of deterioration in the CNS, exacerbating the neurological deficits caused by the initial injury.

#### Glia

When talking about the CNS and immunity, it is important to highlight the role of glia. Glia are non-neuronal cells that maintain homeostasis; two common glia are astrocytes and microglia. Astrocytes make up the blood brain barrier, which separates the CNS from the rest of the body, including the peripheral immune system. To prevent entry of peripheral immune cells and counter otherwise widespread cerebral inflammation, the BBB forms a physical boundary with a specialized microvasculature consisting of endothelial cells connected by adherent and tight junctions. [30] This allows control of cerebral homeostasis via selective transport of molecules and cells. [31] When the BBB is compromised and microglia are activated, inflammation of the brain ensues.

### Microglia

Microglias are the innate immune cells of the CNS. They are cells of myeloid lineage that populate the CNS during embryogenesis, and thus act similarly to

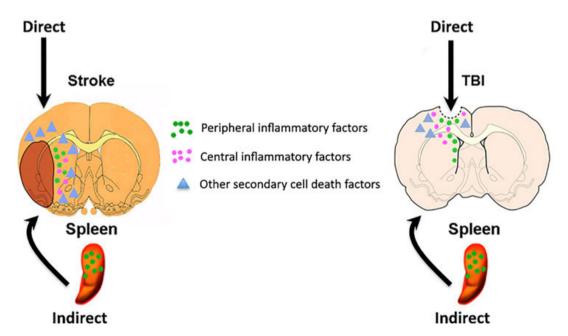


Figure 1: Central and peripheral sources of inflammation. Following CNS injury, such as stroke and TBI, the traditional concept entails a robust inflammatory response within the brain, but equally compelling recent evidence has demonstrated an active inflammatory response, especially from the spleen, contributing to the progression of the disease. Together with other secondary cell death factors, both central and peripheral inflammation exacerbate CNS injury. CNS: central nervous system; TBI: traumatic brain injury

the peripherally acting cells of the myeloid lineage: macrophages and dendritic cells.[32] Resident microglia are capable of clearing foreign pathogens or mediating a local, innate immune response in the brain. Microglia can be observed along a continuum of three idealized phenotypic states; resting, activated nonphagocytic (antigen presenting cell like), and activated phagocytic, depending on their level of activation. which is dictated by the amount and type of cytokines in the surrounding microenvironment.[33-35] Microalia sense the microenvironment, and mount a protective immune response after TBI, however the response is excessive and prolonged, and ends up leading to further degeneration instead of regeneration and repair.[11] Like macrophages, microglia can polarize into two subcategories, M1 or M2.[6] M1 is predominantly pro-inflammatory, and secretes high levels of proinflammatory cytokines like IL-1, IL12, and IFN-y, and low levels of anti inflammatory cytokines like IL-10.[6] M2 is typically anti-inflammatory, and acts to clear debris and promote regeneration.[3] Pro-inflammatory M1 macrophages predominate after CNS injury. [6,11]

### Central immune cells contribute to diffuse axonal injury

As mentioned in the previous section, current research shows that neuroinflammation is a major source of secondary cell death after TBI and stroke.[36-38] The major players in neuroinflammation are immune cells. microglia, cytokines, and chemokines that altogether exacerbate neuronal cell death after initial injury.[39] and lead to a phenomenon known as diffuse axonal injury, which leads to extensive lesions of cerebral white matter over a widespread area, outside of the initial lesion. [27,40] Insult to the CNS, either TBI or stroke, generates a neuroprotective immune response to prevent infection and stimulate neuronal repair. After injury to the CNS, neurons, astrocytes, and microglial cells all respond to play a role in the inflammatory response that ensues.[27] Glutamate release after TBI causes hyperactivity of neurons, leading to prolonged levels of intracellular calcium, and eventually cell death, this is known as excitotoxicity. [28] Both astrocytes and microglia contribute to the inflammatory response by producing chemokines; chemokines then attract monocytes to the site of injury.[27]

### Microglial cell function and CNS injury

Microglia has several distinctive properties that allow them to participate in the pathological neurodegenerative processes after CNS injury. Upon activation, microglia undergo morphological changes, proliferation, and expression of major histocompatibility complex (MHC) class II molecules. They are capable of phagocytosis of damaged and fragmented neuronal

elements, antigen presentation to T lymphocytes, and production of soluble factors that at sufficient concentrations can induce further tissue injury and gliosis. [6,35] Pro-inflammatory cytokines, such as these released after neuronal injury, are strong activators of microglia. [41-43] Once activated, microglia produce more pro-inflammatory cytokines such as IL-1 $\beta$ , which ultimately leads to an extensive chronic proinflammatory state in the CNS. [11,44]

Both TBI and stroke is characterized by an initial insult to the CNS, compromising the blood brain barrier and causing microglial activation. [6] Prolonged microglial activation leads to a chronic inflammatory response that causes excitotoxicity, oxidative stress, mitochondrial dysfunction, blood brain barrier disruption, and inflammation. [45-49] Inflammation activates microglia, which then release more pro-inflammatory cytokines such as TNF $\alpha$  and IL-1 $\beta$  which cause upregulation of cell adhesion molecules in the surrounding vasculature and lead to a further increase in blood brain barrier permeability, and allows systemic involvement in neuroinflammation. [6,11,44,50]

### M1 microglia afford neurodegenerative effects

As mentioned before, microglia can polarize into either M1 or M2 when activated. After CNS injury, both types of microglia are present, but type M1 tends to predominate and persist, leading to neurodegeneration instead of repair. [6,11] The capacity of the microglia to drive the response to CNS injury towards either further damage or repair exemplifies its role as a key player in central immunity, and is the reason it has become the target in studying the cognitive decline due to neuroinflammation after traumatic brain injury and stroke.

### Pathological processes involving microglia

In addition to recruiting other immune cells to the site of injury, microglia contributes directly to neuronal damage through several pathological processes. When highly activated, microglia are capable of phagocytosis. [35] In the case of CNS damage, activated microglia phagocytose neuronal elements. Activated microglia also produce reactive oxygen species and reactive nitrogen species. [6] These are highly reactive molecules that increase the oxidative stress, and lead to destruction of neuronal cell membranes through lipoperoxidation. [51] Cell membranes allow the cell to maintain homeostasis; once the membrane is compromised the cell can die.

### Chronic activation of microglia

The secondary inflammatory damage after insult to the CNS can be observed as cognitive decline days and even up to years after initial injury.<sup>[11]</sup> In animal models it has been shown that microglia can be active for up to one year after TBI.<sup>[6,52-55]</sup> Post mortem studies have shown activated microglia up to 17 years after TBI in adult humans.<sup>[6,55]</sup> The observed cognitive decline, in conjunction with the presence of activated microglia after injury suggests a persistent chronic inflammatory stage mediated by microglia that exacerbates TBI and stroke pathology.

### Peripheral source of neuroinflammation

The immune system consists of a network of cells. tissues, and organs that coordinate to protect the body from foreign pathogens, and promote tissue healing and regeneration. When the body is injured, cell death leads to leakage of nuclear or cytosolic proteins or protein fragments into the extracellular space. These intracellular fragments pro-inflammatory signals called associated molecular patterns (DAMPS). DAMPS are recognized by pattern recognition receptors on dendritic cells, macrophages, and other cells such as vascular cells, epithelial cells, and fibroblasts, and elicit a pro-inflammatory response from these cells.[56] Once an immune response is mounted, it can either persist as chronic inflammation, or move towards resolution and tissue healing.

### Peripheral immune cells are recruited after CNS insult

The inflammatory response activates the complement system to recruit immune cells to the intrathecal compartment; [48] neutrophils, monocytes and lymphocytes all cross the blood brain barrier and chemotax towards the site of injury. [57] Once these cells have reached the site of injury, they are activated to secrete free radicals, pro-inflammatory cytokines, prostaglandins and other inflammatory mediators, resulting in recruitment of more immune cells and microglia to the site of injury. [57,58] A great deal of research has been done on microglia, highlighting it as the key player in coordinating the immune response after an insult to the CNS.

### Systemic immune response

The role of resident immune cells in the CNS after TBI is only part of the story. To get a full picture of the inflammatory response to TBI we must also look at the peripheral immune system. Multi-organ damage following TBI can lead to a more robust immune response in the brain, [28] highlighting the possibility that systemic inflammation could play a role in neuroinflammation. Because the BBB is compromised in a CNS injury, circulating inflammatory cells and cytokines can access the brain and

contribute to the pathogenesis of TBI. [28,59] Leakage of chemokines and other inflammatory molecules through the compromised blood brain barrier into systemic circulation can attract peripheral immune cells to the site of injury. [28] This can potentially lead to an overactive inflammatory response known as systemic immune response syndrome. [28] Negative feedback to systemic inflammation is provided by the hypothalamus-pituitary-adrenal axis and sympathetic nervous system efferents. [28] In TBI, an imbalance between systemic immune response and negative feedback can lead to either excessive organ damage or susceptibility to infections and lack of regeneration. [28]

### Role of peripheral chemokines

Cytokines and chemokines are very important to the pathogenesis of TBI. Although their exact role is unclear, data suggests that cytokines play a pivotal role in the body's response to TBI. After insult to the CNS, upregulation of the following cytokines occurs: TNF $\alpha$ , IL-1β, IL-2, IL-6, IL-8, IL-4, IL-18. [60-62] One important peripherally secreted chemokine chemokine (C-C motif) ligand 20 (CCL20) is upregulated after TBI, and interacts with CC chemokine receptor 6 (CCR6) to induce chemotaxis of T cells, B cells, and dendritic cells.[28] These cells can be found in the spleen, and are known to contribute to the pathogenesis of TBI.[28] Other peripheral cells are found at the site of injury, and contribute to the inflammatory process. It is known that the concentration of neutrophils peaks around 3-24 h after injury, and the concentration of monocytes peaks around 1-2 days after spinal cord iniurv.[63]

### Synergistic central and peripheral inflammation

In the case of chronic neuroinflammation, both central and peripheral sources of inflammation work together to create a hyperactive immune response that ultimately leads to further damage rather than repair of neural tissue. [27,28]

It is well known that CCL20 acts as a chemokine for CCR6 expressing cells. In an experimental autoimmune encephalomyelitis (EAE) model, which is an animal model for brain inflammation similar to multiple sclerosis in humans, researchers have observed that CCL20 acts as a ligand for CCR6, allowing homing of lymphocytes, and other leukocytes to neural tissue. [64] In this specific case, it allows trafficking of Th17 or Th1 CD4+ Th cells, which release pro-inflammatory cytokines that can cause chronic inflammation. [64,65] CCL20 expression in the choroid plexus allows passage of CCR6+ Th cells to enter the CNS in the uninflamed brain, which then

allows a CCR6 independent pathway of recruitment of T cells to the brain parenchyma in the EAE model. [64] CCL20 expression is upregulated by proinflammatory cytokines IL6, and IL17. [65]

In a lateral fluid percussion model of TBI, Das shows that CCL20 expression is upregulated in the thymus and spleen 24 h after TBI, and upregulated in the cortex and hippocampus 48 h after TBI.[27] Based on the evidence obtained from the EAE model, this suggests a mechanism for peripheral involvement in neuroinflammation.[27,28,64] The fact that CCL20 is expressed in the spleen and thymus after TBI, before it is expressed in the brain, and brain CCL20 expression is reduced in rat's who's spleens have been removed suggests a peripheral mechanism of activation for CCL20 expression in the CNS.[27] It also speaks to the role that CCL20 plays in neuroinflammation after TBI. In other words, CCL20 upregulation in the spleen and thymus after TBI could indicate a peripheral signal that drives neuronal degeneration.[27]

In stroke, we see a similar peripheral involvement in chronic inflammation after insult. Nguyen and colleagues characterized the cytokine profiles in mice after ischemic CNS infarct, and showed a polarized T cell response based on the type of mouse used. [66] C57BL/6 mice had a Th1 polarized response, and BALB/c mice had a Th2 polarized response. [66] This suggests that the chronic inflammatory response in stroke patients could follow different courses, depending on the individual afflicted. [66]

In all of these instances, peripheral involvement in neuroinflammation acts in addition to the central inflammation perpetuated by microglia and other inflammatory mediators. In summary, injury to the CNS leads to a peripheral and central response that act together to cause inflammation, which eventually leads to a chronic inflammatory state that causes neural degeneration rather than repair and resolution after insult.

Although a strong connection between CNS injury and the immune system has been shown, little research has been directed at exploring the role of the thymus in TBI. Recent studies have shown upregulation of CCL20 in the thymus after TBI.<sup>[27]</sup> Other studies have shown that the liver may play a role in exacerbating the neuronal degeneration after TBI (Campbell *et al.*<sup>[67]</sup>). Depletion of hepatic Kupffer Cells reduced ED-1 positive macrophage and neutrophil migration into an IL-1β injected brain.<sup>[67]</sup> As a reservoir of peripheral immune cells, the spleen has been shown to play a major role in traumatic brain injury.<sup>[28]</sup>

# ROLE OF THE SPLEEN AS A MAJOR PERIPHERAL INFLAMMATORY CONTRIBUTOR TO CNS INJURY

### **Function of the spleen**

It has been shown that the spleen initiates an immune response that exacerbates the pathology of stroke and TBI, however the connection between brain injury and a splenic response has yet to be fully elucidated. The spleen has several functions in the body. It is a major lymphatic organ that lies within the peritoneal cavity; it actively monitors the body's circulation and filters blood. [68,69] In humans, the spleen plays a role in the mononuclear phagocyte system, recycles iron from old red blood cells, and mounts a defense against blood borne pathogens. [69,70]

### The spleen as a reservoir of systemic immune cells

The spleen is also a reservoir of platelets, peripheral macrophages, and other immune cells. [28,70,71] Scientists used to think that the majority of monocytes patrolled the circulation, and irreversibly differentiated into macrophages and dendritic cells upon extravasation and tissue entry. [70] It is now known that the spleen actually acts as a reservoir for undifferentiated monocytes, and monocytes in the spleen outnumber monocytes patrolling the circulation. [68,70] This means that a majority of undifferentiated monocytes reside in the spleen, waiting to be deployed. Monocytes, distinct from macrophages and dendritic cells, cluster in the cords of the subcapsular red pulp of the spleen. [70]

### Splenic immune cells home to injuries throughout the body

Spleen has the ability to rapidly deploy this cohort of undifferentiated monocytes. [68,70,72] Splenic monocytes have been shown to exit the spleen and accumulate in the heart after myocardial infarction to participate in immunological processes such as wound healing.[70] In the context of CNS injury, several aspects of the splenic response have been observed. One study has shown that the number of T cells in the spleen decreases 1-2 days after traumatic brain injury.[72] In a study that induced middle cerebral artery occlusion (MCAO) on mice, researchers observed both splenic contraction and a reduction in the number of splenic cells after stroke was induced.[68] In that same experiment, splenic contraction coincided with a decrease in monocytes in the spleen, and a concurrent increase in the same subsets of monocytes in the ischemic brain. [68]

### **Spleen and CNS injuries**

Since research has shown that the immunologic response to TBI and stroke can in fact exacerbate the

damage from the initial injury, and further research has suggested that the spleen plays a role in mounting an immune response to the injured CNS, researchers tried knocking out the function of the spleen to observe the effect on TBI and stroke. Ajmo et al.[73] showed that removal of the spleen two weeks before permanent MCAO significantly reduced the infarction volume. In another study, researchers showed that removal of the spleen just before temporary MCAO caused a reduction in the accumulation of monocytes in the brain, but did not significantly change the infarct size.[68] In addition, splenectomy in rats immediately after traumatic brain injury reduced circulating levels of pro-inflammatory cytokines, decreased mortality, and increased cognitive functioning.[74] Furthermore, it has been shown that splenectomy immediately after mild TBI in rats attenuated CCL20 chemokine expression and neurodegeneration in the brain.[27]

### The spleen and cognitive deficits

Although splenectomy is probably not advisable in human patients that have received a traumatic brain injury or stroke, these studies highlight the importance of the spleen in CNS injury. The splenectomy studies, in conjunction with the studies that show a loss of immune cells from the spleen and the appearance of the same subset of cells in infarcted brain tissue after stroke, lead researchers to believe that the spleen is bolstering the immune response in CNS injury. This data suggests that the spleen plays a role in the secondary wave of neurodegeneration after TBI and stroke, leading to more severe cognitive deficits.

### Blood flow and microglial cytokines

Quantifying blood flow to the spleen after injury is important to understand the role of the spleen as a mediator in the immune process. Several ways of measuring blood flow to the spleen have been described. The control of blood supply to the spleen involves several aspects. It has been shown that IL-1 increases splenic blood flow by affecting the sympathetic vasoconstrictor tonus. In order for the spleen to remain perfused, resident macrophages must produce IL-1\beta to counteract noradrenergic vasoconstriction.[75] Sympathetic tone reduces perfusion, whereas inflammatory mediators such as IL-1 $\beta$  increase perfusion.

#### Blood flow after CNS insult and spleen

Blood flow to the spleen after TBI shows a biphasic hemodynamic pattern. In a study by Yuan *et al.*,<sup>[76]</sup> blood flow measurements were taken at 5 min, 15 min, 30 min, and 60 min after injury. Fluid percussion brain injury produced an immediate systemic hypertension followed by hypotension and low cardiac output.

Immediately after TBI, blood flow to all organs either remained the same or increased for 30 min, then gradually decreased. Blood flow to the kidney and spleen were decreased the most after TBI, which was attributed to sympathetic activity because of the high amount of sympathetic vasoconstrictor fibers running to those organs. The resulting hyperactive sympathetic response, similar to what happens after TBI, is characterized by a widespread vasoconstriction that is also selective; flow is decreased through kidneys and splanchnic organs such as the spleen but not decreased to the heart. It will be interesting if a similar phenomenon characterized by blood flow alterations in the spleen accompanies stroke.

### **Brain-spleen inflammatory coupling in CNS injuries**

Lastly, it has been shown that immune cells in the spleen respond to cholinergic input. Studies have shown that there is a correlation between brain injury and autonomic release of pro-inflammatory cytokines from splenic macrophages. In a concept known as "brain-spleen inflammatory coupling", researchers have hypothesized that the changes in autonomic input after CNS injury lead to systemic responses, including a response from the spleen. In increase in pro-inflammatory cytokines in the brain after CNS injury stimulates the posterior hypothalamus to increase sympathetic tone, leading to catecholamine release from the adrenal glands and peripheral vasoconstriction.[71] It has been shown that macrophages in the liver respond to adrenergic/ cholinergic input, and thus can respond to changes in autonomic tone. [71,73,77] The body responds to CNS injury by increasing sympathetic tone, and immune cells in the spleen respond to this adrenergic input by producing large amounts of the pro-inflammatory cytokines TNF- $\alpha$  and IL-1 $\beta$ .<sup>[71,78]</sup> It is hypothesized that this systemic inflammatory response to TBI and stroke exacerbates TBI pathology.

Whereas elevated sympathetic tone increases the pro-inflammatory response from the spleen, increased parasympathetic tone has been shown to decrease the pro-inflammatory response from the spleen. [71] Macrophages in the spleen express a nicotinic catecholamine receptor  $\alpha$ 7nAChR which responds to parasympathetic input by reducing production of the pro-inflammatory cytokine TNF $\alpha$ . [71,79] Selectively activating this receptor after stroke in rats was shown to reduce infarct size and improve survival. [80] Other studies have shown improved neurological outcomes in animal models for stroke by either direct or indirect stimulation of this receptor. [71] This evidence suggests that

sympathetic and parasympathetic tone affect the splenic response to CNS injury, and targeting this response has the potential for novel therapeutic strategies.

## A PARADIGM-SHIFT IN OUR UNDERSTANDING OF CNS INFLAMMATION

Many neurological disorders, including traditionally considered acute injuries such as stroke and TBI. have now been recognized as being plagued by neuroinflammation, which significantly contributes to the disease progression and is associated with secondary cell death reminiscent of chronic neurodegeneration. A worsening prognosis of stroke and TBI has implicated massive inflammation, arising not just from the injured but equally robustly detected from the peripheral organs, specifically the spleen. By investigating the sources and mechanisms of neuroinflammation, in particular the role of spleenmediated inflammatory response, novel cell death pathways as well as innovative therapeutic targets are identified for interrupting this inflammatory process and providing avenues for ameliorating the secondary cell death of stroke and TBI. Recognizing that both the CNS and the peripheral immune system play important roles in the inflammatory process is a key to deciphering the cellular, molecular, and genetic pathways of neuroinflammation, and broadens our scope for developing new anti-inflammation-based treatments. As a major secondary lymphoid organ, the spleen intimately participates in the peripheral immune response that can exacerbate neuroinflammation and the subsequent chronic neurodegeneration. Accordingly, when contemplating with an antiinflammatory strategy for stroke and TBI, an in-depth examination of this accumulating preclinical evidence suggesting the involvement of central and systemic sources of inflammation will be critical to a better understanding of the pathology and treatment of the secondary cell death that closely approximates the disease progression of stroke and TBI.

#### **Authors' contributions**

Conceptualized the topic: C.V. Borlongan Drafted, wrote, and approved the final version of this paper: P. Marcet, N. Santos, C.V. Borlongan

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#### **Conflicts of interest**

C.V. Borlongan has patents and patent applications relating to stem cell therapy for stroke and TBI.

#### **Patient consent**

There is no patient data involved.

### **Ethics approval**

Not applicable.

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