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# Control of exposed crystal planes of CeO<sub>2</sub> enhances electrocatalytic nitrate reduction

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## Abstract

Cerium dioxide (CeO<sub>2</sub>) has emerged as a promising electrocatalyst for electrocatalytic nitrate reduction to produce ammonia (NRA). However, the NRA performance of CeO<sub>2</sub> still needs to be improved and the interface-related NRA electrocatalytic activity of CeO<sub>2</sub> is unclear. Herein, CeO<sub>2</sub> with exposed (111) or (200)/(220) planes is prepared by adjusting the amount of added surfactant simply. The CeO<sub>2</sub> with exposed (220)/(200) planes presents higher NRA performance than that of CeO<sub>2</sub> with the exposed (111) plane. Based on density functional theory, the enhanced mechanism is revealed. The exposed (111) plane of CeO<sub>2</sub> repels NO<sub>3</sub><sup>-</sup>, interrupting the following NRA processes. For exposed (200)/(220) planes of CeO<sub>2</sub>, they show high affinity for NO<sub>3</sub><sup>-</sup> and relatively low energy barriers for NRA reactions, bringing about enhanced NRA performance. This work shows a crystal-plane-dependent strategy for enhancing the catalytic performance of electrocatalysts.

**Keywords:** Exposed crystal plane, electrocatalytic nitrate reduction, CeO<sub>2</sub>, DFT

## INTRODUCTION

Nitrate pollution poses a major threat to water resources and ecosystems, leading to adverse health effects and ecological imbalances<sup>[1,2]</sup>. By utilizing electrocatalytic reduction, nitrate can be converted into ammonia



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(NH<sub>3</sub>) efficiently, which is widely used as a fertilizer and precursor for various industrial processes<sup>[3,4]</sup>. This approach offers a promising alternative to traditional methods of NH<sub>3</sub> production, such as the energy-intensive Haber-Bosch process which consumes large amounts of fossil fuels and emits greenhouse gases<sup>[5-7]</sup>. The electrocatalytic and thermal catalytic nitrate reduction to produce NH<sub>3</sub> (NRA) provides a greener and sustainable pathway for NH<sub>3</sub> production but also presents from nitrate pollution<sup>[8-10]</sup>. In contrast, thermal catalytic NRA requires a hydrogen source, leading to high complexity of the catalytic device. The electrocatalytic NRA operates with a simple experimental device driven by green electric energy, and it looks more promising. Nevertheless, due to the involvement of an eight-electron transfer, the electrocatalytic reaction exhibits complex reaction pathways and intermediates, and the competitive hydrogen evolution reaction (HER) weakens the electrocatalytic efficiency<sup>[11-13]</sup>. As a result, there is an urgent need to design electrocatalysts with high efficiency and selectivity for NH<sub>3</sub> synthesis.

Cerium dioxide (CeO<sub>2</sub>), as a rare earth metal oxide, possesses a distinctive crystal structure, such as the flexible conversion between Ce<sup>4+</sup> and Ce<sup>3+</sup><sup>[14-16]</sup>. These unique characteristics contribute to its excellent redox properties and high oxygen mobility and make it highly valuable in various electrochemical fields<sup>[17-22]</sup>. Electrocatalytic procedures are interface reactions conducted on the exposed planes of electrocatalysts. Many works have found that catalytic performance is strongly dependent on the exposed crystal planes, because the exposed planes would interact with the reactants/intermediates resulting in different adsorption energy, Gibbs free energy and activity of competitive/side reactions<sup>[23,24]</sup>. Therefore, the NRA performance can be enhanced by controlling the exposed crystal planes. Moreover, the effect mechanism of different exposed crystal planes of CeO<sub>2</sub> on the NRA processes remains ambiguous.

Herein, the exposed crystal planes of CeO<sub>2</sub> are controlled by adjusting the quantity of cetyltrimethylammonium bromide (CTAB), and CeO<sub>2</sub> with exposed (111) plane or (220)/(200) planes is prepared. The CeO<sub>2</sub> with exposed (220)/(200) planes presents higher NRA performance than that of CeO<sub>2</sub> with the (111) plane. Compared with the CeO<sub>2</sub> with exposed (111) plane, the NH<sub>3</sub> yield rate (Yield<sub>NH<sub>3</sub></sub>) and Faraday efficiency (FE) of CeO<sub>2</sub> with exposed (200)/(220) planes increase by 11.9% and 37.7%, respectively. Density functional theory (DFT) is used to reveal the underlying mechanism. This work paves a new pathway for designing high-performance NRA electrocatalysts.

## EXPERIMENTAL DETAILS

### Preparation of CeO<sub>2</sub>

First, 0.87 g of Ce(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O was dissolved in 60 mL of ethanol to obtain solution A. In a separate container, a specific amount of CTAB (0.5, 1.0, or 2.0 g), formic acid (575 uL), and dimethylamine (495 uL) were mixed in ethanol (60 mL) to obtain solution B. Solution A was then added into solution B with stirring (30 min) to obtain a mixture. Subsequently, the mixture was stood overnight to obtain the precipitate. The precipitate was washed and dried (80 °C), and the materials were sintered at 500 °C in an air atmosphere for 3 h. The resulting products were marked as CeO<sub>2</sub>-CTAB0.5, CeO<sub>2</sub>-CTAB1.0, and CeO<sub>2</sub>-CTAB2.0, corresponding to the different amounts of CTAB used in the synthesis process.

### Characterization

X-ray diffraction (XRD) patterns were obtained using a D8 Advance diffractometer (Bruker, Germany) equipped with Cu K $\alpha$  radiation. Scanning electron microscopy (SEM) images were acquired with a ZEISS MERLIN Compact microscope (Zeiss, Germany). The Brunauer-Emmett-Teller (BET) tests were performed with an ASAP2460 instrument (Micromeritics, USA). Transmission electron microscopy (TEM) images were captured using a FEI Tecnai G2 F20 microscope (FEI, USA). The thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) curves were obtained through testing on the TGA5500

instrument (TA, USA).  $\text{NH}_4^+$  concentrations were measured employing a UV-1700 spectrophotometer (SHIMAZHU, Japan). Electron paramagnetic resonance spectroscopy (EPR) testing was used to test the oxygen vacancies of the samples and was performed on a Bruker A300 (Bruker, Germany). Other details for characterizations and calculations were described in the [Supporting Material](#).

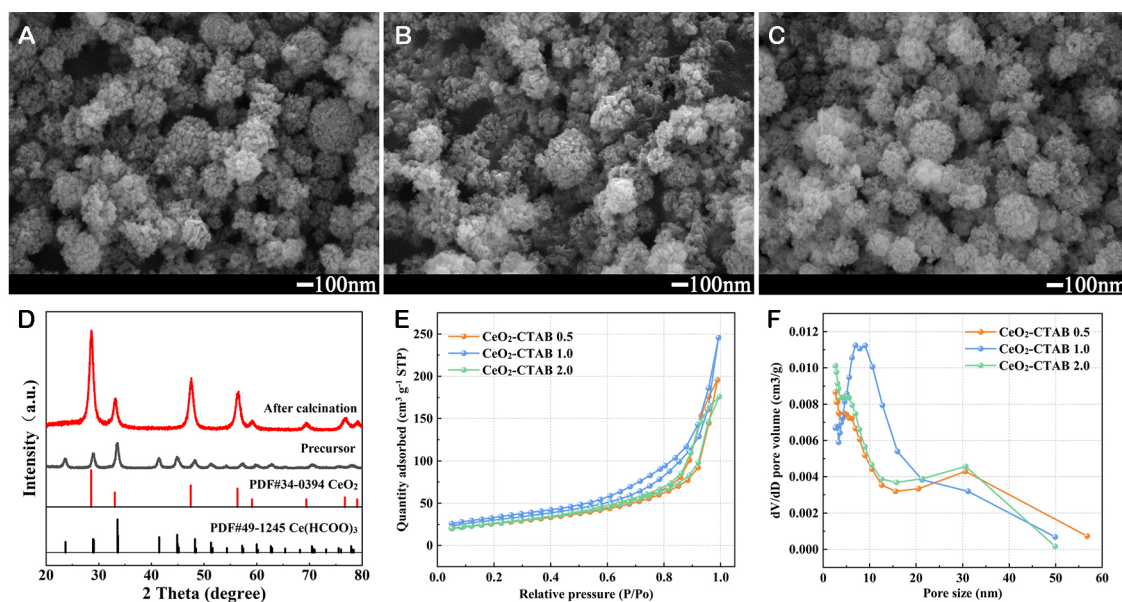
### Electrochemical tests

Electrochemical tests were conducted using an Interface 1010E electrochemical workstation (Gamry, USA) with an H-type electrolytic cell. The three-electrode system comprised a sample as the working electrode, a platinum sheet as the counter electrode, and the Hg/HgO as the reference electrode. All measured potentials were converted to the reversible hydrogen electrode (RHE) scale, determined by  $E(\text{RHE}) = E(\text{Hg}/\text{HgO}) + 0.059 \times \text{pH} + 0.098$ . To prepare the working electrode, a dispersion was created by combining 5 mg of the samples with 50  $\mu\text{L}$  Nafion solution (5 wt%) in 450  $\mu\text{L}$  of ethanol. Then, 100  $\mu\text{L}$  droplets of the dispersion were carefully transferred onto  $1 \times 1 \text{ cm}^2$  carbon paper. The loading mass of the working electrode was around 0.2 mg. For electrochemical testing, 50 mL electrolyte (0.1 M  $\text{Na}_2\text{SO}_4$ ) was added to the anodic electrolytic cell, and 50 mL electrolyte (0.1 M  $\text{Na}_2\text{SO}_4$  and  $\text{NaNO}_3$ ) was added to the cathodic electrolytic cell. The electrolyte type, pH and concentration setting is the same as the related work<sup>[25]</sup>. Before testing, argon gas was bubbled through the electrolyte to remove any traces of nitrogen. Linear sweep voltammetry (LSV) was performed with a scan rate of 10 mV/s, and potentiostatic testing was conducted at a constant potential for an hour. Subsequently, the electrolyte after the tests was subjected to UV-Vis light analysis to calculate the  $\text{Yield}_{\text{NH}_3}$  and FE. The stirring rate remained constant for all tests.

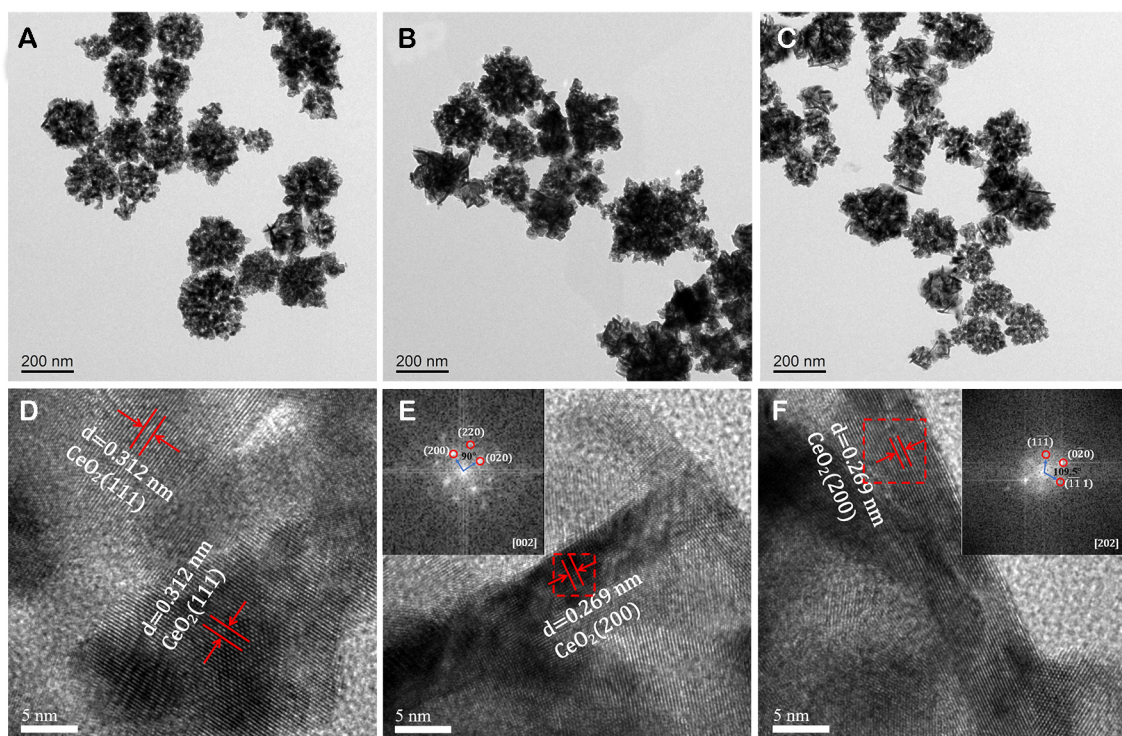
## RESULTS AND DISCUSSION

[Figure 1A-C](#) presents the SEM images of the samples; it is evident that the samples predominantly consist of nearly spherical agglomerates. These agglomerates exhibit a wide size distribution, ranging from around 100 to 400 nm. Additionally, with increasing amounts of CTAB, no significant change in the morphology and size of agglomerates indicates that the amount of CTAB has little effect on its morphology and size. The XRD patterns show that the precursor material is cerium formate, which transforms into  $\text{CeO}_2$  upon calcination [[Figure 1D](#)]. The peaks at  $28.6^\circ$ ,  $33.2^\circ$ ,  $47.6^\circ$ ,  $56.5^\circ$ ,  $59.2^\circ$ ,  $69.4^\circ$ ,  $76.9^\circ$ ,  $79.3^\circ$  and  $88.6^\circ$  correspond to (111), (200), (220) (311), (222), (400), (331), (420) and (422) planes of  $\text{CeO}_2$  (PDF 34#0394), respectively. [Figure 1E](#) and [F](#) demonstrates the  $\text{N}_2$  adsorption/desorption isotherm curves and pore size distribution curves of samples. Based on the classification of International Union of Pure and Applied Chemistry (IUPAC) adsorption isotherms, those curves correspond to the type III adsorption model indicating the porous nature of samples<sup>[26]</sup>. BET specific surface areas of  $\text{CeO}_2\text{-CTAB}0.5$ ,  $\text{CeO}_2\text{-CTAB}1.0$ , and  $\text{CeO}_2\text{-CTAB}2.0$  are 83, 97, and 87  $\text{m}^2/\text{g}$ , respectively, and the average pore diameters calculated by the Barrett-Joyner-Halenda (BJH) method are 16.3, 16.0 and 13.8 nm, respectively. Therefore, adding CTAB does not significantly influence the morphology, size and specific surface area of  $\text{CeO}_2$ , and its effect on the NRA performance of  $\text{CeO}_2$  can be disregarded.

To further investigate the microstructure of  $\text{CeO}_2$  and assess its influence on performance, TEM testing was conducted [[Figure 2](#)]. [Figure 2A-C](#) further reveals the aggregation of smaller structures. Interestingly, the ratio of rod-shaped  $\text{CeO}_2$  increases significantly with the rising amount of CTAB. For the small granular  $\text{CeO}_2$ , the observed interplanar spacing is 0.312 nm [[Figure 2D](#)], which corresponds to its (111) crystal plane. This crystal plane is considered the most stable low-index plane for  $\text{CeO}_2$  and is exposed typically on granular  $\text{CeO}_2$  surfaces<sup>[27,28]</sup>. For the rod-shaped  $\text{CeO}_2$ , the observed interplanar spacing is primarily 0.269 nm corresponding to the (200) crystal plane [[Figure 2E](#) and [F](#)]. Additionally, for clearly identifiable single-crystal particles, fast Fourier transform (FFT) analysis can be applied to analyze the top and bottom exposed planes<sup>[29]</sup>. The norms of the vectors depicted in [Figure 2E](#) are all 0.269 nm, corresponding to the



**Figure 1.** SEM image of (A)  $\text{CeO}_2\text{-CTAB}0.5$ , (B)  $\text{CeO}_2\text{-CTAB}1.0$  and (C)  $\text{CeO}_2\text{-CTAB}2.0$ . (D) XRD patterns of precursor and calcined product of  $\text{CeO}_2\text{-CTAB}1.0$ . (E) The  $\text{N}_2$  adsorption and desorption isotherm curves. (F) Pore size distribution curves of  $\text{CeO}_2$ .



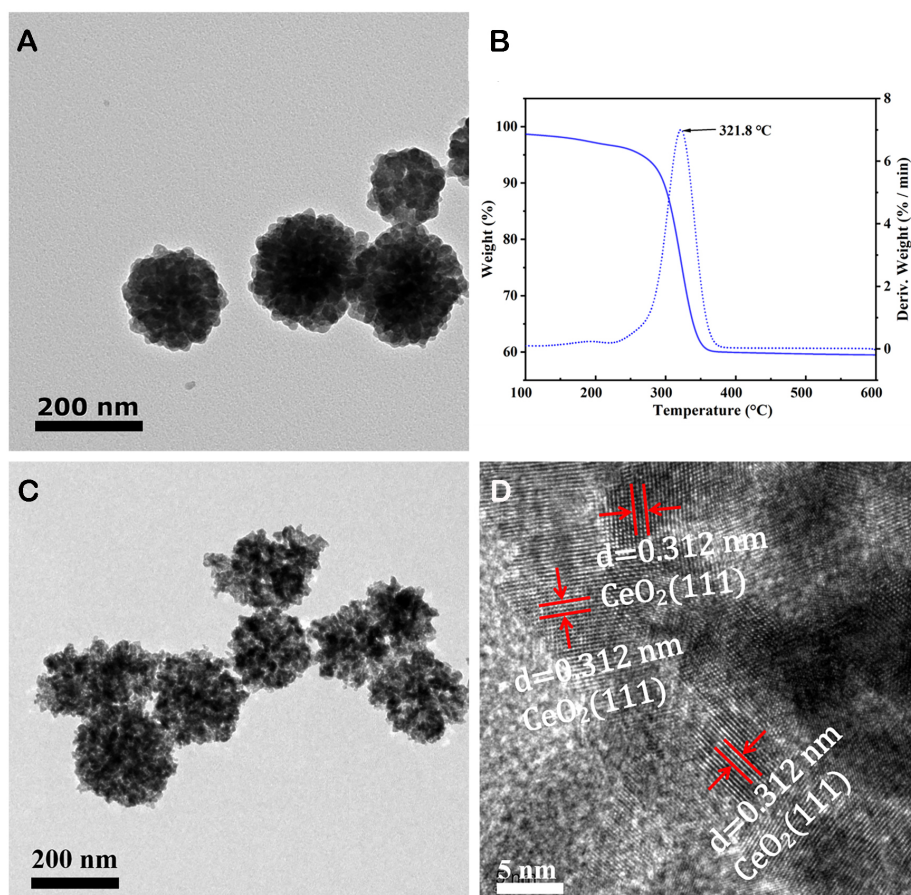
**Figure 2.** TEM morphology of (A)  $\text{CeO}_2\text{-CTAB}0.5$ , (B)  $\text{CeO}_2\text{-CTAB}1.0$  and (C)  $\text{CeO}_2\text{-CTAB}2.0$ . HR-TEM of (D) granular  $\text{CeO}_2$  and (E and F) rod-shaped  $\text{CeO}_2$  in  $\text{CeO}_2\text{-CTAB}1.0$ .

interplanar spacing of the (200) and (0 $\bar{2}$ 0) crystal planes. The angle between the vectors representing the (200) and (0 $\bar{2}$ 0) planes is measured as 90°, matching the interfacial angle between the (200) and (0 $\bar{2}$ 0) crystal faces. Therefore, the zone axis perpendicular to the diffraction plane can be identified as the [002] axis,

which confirms that the exposed top and bottom surfaces of the rod-shaped CeO<sub>2</sub> [Figure 2E] correspond to the (002) crystal plane. Likewise, it can be deduced that the top and bottom exposed surfaces of the rod-shaped CeO<sub>2</sub> shown in Figure 2F are (202) surfaces. Thus, the primary exposed surfaces of the rod-shaped CeO<sub>2</sub> are mainly (200) and (220), while that for granular CeO<sub>2</sub> is (111). The results align with previous studies<sup>[30,31]</sup>.

To determine whether the rod-shaped CeO<sub>2</sub> structures are formed during the precipitation or calcination process, TEM testing was also conducted on the cerium formate precursor [Figure 3A]. However, no rod-shaped morphology is observed in the precursor, suggesting that the formation of rod-shaped CeO<sub>2</sub> structures occurs during subsequent calcination rather than inherited by the precipitation, and the presence of a mushy substance on the surface indicates the presence of CTAB. The DSC/TGA curves of the precursor [Figure 3B] reveal that the main decomposition temperature of Ce(HCOO)<sub>3</sub> is approximately 320 °C, and previous weight loss is primarily due to dehydration and CTAB decomposition<sup>[32]</sup>. Based on these findings, the calcination process was adjusted (two-hour calcination at 300 °C followed by one-hour calcination at 500 °C). As a result, all the rod-shaped CeO<sub>2</sub> structures disappear [Figure 3C], and the exposed crystal faces of small particles are (111) plane [Figure 3D]. This outcome can be attributed to the complete decomposition of CTAB at 300 °C, which effectively halts its contribution to the subsequent growth of CeO<sub>2</sub>. When the CTAB is removed ahead, the growth of CeO<sub>2</sub> would be along the (111) crystal plane with the lowest energy<sup>[33]</sup>. With the adsorption of CTAB, the growth of the (111) crystal plane is obstructed, and its growth would follow other directions to form the rod shape.

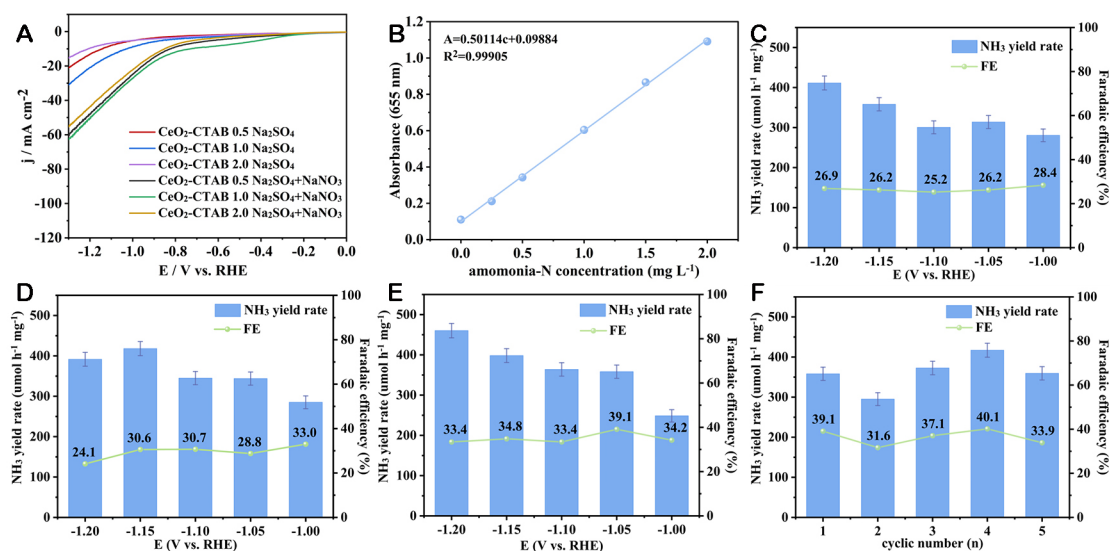
The NRA performance of prepared catalysts is evaluated. LSV results [Figure 4A] reveal a significant increase in current density at the same potential after the addition of NO<sub>3</sub> to the electrolyte, indicating the pronounced NRA performance of CeO<sub>2</sub>. In the absence of NO<sub>3</sub>, CeO<sub>2</sub>-CTAB2.0 exhibits a significant decrease in current density at the same potential, indicating minimal competition from HER<sup>[34]</sup>. The LSV curves of the catalysts in the Na<sub>2</sub>SO<sub>4</sub> electrolyte are shown in Supplementary Figure 1A. When the current density reaches 10 mA, the overpotential of the three catalysts is -1.14, -1.03 and -1.21 V, respectively, and the corresponding Tafel slopes are 471, 536 and 527 mV dec<sup>-1</sup>, respectively Supplementary Figure 1B, further confirming their very poor catalytic HER activity. The HER starting potential occurs at approximately -1.0 V (corresponding to a current density of 10 mA cm<sup>-2</sup>). Hence, The -1.0 V is selected as the starting potential to ensure high FE and Yield<sub>NH<sub>3</sub></sub>. Based on the UV-Vis curves of solutions with various NH<sub>4</sub><sup>+</sup> concentrations [Supplementary Figure 2], the obtained calibration curve with a high R<sup>2</sup> value (0.99905) is presented in Figure 4B. After potentiostatic tests [Supplementary Figures 3-5], the corresponding Yield<sub>NH<sub>3</sub></sub> and FE are calculated [Figure 4C-E]. With increasing amounts of CTAB during preparation, both the Yield<sub>NH<sub>3</sub></sub> and FE of the obtained CeO<sub>2</sub> show improvement. The maximum Yield<sub>NH<sub>3</sub></sub> and FE are 460 μmol h<sup>-1</sup> mg<sup>-1</sup> and 39.1%, respectively. These enhancements can be attributed to the presence of more rod-shaped CeO<sub>2</sub> structures [(220)/(200) exposed planes] in the CeO<sub>2</sub>-CTAB2.0 (discussed later). Furthermore, the cycling performance of CeO<sub>2</sub>-CTAB2.0 is assessed [Figure 4F]. After five cycles, there is no significant decrease in both Yield<sub>NH<sub>3</sub></sub> and FE, indicating its excellent stability. The chronoamperometry curves and Yield<sub>NH<sub>3</sub></sub> of various catalysts in electrolytes with and without NO<sub>3</sub> are shown in Supplementary Figure 6. It can be seen that when the electrolyte does not contain nitrate, the current is very weak, and the Yield<sub>NH<sub>3</sub></sub> is less than 50 μmol h<sup>-1</sup> cm<sup>-2</sup>. Considering errors in experiments, the Yield<sub>NH<sub>3</sub></sub> can be almost negligible. Therefore, it can be inferred that the produced NH<sub>3</sub> is mainly from NO<sub>3</sub> of the electrolyte. Based on the CV curves at various scanning rates, the electrochemical active area (ECSA) of CeO<sub>2</sub>-CTAB0.5, CeO<sub>2</sub>-CTAB1.0 and CeO<sub>2</sub>-CTAB2.0 are estimated [Supplementary Figure 7], and the values are 1.75, 3.25 and 2.25 cm<sup>2</sup>. The CeO<sub>2</sub>-CTAB1.0 presents the highest ECSA, while its NRA performance is not the highest,



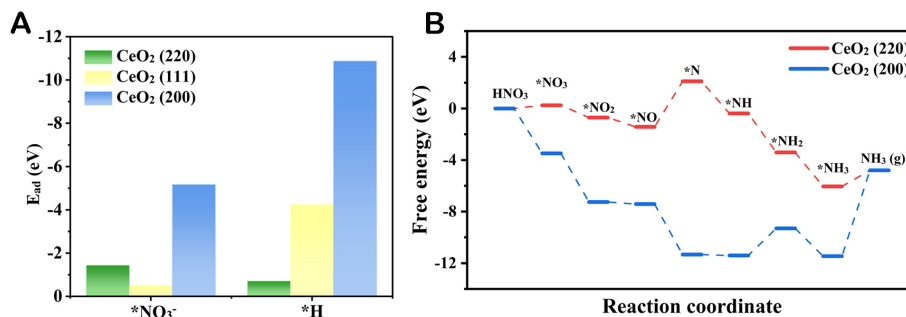
**Figure 3.** (A) TEM morphology and (B) TGA/DSC curves of cerium formate precursor. (C) TEM and (D) HRTEM morphology of CeO<sub>2</sub>-CTAB2.0 (2-h calcination at 300 °C followed by 1-h calcination at 500 °C).

indicating that the NRA performance of CeO<sub>2</sub> is majorly determined by exposed crystal planes. The long-term stability test (-1.05 V) of CeO<sub>2</sub>-CTAB2.0 is shown in [Supplementary Figure 8](#), and the current density only slightly increases. In the split XPS Ce 3d spectra [[Supplementary Figure 9A](#)], u<sub>0</sub>, u<sub>2</sub>, u<sub>3</sub>, v<sub>0</sub>, v<sub>2</sub> and v<sub>3</sub> peaks are the spin-orbit splitting peaks of Ce<sup>4+</sup>, and u<sub>1</sub> and v<sub>1</sub> peaks are the signal of Ce<sup>3+</sup>. Before and after testing, the XPS Ce 3d spectra are almost overlapped. In the XPS O 1s spectra [[Supplementary Figure 9B](#)], the peaks at 529.4, 530.8, and 532.4 eV represent lattice oxygen, vacancy oxygen, and adsorbed oxygen, respectively. The intensity ratio of vacancy oxygen and lattice oxygen is almost constant before and after testing. The EPR results [[Supplementary Figure 9C](#)] also show that the oxygen vacancy concentration remained basically unchanged before and after the performance test<sup>[35]</sup>. The above results confirm its catalytic stability.

DFT calculations are employed to investigate the effect of exposed crystal planes on NRA. The calculated model of CeO<sub>2</sub> is shown in [Supplementary Figure 10](#). Based on TEM analysis results, 2 × 2 surfaces of CeO<sub>2</sub> (111), (200), and (220) planes are considered for the calculation processes [[Supplementary Figure 11](#)]. The adsorption energies of H and NO<sub>3</sub> on different exposed surfaces of CeO<sub>2</sub> are initially examined [[Figure 5A](#)]. The adsorption for NO<sub>3</sub> and H is the first step for the conventional and surface hydrogenation mechanisms, respectively, and the high adsorption energy (E<sub>ad</sub>) for NO<sub>3</sub> is necessary for the NRA. The CeO<sub>2</sub> (111) plane



**Figure 4.** (A) LSV curves of CeO<sub>2</sub> in a 0.5 M Na<sub>2</sub>SO<sub>4</sub> electrolyte with and without NO<sub>3</sub><sup>-</sup>. (B) Calibration curve used for estimation of ammonia-N concentration. (C-E) are potential-dependent FE<sub>NH<sub>3</sub></sub> and Yield<sub>NH<sub>3</sub></sub> over CeO<sub>2</sub>-CTAB0.5, CeO<sub>2</sub>-CTAB1.0 and CeO<sub>2</sub>-CTAB2.0. (F) The cyclic stability test of CeO<sub>2</sub>-CTAB2.0 at -1.05 V.



**Figure 5.** (A) Adsorption energy of NO<sub>3</sub><sup>-</sup> and H on CeO<sub>2</sub> (200), (220) and (111) planes. (B) Gibbs free energy diagrams of different intermediates generated during NRA on CeO<sub>2</sub> (200) and CeO<sub>2</sub> (220) planes.

presents weak adsorption for NO<sub>3</sub><sup>-</sup>, and it is hard to conduct NRA reactions. The  $E_{ad}$  for H and NO<sub>3</sub><sup>-</sup> is comparable to the CeO<sub>2</sub> (200) plane. For the (220) plane, the adsorption for NO<sub>3</sub><sup>-</sup> is strong while the adsorption for H is weak. The results indicate that the NRA on the CeO<sub>2</sub> (220) and (200) planes may be conducted by gradually continuous and surface hydrogenation mechanisms, respectively<sup>[36]</sup>.

The change of Gibbs free energy throughout the entire NRA reactions is calculated for (200) and (220) planes [Figure 5B] and the configurations are shown in Supplementary Figures 12 and 13. For the CeO<sub>2</sub> with (220) plane, the rate-determining step (RDS) is \*NO to \*N step with an energy barrier of 3.5 eV. For the CeO<sub>2</sub> with (200) plane, the RDS is \*NH to \*NH<sub>2</sub> step with an energy barrier of 2.1 eV. For the aspect of free energy, the NRA tends to occur on the CeO<sub>2</sub> (200) plane. The energy barrier (2.1 eV) is close to many reported high-performance NRA electrocatalysts, such as TiO<sub>2</sub><sup>[37]</sup>, Rh<sup>[38]</sup>, and NiCo<sub>2</sub>O<sub>4</sub><sup>[39]</sup>. The improved NRA performance observed in experiments is attributed to the high affinity for NO<sub>3</sub><sup>-</sup> and relatively low energy barrier.

## CONCLUSIONS

In this work, the exposed crystal planes of CeO<sub>2</sub> are controlled by adjusting the amount of added CTAB. With the increase of CTAB, the exposed crystal planes of CeO<sub>2</sub> are transferred from (111) to (200)/(220) planes. This transition occurred due to the obstruction of the growth of the (111) crystal plane by the adsorbed CTAB.

The CeO<sub>2</sub> with exposed (220)/(200) planes presents higher NRA performance than that of CeO<sub>2</sub> with the (111) plane. Compared with the CeO<sub>2</sub> with exposed (111) plane, the Yield<sub>NH<sub>3</sub></sub> and FE of CeO<sub>2</sub> with exposed (200)/(220) planes increase by 11.9% and 37.7%, respectively. DFT is used to reveal the underlying mechanism. The exposed (111) plane of CeO<sub>2</sub> is hard to adsorb NO<sub>3</sub>, while both exposed (200)/(220) planes of CeO<sub>2</sub> show high affinity for NO<sub>3</sub> and relatively low energy barriers along the NRA pathways, bringing about enhanced NRA performance. This work shows an effective way to improve the catalytic performance of electrocatalysts.

## DECLARATIONS

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### Authors' contributions

Conceived and designed the study: Mao J, Li D, Wang F

Prepared the samples and collected the data: Li D

Performed data analysis and wrote the main draft of the paper: Li D, Wang F

All authors discussed the results and commented on the manuscript.

### Availability of data and materials

Not applicable.

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### Conflicts of interest

All authors declared that there are no conflicts of interest.

### Ethical approval and consent to participate

Not applicable.

### Consent for publication

Not applicable.

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