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Predictors and risk-adjusted outcomes of new-onset postoperative atrial fibrillation in repeat surgical and valve-in-valve transcatheter aortic valve replacement

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Abstract

Aim: New-onset postoperative atrial fibrillation/flutter (POAF/AFL) complications have not been well studied for repeat aortic valve replacements (r-AVR); this study identified risk factors predisposing to POAF/AFL and POAF/AFL's effect upon risk-adjusted outcomes.

Methods: Using New York State's Statewide Planning and Research Cooperative System records (2005-2018), multivariable forward selection models identified risks predictive of POAF/AFL. To identify POAF/AFL's impact upon risk-adjusted mortality/morbidity (MM) and 30-day readmission (READMIT), forward selection logistic regression models applied Firth bias correction to address data sparsity.

Results: Of the 242 r-AVR patients, 147 underwent repeat surgical aortic valve replacements (r-SAVR) and 95 underwent valve-in-valve transcatheter aortic valve replacements (ViV-TAVR); 39.46% of r-SAVR and 43.16% of ViV-TAVR patients had POAF/AFL. R-SAVR patients with POAF/AFL were older ($69.7 \pm 11.1 \text{ vs.} 56.7 \pm 13.2 \text{ years}$, *P* < 0.01) compared to R-SAVR patients without POAF/AFL. Multivariable models identified an enhanced POAF/AFL risk for elderly (OR: 1.05, 95%CI: 1.03-1.07, *P* < 0.01) and cerebral vascular disease (OR: 2.18, 95%CI: 1.05-4.55, *P* = 0.04) patients.



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Bivariately, POAF/AFL was associated with READMIT, but not MM. Correspondingly, multivariable models found POAF/AFL increased READMIT (OR: 3.12, 95%CI: 1.46-6.65, P < 0.01), but not MM. However, black race (OR: 4.97, 95%CI: 1.61-15.37, P < 0.01) and Elixhauser score (OR: 1.05, 95%CI: 1.02-1.08, P < 0.01) increased risk for MM.

Conclusion: More common in older and cerebrovascular disease patients, 41% of r-AVR patients with POAF/AFL had increased READMIT risk; thus, future investigations should focus on improving POAF/AF r-AVR patients' post-discharge continuity of care.

Keywords: Aortic stenosis, aortic valve replacement, surgical aortic valve replacement, transcatheter aortic valve replacement, valve-in-valve, repeat surgical aortic valve replacement, atrial fibrillation, atrial flutter

INTRODUCTION

Across all cardiothoracic surgical procedures, new-onset postoperative atrial fibrillation or atrial flutter (POAF/AFL) occurs commonly. In 2019, surgical aortic valve replacements (SAVR) and transcatheter aortic valve replacement (TAVR) were the most common treatments for aortic stenosis, with over 130,000 patients who underwent an initial AVR procedure; since 2011, these aortic valve replacement (AVR) volumes have dramatically increased^[1-5]. New-onset POAF/AFL is not always a transient condition; even for patients discharged in normal sinus rhythm, recurrent atrial fibrillation (AF) has been reported up to 5 years post-SAVR^[6].

As aortic valves inherently have limited durability, bioprosthetic valves often experience structural deterioration within 10-12 years, and thus require repeat procedures^[7]. For repeat AVR (r-AVR) patients, the POAF/AFL incidence was reportedly increased for more invasive procedures (35.5%-60% of SAVR and 10.4%-50.4% of TAVR patients); POAF/AFL has been associated with greater mortality, stroke, and hospital resource utilization^[6,8+18]. For example, one single-center study has shown 63.6% of 22 r-SAVR and 18.2% of 22 valve-in-valve transcatheter aortic valve replacements (ViV-TAVR) patients to have POAF/AFL^[19]. In spite of these increased r-AVR procedural rates, r-AVR patients' risk factors associated with POAF/AFL, as well as the impact of new-onset POAF/AFL upon short-term r-AVR patients' outcomes, have not been previously reported. As a novel investigation, therefore, this study was specifically designed to address this knowledge gap.

Using the New York State's Statewide Planning and Research Cooperative System (SPARCS) database records from 2005-2018, this observational, retrospective cohort analysis identified the patient risk factors predictive of r-AVR POAF/AFL, as well as the POAF/AFL impact upon risk-adjusted 30-day morbidity/mortality (MM) composite and 30-day readmission (READMIT). After holding other patient risk factors and procedural details constant, the study's hypothesis was that POAF/AFL may be an important post-procedural complication contributing to increased risk of MM and READMIT.

METHODS

Study population

Within New York, the 2018 population of adults was estimated to be approximately 19 million^[20]. Since 2003, the New York State's SPARCS database has tracked all non-federal hospital-based inpatient and outpatient care, ambulatory surgery, and emergency room care; patients' records include their demographic information, diagnoses, procedures, and outcomes^[21]. Using billing codes [Supplementary Tables 1 and 2,] the New York State's SPARCS records for New York State residents undergoing repeat aortic valve (r-AVR) procedures from January 2005 to November 2018 were extracted. Given that only de-identified SPARCS

reports were received by the study team, the Stony Brook University Committee on Research in Human Subjects provided a "not human study research" written exemption [IRBNet #: IRB2021-00605 - POAF r-AVR] on November 23, 2021.

As this investigation's procedure of interest, repeat aortic valve procedures were defined as a second SAVR or TAVR that occurred at least 30 days after their first SAVR or TAVR operation. Based on patients' r-AVR procedure date, all encounters occurring within 30-day of discharge were recorded; 30-day readmissions and repeat procedures were identified. Duplicate records and patients without unique personal identifiers or gender information were excluded. Given the inherently higher risk of complications, patients with endocarditis, thoracic aortic aneurysms with or without dissection, coronary artery bypass grafting, percutaneous coronary intervention, mitral valve repair or replacement, metastatic cancer, any solid tumor without metastasis, or r-AVR procedures with concomitant coronary artery bypass grafting procedure were excluded. To identify patients with new-onset POAF/AFL, patients with a history of atrial fibrillation or flutter, Maze procedure, pacemaker implantation or defibrillation two years prior to their first AVR operation and r-AVR operation were excluded. The flow diagram of our patient population selection is shown in [Figure 1]. After identifying all r-AVR patients who met the inclusion and exclusion criteria, r-SAVR and ViV-TAVR patients were separately compared according to POAF/AFL status as shown in [Tables 1 and 2].

Outcome measures

For this study, the co-primary outcomes included predictors of new-onset postoperative atrial fibrillation and/or atrial flutter (POAF/AFL), outcomes of r-AVR patients with POAF/AFL, and predictors of a combined mortality and morbidity composite endpoint (MM), and an indicator of 30-day hospital readmission (READMIT). Based on the Society of Thoracic Surgeons' (STS) standardized national Adult Cardiac Surgery Database reports' short-term outcomes, this study's primary MM composite endpoint was comprised of 30-day operative mortality (i.e., either a death in-hospital or within 30 days) or any major morbidity (based upon any the following events occurring: new perioperative stroke, new renal failure requiring dialysis, extended post-procedural ventilator use, deep sternal wound infection, and/or repeat cardiac procedure) as shown in [Table 3].

This study's secondary outcomes included conversion rates (i.e., ViV-TAVR conversion to r-SAVR), all the primary MM composite's sub-components (i.e., in-hospital death, 30-day death, new perioperative stroke, new renal failure requiring dialysis, extended post-procedural ventilator use, deep sternal wound infection, and/or repeat cardiac procedure), as well as other resource consumption metrics (e.g., total length of stay (LOS), postoperative length of stay (PLOS), and 30-day emergency department visit). Tertiary outcomes included other procedural SAVR/TAVR complications such as acute kidney injury, cardiac arrest, major bleeding, prosthetic valve endocarditis, transient ischemia attack, vascular complications, and myocardial infarction.

To distinguish between procedural complications and patient comorbidities, postoperative complications were defined when patients had no prior history of the complication-related diagnosis within the 2-year period prior to their r-AVR procedure. Based on the "new onset" POAF/AFL definition, therefore, no study patients had preoperative atrial fibrillation or atrial flutter.

Statistical analysis

All analyses were performed using SAS 9.4 (SAS Institute, Inc., Cary, NC). Chi-square tests with exact *P*-value from Monte Carlo simulation were used for categorical variables and Welch's t-test was used for continuous variables. In the context of the literature, bivariate comparisons were used to screen (P < 0.10)

Table 1. Descriptive table of r-SAVR and ViV TAVR patients' baseline characteristics, risk factors, and Elixhauser comorbidities by surgery type

Variable	Total	r-SAVR	ViV-TAVR	P-value*
Patients' characteristics				
Type of admission Elective Urgent	78.93% 21.07%	82.99% 17.01%	72.63% 27.37%	0.05
Gender Female Male	39.67% 60.33%	36.05% 63.95%	45.26% 54.74%	0.15
Age (years)	66.60 ± 14.32	61.82 ± 13.91	74.00 ± 11.57	< 0.01
Race Black Other	6.20% 93.80%	7.48% 92.52%	4.21% 95.79%	0.42
Ethnicity Hispanic Other/unknown	4.55% 95.45%	5.44% 94.56%	3.16% 96.84%	0.53
Insurance Commercial Medicaid/Other Medicare	36.36% 2.89% 60.74%	45.58% 4.08% 50.34%	22.11% 1.05% 76.84%	< 0.01
Risk factors				
Post-op atrial fibrillation/flutter	40.91%	39.46%	43.16%	0.57
Tobacco/Smoking	41.74%	38.10%	47.37%	0.15
Hypertension	78.10%	73.47%	85.26%	0.03
Congestive heart failure	30.58%	18.37%	49.47%	< 0.01
Cardiomyopathy	9.09%	6.12%	13.68%	0.05
Diabetes mellitus	3.72%	4.08%	3.16%	0.75
Coronary artery disease	46.28%	28.57%	73.68%	< 0.01
Chronic lung disease	27.69%	23.81%	33.68%	0.09
COPD	14.46%	7.48%	25.26%	< 0.01
History of stroke	7.44%	6.80%	8.42%	0.64
Stroke	9.92%	8.84%	11.58%	0.49
Carotid disease	2.07%	0.68%	4.21%	0.08
Carotid stenosis	1.65%	0.68%	3.16%	0.30
Cerebral vascular disease	16.12%	13.61%	20.00%	0.19
Peripheral vascular disease	5.37%	2.72%	9.47%	0.04
History of MI	6.20%	2.72%	11.58%	0.04
MI	11.16%	6.80%	17.89%	0.01
Depression	9.09%	7.48%	11.58%	0.28
Bipolar disorder	1.24%	2.04%	0.00%	0.28
Schizophrenia	0.41%	0.00%	1.05%	0.39
Dementia	2.07%	0.00%	5.26%	0.01
Bicuspid aortic valve	0.83%	1.36%	0.00%	0.51
Dyspnea	3.31%	2.72%	4.21%	0.71
Chest pain	2.89%	3.40%	2.11%	0.71
Dialysis	3.31%	2.04%	5.26%	0.26
Hyperlipidemia	57.02%	49.66%	68.42%	< 0.01
Presence of prosthetic heart valve	11.57%	12.93%	9.47%	0.41
Abdominal aortic aneurysm	2.07%	2.72%	1.05%	0.66
Non-rheumatic aortic stenosis	63.64%	61.90%	66.32%	0.49
Rheumatic heart disease	6.61%	4.76%	9.47%	0.15
Obstructive sleep apnea	8.26%	9.52%	6.32%	0.40
Lymphoma	0.83%	1.36%	0.00%	0.52

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Chronic kidney disease				< 0.01
Stage 3	11.57%	6.12%	20.00%	
Stage 4	1.24%	0.68%	2.11%	
ESRD	5.79%	4.76%	7.37%	
CKD, with dialysis	3.31%	2.04%	5.26%	0.27
CKD, without dialysis	23.55%	14.97%	36.84%	< 0.01
Obesity	22.73%	18.37%	29.47%	0.04
Iron deficiency anemia	13.22%	12.93%	13.68%	0.86
Rheumatoid arthritis/collagen vascular diseases	4.96%	5.44%	4.21%	0.77
Fluid and electrolyte disorders	1.65%	2.04%	1.05%	0.65
Pulmonary hypertension	20.66%	16.33%	27.37%	0.04
Thrombocytopenia	28.51%	33.33%	21.05%	0.04
Previous internal cardioverter-defibrillator	3.31%	0.68%	7.37%	0.01
Hypothyroidism	13.64%	12.24%	15.79%	0.43
Intra-aortic balloon pump	2.89%	2.72%	3.16%	1.00

*For categorical variables, *P*-values were based on chi-squared test with exact *P*-value from Monte Carlo simulation; for continuous variables, *P*-value was based on Welch's t-test. Note: For categorical variables, column percentages were reported; for continuous variables, mean +/- std were reported. CHF: Congestive heart failure; CAD: coronary artery disease; COPD: chronic obstructive pulmonary disease; MI: myocardial infarction; CKD: chronic kidney disease; r-SAVR: repeat surgical aortic valve replacements; ViV-TAVR: valve-in-valve transcatheter aortic valve replacements.

the demographics and risk factors to select each model's eligible variables. Using a clinical conceptual framework, domain-related variables were evaluated for potential collinearity. These standard multivariable model eligible screening steps were used for both propensity score matching to identify predictors of POAF/AFL, as well as to identify the POAF/AFL impact upon risk-adjusted MM and READMIT. Additionally, Firth bias correction was used in all MM and READMIT models to correct semi-separation issues due to data sparsity.

In the context of each patient's unique risk factor profile, patients' propensity scores were calculated by applying the POAF/AFL multivariable model's algorithm; the basis for these propensity score calculations was the final POAF/AFL multivariate model that identified patient risk characteristics predictive of POAF/AFL. These patient specific POAF propensity scores were used as model eligible variables for both the MM and READMIT models. To evaluate each model's predictive power and calibration, the performance metrics (i.e., C-index and Hosmer-Lemeshow test) were reported [Tables 4-6].

Based on protocol-driven hypotheses, statistical significance was pre-established at P < 0.05 for the co-primary outcomes and P < 0.01 for the secondary and tertiary outcomes. The final models' statistically significant variables and their odds ratios with 95% confidence intervals have been reported; however, all *P*-values have been shared to facilitate independent interpretation.

RESULTS

Baseline characteristics of r-SAVR and ViV-TAVR study population

From the SPARCS Database, 74,675 first-time SAVR/TAVR procedures were recorded, of whom 242 patients underwent r-AVR procedures from January 2005 to November 2018 - 147 r-SAVR and 95 ViV-TAVR patients [Figure 1].

Patients who underwent r-SAVR were significantly younger than patients who underwent ViV-TAVR, with a mean age of 61.8 ± 13.9 years and 74.0 ± 11.6 (P < 0.01.), respectively, and are shown in [Table 1]. Across the three major insurance categories (i.e., Commercial insurance, Medicaid/other insurance, and Medicare

r-SAVR ViV-TAVR Р-POAF/AFL POAF/AFL POAF/AFL No POAF/AFL P-Total Variable value Total value* Patients' characteristics Type of admission 0.92 0.34 73.17% 82 99% Elective 79.31% 85.39% 72.63% 72.22% 17.01% 20.69% 14.61% 27.37% 26.83% 27.78% Urgent Gender 0.07 0.31 40.74% 36.05% 44.83% 30.34% 45.26% 51 22% Female Male 63.95% 55.17% 69.66% 54.74% 48.78% 59.26% Age (years) 61.82 ± 13.91 $69.71 \pm 11.09 \hspace{0.2cm} 56.69 \pm 13.19$ < 0.01 74.00 ± 11.57 74.61 ± 9.65 73.54 ± 12.91 0.64 0.11 Race 7.48% 12.07% 4.49% 4.21% 4.88% 3.70% Black 100 Other 92.52% 87.93% 95.51% 95.79% 95.12% 96.30% Ethnicity 0.48 0.58 5.44% 4.88% 1.85% 3 4 5 % 674% 3 16% Hispanic Other/unknown 94.56% 96.55% 93.26% 96.84% 95.12% 98.15% 1.00 < 0.01 Insurance 45.58% 29.31% 56.18% 22.11% 21.95% 22.22% Commercial Medicaid/Other 4 08% 0.00% 6.74% 1.05% 0.00% 185% Medicare 50.34% 70.69% 37.08% 76.84% 78.05% 75.93% 38.10% Tobacco/Smoking 37.93% 38.20% 0.97 47.37% 53.66% 42.59% 0.28 Hypertension 73.47% 75.86% 71.91% 0.60 85.26% 82.93% 87.04% 0.58 Congestive heart failure 18.37% 25.86% 13.48% 0.06 49.47% 53.66% 46.30% 0.48 612% 6 90% 5.62% 100 13 68% 17 07% 11 11% 040 Cardiomyopathy 4.49% Diabetes mellitus 4.08% 3.45% 1.00 3.16% 244% 3.70% 1.00 Coronary artery disease 28.57% 39.66% 21.35% 0.02 73.68% 80.49% 68.52% 0.19 18.97% 26.97% 1.00 Chronic lung disease 23.81% 0.27 3.16% 2.44% 3.70% COPD 7.48% 6.90% 7.87% 1.00 8.42% 12.20% 5.56% 0.52 History of stroke 6.80% 8.62% 5.62% 0.48 1.05% 2.44% 0.00% 0.28 Stroke 8.84% 10.34% 7.87% 11.58% 19.51% 5.56% 0.05 0.60 0.68% 4.21% 0.31 Carotid disease 0.00% 1.12% 1.00 7.32% 1.85% 0.56 Carotid stenosis 0.68% 0.00% 1.12% 1.00 3.16% 4.88% 1.85% 0.01 Cerebral vascular disease 13 61% 17 24% 1124% 0.30 20.00% 31 71% 11 11% Peripheral vascular disease 2.72% 3.45% 2.25% 1.00 9.47% 9.76% 9.26% 1.00 History of MI 2.72% 6.90% 0.00% 0.02 11.58% 14.63% 9.26% 0.42 MI 6.80% 6.90% 6.74% 1.00 17.89% 21.95% 14.81% 0.37 Depression 7.48% 5.17% 8.99% 0.52 11.58% 12.20% 11.11% 0.87 0.56 2.04% 3.45% 1.12% Bipolar disorder -1.05% 0.00% 1.00 Schizophrenia 1.85% Dementia 5.26% 7.32% 3.70% 0.65 Bicuspid aortic valve 1.36% 0.00% 2.25% 0.52 Dyspnea 2.72% 3.45% 2.25% 1.00 4.21% 4.88% 3.70% 1.00 Chest pain 3.40% 5.17% 2.25% 0.38 2.11% 4.88% 0.00% 0.1900 Dialysis 2.04% 5.17% 0.00% 0.06 10000 5.26% 4.88% 5.56% Hyperlipidemia 49.66% 58.62% 43.82% 0.08 68.42% 70.73% 66.67% 0.67 Presence of prosthetic heart 12.93% 13.79% 12.36% 0.80 9.47% 9.76% 9.26% 1.00 valve Abdominal aortic aneurysm 2.72% 3.45% 2.25% 1.00 1.05% 0.00% 1.85% 1.00 Non-rheumatic aortic 61.90% 55.17% 66.29% 0.17 66.32% 70.73% 62.96% 0.43 stenosis Rheumatic heart disease 4.76% 8.62% 2.25% 0.12 9.47% 9.76% 9.26% 1.00 Obstructive sleep apnea 9.52% 0.00% 15.73% < 0.01 6.32% 2.44% 9.26% 0.24

Table 2. Descriptive table of r-SAVR and ViV TAVR patients' baseline characteristics, risk factors, and comorbidities by POAF/AFL

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Lymphoma	1.36%	1.72%	1.12%	1.00	-	-	-	-
Chronic kidney disease Stage 3 Stage 4 ESRD	6.12% 0.68% 4.76%	10.34% 1.72% 6.90%	3.37% 0.00% 3.37%	0.09	20.00% 2.11% 7.37%	21.95% 0.00% 4.88%	18.52% 3.70% 9.26%	0.59
CKD, with dialysis	2.04%	5.17%	0.00%	0.06	5.26%	4.88%	5.56%	1.00
CKD, without dialysis	14.97%	22.41%	10.11%	0.04	36.84%	39.02%	35.19%	0.70
Obesity	18.37%	15.52%	20.22%	0.47	29.47%	24.39%	33.33%	0.34
Iron deficiency anemia	12.93%	12.07%	13.48%	0.80	13.68%	17.07%	11.11%	0.40
Rheumatoid arthritis/collagen vascular diseases	5.44%	6.90%	4.49%	0.71	4.21%	2.44%	5.56%	0.63
Fluid and electrolyte disorders	2.04%	5.17%	0.00%	0.06	1.05%	2.44%	0.00%	0.44
Pulmonary hypertension	16.33%	18.97%	14.61%	0.48	27.37%	36.59%	20.37%	0.08
Thrombocytopenia	33.33%	34.48%	32.58%	0.81	21.05%	24.39%	18.52%	0.49
Previous internal cardioverter-defibrillator	0.68%	1.72%	0.00%	0.40	7.37%	9.76%	5.56%	0.70
Hypothyroidism	12.24%	12.07%	12.36%	0.96	15.79%	14.63%	16.67%	0.79
Intra-aortic balloon pump	2.72%	5.17%	1.12%	0.30	3.16%	2.44%	3.70%	1.00

*For categorical variables, *P*-values were based on chi-squared test with exact *P*-value from Monte Carlo simulation; for continuous variables, *P*-value was based on Welch's t-test. Note: For categorical variables, column percentages were reported; for continuous variables, mean +/- std were reported. COPD: Chronic obstructive pulmonary disease; MI: myocardial infarction; CKD: chronic kidney disease; r-SAVR: repeat surgical aortic valve replacements; ViV-TAVR: valve-in-valve transcatheter aortic valve replacements; POAF/AFL: postoperative atrial fibrillation/flutter.

insurance), the proportions of r_SAVR and ViV-TAVR patients in each category were quite different. Specifically, the rates of Commercial insurance (45.6% r-SAVR versus 22.1% ViV-TAVR), Medicaid/other insurance (4.1% r-SAVR versus 1.1% ViV-TAVR), and Medicare insurance (50.3% r-SAVR versus 76.8% ViV-TAVR) were different (P < 0.01); thus, Medicare coverage rates appeared higher in the ViV-TAVR patients. The ViV-TAVR patients compared to r-SAVR patients were more likely to have the following risk factors: hypertension (85.3% *vs.* 73.5%, P = 0.03), congestive heart failure (49.5% *vs.* 18.4%, P < 0.01), cardiomyopathy (13.7% *vs.* 6.1%, P = 0.05), coronary artery disease (73.7% *vs.* 28.6%, P < 0.01), chronic obstructive pulmonary disease (25.3% *vs.* 7.5%, P < 0.01), peripheral vascular disease (9.5% *vs.* 2.7%, P = 0.04), history of myocardial infarction (11.6% *vs.* 2.7%, P = 0.04), myocardial infarction (17.9% *vs.* 6.8%, P = 0.01), dementia (5.3% *vs.* 0.0%, P = 0.01), hyperlipidemia (68.4% *vs.* 49.7%, P < 0.01), obesity (29.5% *vs.* 18.4%, P = 0.04), chronic kidney disease without dialysis (36.8% *vs.* 15.0%, P < 0.01), and pulmonary hypertension (27.4% *vs.* 16.3%, P = 0.04). Furthermore, across the chronic kidney disease categories, the proportions of ViV-TAVR patients in each category were greater (stage 3 chronic kidney disease patients: 20.0% ViV-TAVR *vs.* 6.1% r-SAVR; stage 4 chronic kidney disease patients: 2.1% ViV-TAVR *vs.* 0.7% r-SAVR; end stage renal disease patients: 7.4% ViV-TAVR *vs.* 4.8% r-SAVR, P < 0.01).

Baseline characteristics of r-AVR patients with new-onset POAF/AFL

The baseline characteristics of r-AVR patients with and without POAF/AFL are compared [Table 2]. Of the total r-AVR patients, 40.91% of patients experienced post-procedural new onset POAF/AFL. POAF/AFL was present in 39.5% of r-SAVR patients and 43.2% of ViV-TAVR patients (P = 0.57). Patients with POAF/AFL who underwent r-SAVR were older (mean age 69.7 ± 11.1 *vs.* 56.7 ± 13.2 years, P < 0.01) compared to r-SAVR patients without POAF/AFL. Furthermore, patients with coronary artery disease (39.7% *vs.* 21.4%, P = 0.02), history of acute myocardial infarction (6.9% *vs.* 0%, P = 0.02), and chronic kidney disease without dialysis (22.4% *vs.* 10.1%, P = 0.04) were more frequent in r-SAVR patients with POAF/AFL.

r-SAVR				ViV-TAVR				
Variable	Total	POAF/AFL	No POAF/AFL	P- value *	Total	POAF/AFL	No POAF/AFL	P- value *
Permanent stroke	0.68%	1.72%	0.00	0.39	-	-	-	-
Renal failure with or without dialysis	11.56%	12.07%	11.24%	0.878	9.47%	14.63%	5.56%	0.16
Prolonged ventilation	5.44%	12.07%	1.12%	0.01	7.37%	9.76%	5.56%	0.69
Repeat procedure	-	-	-	-	1.05%	2.44%	0.00%	0.43
Major complications	14.97%	18.97%	12.36%	0.27	13.68%	19.51%	9.26%	0.15
30-day operative mortality	3.40%	1.72%	4.49%	0.65	4.21%	2.44%	5.56%	0.63
Mortality/Morbidity composite endpoint (MM)	15.65%	18.97%	13.48%	0.37	15.79%	19.51%	12.96%	0.39
In-hospital death	3.40%	1.72%	4.49%	0.64	4.21%	2.44%	5.56%	0.634
LOS	10.87 ± 9.51	13.43 ± 11.78	9.20 ± 7.29	0.02	9.23 ± 9.47	12.49 ± 10.73	6.76 ± 7.59	0.01
Post-operative days	9.65 ± 8.73	12.38 ± 10.85	7.87 ± 6.47	0.01	7.85 ± 8.94	11.20 ± 10.59	5.31 ± 6.47	< 0.01
30-day readmission	14.29%	22.41%	8.99%	0.02	13.68%	21.95%	7.41%	0.07
AKI	11.56%	12.07%	11.24%	0.88	9.47%	14.63%	5.56%	0.17
Cardiac Arrest	8.84%	17.24%	3.37%	0.01	7.37%	12.20%	3.70%	0.23
Major Bleeding	6.12%	8.62%	4.49%	0.49	3.16%	2.44%	3.70%	1.00
Prosthetic Valve Endocarditis	2.04%	0.00%	3.37%	0.27	-	-	-	-
TIA	0.68%	0.00%	1.12%	1.00	1.05%	2.44%	0.00%	0.43
MI	2.72%	0.00%	4.49%	0.15	3.16%	2.44%	3.70%	1.00
Major Stroke	1.36%	0.00%	2.25%	0.51	1.05%	2.44%	0.00%	0.42

Table 3. Descriptive table of outcomes after r-AVR by POAF/AFL and surgery type

*For categorical variables, *P*-values were based on chi-squared test with exact *P*-value from Monte Carlo simulation; for continuous variables, *P*-value was based on Welch's t-test. Note: For categorical variables, column percentages were reported; for continuous variables, mean +/- std were reported. LOS: Length of stay; AKI: acute kidney injury; TIA: transient ischemic attack; MI: myocardial infarction; r-SAVR: repeat surgical aortic valve replacements; ViV-TAVR: valve-in-valve transcatheter aortic valve replacements; POAF/AFL: postoperative atrial fibrillation/flutter.

Table 4. Multivariate Model for POAF/AFL

Variable	OR (95%CI)	P-value
Age	1.05 (1.03-1.07)	< 0.01
Cerebral Vascular Disease	2.18 (1.05-4.55)	0.04

NOTE: Other model eligible variables in this logistic regression model's forward selection process included: surgery type, admission type, gender, insurance payor, coronary artery disease, stroke, cerebrovascular disease, history of myocardial infarction, and pulmonary hypertension. C-index: 0.686; Hosmer and Lemeshow Goodness-of-Fit Test P = 0.07.

Table 5. Multivariate Model for MM

Variable	OR (95%CI)	P-value
Race (Black)	4.97 (1.61-15.37)	< 0.01
Elixhauser score	1.05 (1.02-1.08)	< 0.01
POAF/AFL	1.25 (0.60-2.61)	0.55

NOTE: Other model eligible variables in this logistic regression model's forward selection process included: gender, Black race, smoking history, POAF propensity score, surgery type, admission type, insurance payor, history of stroke, pre-procedural placement of intra-aortic balloon pump, and new onset of POAF/AF post-procedure. C-index: 0.713; Hosmer and Lemeshow Goodness-of-Fit Test *P* = 0.85. MM: Mortality/morbidity; POAF/AFL: postoperative atrial fibrillation/flutter.

Table 6. Multivariate Model for READMIT

Variable	OR (95%CI)	P-value
POAF/AFL	3.12 (1.46-6.65)	< 0.01

NOTE: Other model eligible variables in this logistic regression model's forward selection process included: POAF propensity score, surgery type, admission type, history of myocardial infarction, chest pain, dialysis, chronic kidney disease without dialysis, paralysis and new onset of POAF/AF post-procedure. C-index: 0.638.



Figure 1. Data extraction flowchart. SAVR: Surgical aortic valve replacements; TAVR: transcatheter aortic valve replacements.

The baseline characteristics of ViV-TAVR patients with and without POAF/AFL are compared [Table 2]. Although ViV-TAVR patients with POAF had higher rates of cerebrovascular disease compared to non-POAF patients (31.7% *vs.* 11.1%, P = 0.01), this was an exception; all other patient risk characteristics

did not differ between ViV-TAVR patients with and without POAF/AFL.

Multivariate predictors of POAF/AFL

Multivariable regression analysis of predictors of POAF/AFL are shown in [Table 4]. Older age (OR: 1.05, 95%CI: 1.03-1.07, P < 0.01) and patients with cerebral vascular disease (OR: 2.18, 95%CI: 1.05-4.55, P = 0.04) were significant predictors of POAF/AFL. The c-index of this model was 0.686 and the Hosmer and Lemeshow Goodness-of-Fit Test p-value was 0.07 (i.e., indicating acceptable calibration).

Outcomes of r-SAVR and ViV-TAVR patients with POAF/AFL

The outcomes of r-AVR patients were analyzed and listed by surgery type and POAF/AFL in [Table 3]. Patients who underwent r-SAVR with POAF/AFL, compared to r-SAVR patients without POAF/AFL, were more likely to result in READMIT (22.4% *vs.* 9.0%, P = 0.02), prolonged ventilation (12.1% *vs.* 1.1%, P = 0.01), cardiac arrest (17.2% *vs.* 3.4%, P = 0.01), and longer length of stay (mean ± SD: 13.4 ± 11.8 *vs.* 9.2 ± 7.3 days, P = 0.02) compared to r-SAVR patients without POAF/AFL. Compared to ViV-TAVR patients with no-POAF/AFL, longer length of stay occurred for ViV-TAVR patients with POAF/AFL (12.5 + 10.7 *vs.* 6.8 + 7.6, P = 0.01). Comparing r-SAVR patients with and without POAF/AFL and ViV-TAVR patients with and without POAF/AFL, however, there was no difference in the MM composite (P = 0.37 and 0.39, respectively).

Multivariate predictors of MM and READMIT

For either r-SAVR or ViV-TAVR, the results of the multivariable regression model for MM were reported in [Table 5]. POAF/AFL was not a significant predictor of MM (P = 0.55). With multivariable associations documented (i.e., P < 0.05), however, the variables black race (OR: 4.97, 95%CI: 1.61-15.37, P < 0.01) and Elixhauser score (OR: 1.05, 95%CI: 1.02-1.08, P < 0.01) were associated with increased MM. The c-index of the MM predictive model was 0.713 with the Hosmer and Lemeshow Goodness-of-Fit Test P = 0.85.

As an important resource consumption metric, POAF/AFL (OR: 3.12, 95%CI: 1.46-6.65, P < 0.01) was shown to be a significant predictor of READMIT as shown in [Table 6]. The c-index of this READMIT model was 0.638. Due to the limited number of READMIT final multivariable models' degrees of freedom, it was not possible to calculate a Hosmer and Lemeshow Goodness-of-Fit test statistic.

DISCUSSION

As a novel contribution to the r-AVR literature, this retrospective observational cohort study documented the impact of POAF/AFL upon clinical outcomes and resource utilization. With an overall POAF/AFL incidence of 41%, this was by far the most common complication found following r-AVR procedures. As noted in the prior literature, older age and cerebral vascular disease were predictors of r-AVR POAF/AFL^[16,22-26]. Holding other risk factors constant, POAF/AFL was a predictor of READMIT, but not predictive of MM. Importantly, however, black patients and Elixhauser score were predictors of MM; thus, these "at risk" r-AVR patients deserve future investigation.

The 41% incidence of POAF/AFL in New York State's SPARCS is consistent with *Ejiofor et al.*'s study which reported nearly the same rate when comparing postoperative complications between patients who underwent r-SAVR and ViV-TAVR in a matched cohort study^[19]. In our study, the incidence of POAF/AFL was not significantly different between r-AVR procedure types; in part, this may be due to the difference in baseline characteristics between patients who underwent r-SAVR and ViV-TAVR.

For first-time AVR procedures, older age was reported as the strongest independent predictor of POAF/AFL; this may be due to structural changes of the heart over time, such as fibrosis and hypertrophy, that affect nodal conduction, and increased comorbidity rates associated with advanced age^[16,22-24]. Cerebral vascular disease was also shown to be associated with POAF/AFL as shown in previous studies and is likely an indicator of worse heart health^[25,26].

In this New York State's SPARCS database analysis, patients who underwent ViV-TAVR were older than r-SAVR patients and had higher rates of other risk factors. Interestingly, the r-SAVR patients' age increased over time. Comparing the earlier study time period (2005-2011) to the latter study time period (2012-2018), the r-SAVR patients' average age increased from 55.0 ± 13.1 years to 63.5 ± 13.6 years, P < 0.01. These age-related trends may be explained, at least in part, that aortic stenosis was historically a disease predominately diagnosed in the elderly. In more recent times, aortic stenosis appears to be pursued much more vigorously in younger patients.

Comparing ViV-TAVR average ages (2012 to 2018), however, the r-SAVR patients (mean \pm SD; 63.5 \pm 13.6) were still substantially younger than the ViV-TAVR patients (mean \pm SD; 74.0 \pm 11.6). Although ViV-TAVR was a less invasive procedure with lower risks of adverse outcomes compared to r-SAVR, the Food and Drug Administration's initial ViV-TAVR approval was authorized only for high-risk patients^[27-29]. Future research appears warranted to identify if these historical age differences between r-SAVR and Viv-TAVR patients will persist following later FDA approvals for TAVR use in intermediate and lower-risk patient sub-populations. With the question of initial TAVR valve durability still pending, however, the pendulum between initial TAVR *vs.* SAVR selection -- and hence the relative risk profile between ViV-TAVR and r-SAVR patients - may likely swing back and forth until this issue is firmly settled.

For first-time AVR procedures, POAF/AFL has been associated with worse mortality, higher rates of stroke, increased length of stay, and readmission^[10,16,18,30,31]. Historically, the impact of POAF/AFL in r-AVR patients has not been similarly investigated. For this New York State population, POAF/AFL versus non-POAF/AFL patients did not have different rates of MM or stroke, which may require longer follow-up (beyond 30 days) to observe the full impact of POAF/AFL upon r-AVR patients' survival. As an important consideration, however, r-AVR patients with POAF/AFL were more likely to be readmitted within 30-days, as well as to have longer hospitalizations. Similarly in a study by *Jeong et al.* comparing the impact of POAF/AFL in first-time AVR patients, admission due to heart failure within 1-year follow-up was significantly higher in patients with POAF/AFL in both SAVR and TAVR groups^[30]. Given the inherent complexities of repeated procedures, therefore, the higher 30-day readmission rates for POAF/AFL patients may be due to either post-procedural complications (i.e., reflecting myocardial damage) or unmeasured risk factors that may be associated with increased resource utilization.

In this r-AVR study, the MM predictors found were consistent with those previously reported for first-time AVR patients. Black race was documented in this New York Statewide r-AVR population to be predictive of MM; per a prior publication of 991 TAVR patients, this may be due to black race also being associated with a patient-prosthesis mismatch^[32]. Based on a study by Taylor *et al.*, black patients who underwent a mitral valve replacement or an AVR were also significantly associated with an increased risk of procedural complications such as prolonged ventilation, longer postoperative stay, and reoperation for bleeding; these patients were generally in poorer health compared to white patients, with higher New York Heart Association class and pulmonary artery pressures and lower ejection fractions^[33]. This disparity in health outcomes for black patients is likely due to limited access to care despite these patients residing near hospital centers that perform TAVR, as indicated by *Nathan et al.* and *Bilfinger et al.*^[34,35]. Elixhauser score,

reflecting comorbidity burden in patients undergoing r-AVR procedures was also shown to a predictor of MM and was similarly shown in a study by *Nagaraja et al* examining 40,604 TAVR patients^[36].

CONCLUSION

Affecting 41% of the New York State r-AVR population, the r-AVR POAF/AFL rates are comparable to that of first-time AVR. Due to the increased risk of READMIT for these patients undergoing r-AVR, the "at risk" (i.e., older and cerebrovascular patients undergoing r-SAVR) may now be identified for possible prophylactic treatments such as antiarrhythmic medications post r-AVR procedure. With the increasing volume of SAVR and TAVR procedures being performed, there is also an increasing trend toward bioprosthetic valves and performing ViV-TAVR procedures.

Over time, the r-AVR patients' profiles have changed. In the latter time periods, there were higher proportions of patients who were older and patients with higher rates of cerebrovascular disease; these patients were "at risk" of readmission within 30 days. Importantly, black patients and/or patients with high Elixhauser comorbidity scores should be proactively identified by clinicians as "at risk" populations. With a focus on targeting these higher-risk r-AVR populations, future clinical trials should investigate innovative prophylaxis and treatment regimens that might improve the clinical outcomes and reduce the differential burden of health care costs incurred. Most importantly, post-r-AVR discharge continuity of care and specialty consultations should be investigated to assure successful convalescence of the POAF/AFL patients.

Limitations

This New York State observational study was limited by its retrospective, cohort study design due to possible unmeasured patient risk factors that may have been confounders impacting this study's findings. As one potential source of bias, TAVR was initially restricted by the FDA to high-risk patients. Based on revisions in the TAVR eligibility criteria, TAVR was later made available to moderate-risk patients.

With mandatory submissions for all billed encounters enforced by Department of Health audits, this New York statewide database is anticipated to be complete. Given these same billing codes were used in financial transactions by these healthcare facilities with insurance agencies, this database's findings are most likely highly accurate. Although there is high confidence in the POAF/AFL propensity model, there was data sparsity identified for the MM and READMIT multivariate logistic regression models; using appropriate analytic techniques, however, these models also had relatively high c-indices with no calibration concerns identified; hence, the POAF/AFL association reported with the READMIT endpoint appears to be robust for this New York State-based r-AVR patient population. Although this study's r-AVR population was smaller in size, the SPARCS database represents the entire New York State population's experience. Given that r-AVR procedures occur infrequently, future investigations should use regional or national databases to verify the generalizability of these New York State findings.

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Availability of data and materials

All patient records and data were extracted from 2005-2018 SPARCS Database. Due to contractual limitations (i.e., concerns regarding patient records being re-identified) in the Stony Brook University data use agreement, no reports could contain cells that had lower than 10 events; thus, only percentages were reported in [Tables 1-3].

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Conflicts of interest

All authors declared that there are no conflicts of interest.

Ethical approval and consent to participate

This study was approved for IRB exemption under not human study research on 11/23/2021 [IRB2021-00605: POAF r-AVR].

Consent for publication

Not applicable.

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