Review

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Regenerative peripheral nerve interfaces (RPNIs): current status and future direction

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Abstract

Despite significant advancements in neuroprosthetic control strategies, current peripheral nerve interfacing techniques are limited in their ability to facilitate accurate and reliable long-term control. The regenerative peripheral nerve interface (RPNI) is a biologically stable bioamplifier of efferent motor action potentials with demonstrated long-term stability. This innovative, straightforward, and reproducible surgical technique has shown enormous potential in improving prosthetic control for individuals with upper limb amputations. The RPNI consists of an autologous free muscle graft secured around the end of a transected peripheral nerve or individual fascicles within a residual limb. This construct facilitates EMG signal transduction from the residual peripheral nerve to a neuroprosthetic device using indwelling bipolar electrodes on the muscle surface. This review article focuses on the development of the RPNI and its use for intuitive and enhanced prosthetic control and sensory feedback. In addition, this article also highlights the use of RPNIs for the prevention and treatment of postamputation pain.

Keywords: Prosthetic control, amputation, RPNI, regenerative peripheral nerve interface, neuroma, sensory feedback

INTRODUCTION

Upper limb loss has devastating functional consequences as well as a substantial impact on an individual's



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self-perception and quality of life^[1]. Recent innovations in robotic technology have led to the development of upper extremity prosthetic devices that are capable of emulating precise finger, hand, and wrist movements^[2]. However, persons with upper extremity amputations continue to reject these advanced devices at high rates, preferring conventional (i.e., body-powered or myoelectric) prosthetic limbs that provide little functional recovery^[3]. This finding is largely due to a lack of an intuitive, functional neural interface that can provide high-fidelity control signals for efferent motor control. The majority of current experimental peripheral nerve interfaces are limited by several disadvantages, including iatrogenic nerve injury from electrode insertion, insufficient signal selectivity and reproducibility, substantial signal degradation over time, and an inability to prevent neuroma formation^[4,5].

To overcome the limitations of current neural interfacing strategies, our laboratory has developed the regenerative peripheral nerve interface (RPNI) to provide reliable, high-fidelity signal transduction from the residual limb for optimal prosthetic activation and volitional control^[6-11]. The RPNI is composed of a transected peripheral nerve, or peripheral nerve fascicle, that is implanted into a free skeletal muscle graft^[12] [Figure 1]. After the free muscle graft is secured around the terminal end of the fascicle or nerve, the graft undergoes a process of regeneration, revascularization, and reinnervation by the implanted peripheral nerve^[13]. This process creates a biologically stable peripheral nerve bioamplifier that produces highamplitude EMG signals^[6-8,13]. An epimysial electrode is then implanted in the RPNI to record specific efferent motor action potentials^[13], which serves as an interface that can be utilized for prosthetic control^[6-8,13,14]. This surgical approach has numerous benefits, including decreased neuroma formation, improved signal-to-noise ratio (SNR) by means of neuromuscular signal amplification, as well as avoidance of iatrogenic axonal injury that occurs with direct neural interfacing approaches^[14]. Furthermore, because RPNIs can be created with individual fascicles, specific and selective prosthetic device control supporting multiple degrees of freedom is possible, potentially resulting in a more intuitive and enhanced experience when compared to other neuroprosthetic control techniques^[15]. In addition to neuroprosthetic control, RPNIs may have the potential for providing sensory feedback from the prosthetic to the sensory afferents in patients with upper extremity amputations^[16]. Lastly, RPNI surgery is indicated for effective prevention and treatment of neuromas and postamputation pain^[17]. The purpose of this article is to review the multiple applications of the RPNI.

REGENERATIVE PERIPHERAL NERVE INTERFACE DEVELOPMENT

Development of the RPNI began with extensive preliminary testing of RPNIs in animal models^[6-8,11,13,18]. Initial testing in rodents utilized free skeletal muscle grafts of the extensor digitorum longus (EDL) muscle neurotized by the divided common peroneal nerve for the creation of RPNIs^[13]. Following the three-month regeneration, revascularization, and reinnervation process, RPNIs were shown to generate high-amplitude compound muscle action potentials (CMAPs) that could be readily recorded^[13]. Further experimentation confirmed the biologic stability of the interface and provided histologic evidence of axonal regeneration and synaptogenesis within the construct^[11] [Figure 2].

Subsequent testing revealed reliable RPNI signal transduction in real-time during voluntary muscle activation^[8,11]. These experiments showed that RPNI EMG activity could be selectively recorded without electrical interference and signal contamination from adjacent active muscles or RPNIs^[8,11]. In addition, results from these experiments revealed that RPNIs had high SNR when compared to a control group without RPNIs^[11]. Substantiated by these findings, this rodent model was used to further investigate the long-term stability of RPNIs along with their ability to transduce efferent motor action potentials into CMAPs^[18]. Signals were measured up to 14 months postoperatively and demonstrated large-amplitude CMAPs without evidence of RPNI degeneration or signal degradation over time^[18]. Together, these findings

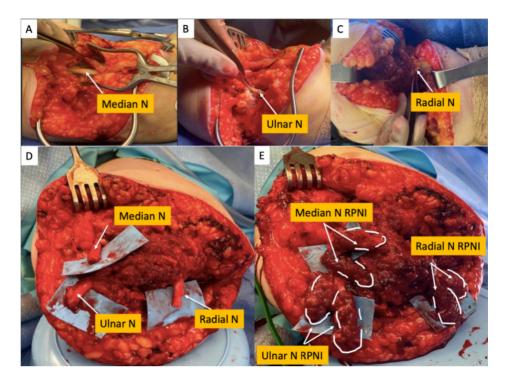


Figure 1. Intraoperative creation of multiple RPNIs. (A-D) Intraoperative dissection of median, ulnar, and radial nerves. (E) This patient underwent implantation of six RPNIs, two each for the radial nerve, median nerve, and ulnar nerve. RPNI: Regenerative peripheral nerve interface.

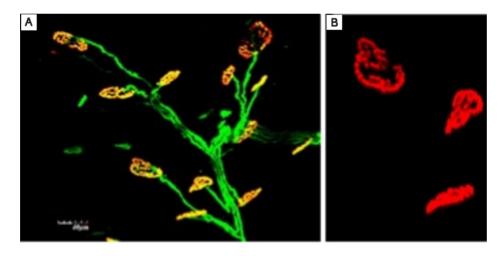


Figure 2. Histologic evidence of axonal regeneration and synaptogenesis within the RPNI. (A) Reinnervating axons stained green using anti-neurofilament 200. (B) Neuromuscular junctions stained red using fluorescent alpha-bungarotoxin conjugates. RPNI: Regenerative peripheral nerve interface.

demonstrated that the RPNI is a reliable and stable peripheral nerve interface that facilitates signal transduction of efferent motor action potentials into large-amplitude CMAPs, with high SNRs and minimal signal contamination, over long periods of time.

Initial rodent RPNI experiments provided the foundation for studies in nonhuman primates using rhesus macaques who were trained to perform specific cued finger movements^[6]. RPNIs were created in these

monkeys using the median, ulnar, and radial nerves^[6]. RPNI EMG signals were recorded for finger flexion, finger extension, and finger rest with an accuracy of over 96%^[6]. Long-term stability was demonstrated with efferent motor action potentials transduced into large-amplitude CMAPs with high SNRs up to 20 months postoperatively in this model^[6]. Building on this work, subsequent experiments on rhesus macaques were performed to control continuous and real-time finger flexion and finger extension of a prosthetic hand using indwelling bipolar electrodes^[7]. Due to the positive results from these animal studies, clinical translation and subsequent testing of RPNIs were next carried out in humans.

REGENERATIVE PERIPHERAL NERVE INTERFACE FOR NEUROPROSTHETIC CONTROL

A pilot study in humans with upper extremity amputations demonstrated the successful use of RPNIs for real-time, volitional prosthetic device control^[9]. The study included four participants with varying levels of amputation including transradial, wrist disarticulation, and shoulder disarticulation. RPNIs in these patients were created using transected residual nerves (including the median nerve, ulnar nerve, and radial nerve) and muscle grafts harvested from the patient's vastus lateralis [Figure 3]. Participant 1 (P1) elected to have temporary fine wire electrodes placed in their RPNIs under ultrasound guidance. However, in this participant, RPNI EMG signals recorded immediately after electrode insertion had a mean SNR of 4.21^[9]. In contrast, participants 3 (P3) and 4 (P4) elected to have indwelling bipolar electrodes surgically implanted in their RPNIs. The SNR of the recorded signals in these participants were significantly larger with a mean of 68.9 and 21.0, respectively, and were effective in providing high-fidelity control of thumb and finger flexion and extension, as well as intrinsic finger control (finger abduction and adduction)^[9]. This difference is likely attributed to the bipolar electrode design and the relatively small space between electrode sites in the percutaneous fine wires (1 mm) compared to the indwelling electrodes (10 mm)^[9]. Of note, the future direction of RPNIs includes electrode implantation at the time of RPNI surgery, as opposed to using percutaneous wire electrodes into existing RPNIs.

In these patients, RPNIs demonstrated effective transduction of efferent motor action potentials into large amplitude CMAPs, with high SNRs, for high-fidelity prosthetic control of both extrinsic and intrinsic hand and finger movements^[9]. The patients who underwent surgical implantation of indwelling bipolar EMG electrodes into their RPNIs had substantially higher SNRs for prosthetic control, especially when compared to other methods of harnessing signals directly from peripheral nerves such as cuff and intraneural electrodes^[9]. In addition, RPNIs did not cause iatrogenic nerve injury, nor were there any problems with signal stability or degradation commonly seen with electrodes that directly interface with peripheral nerves^[9].

This human study also showed the functional stability of RPNIs through ultrasound imaging. For example, independent contractions of distinct RPNIs, in the same patient with multiple median nerve RPNIs, could be seen on ultrasound during thumb flexion, index finger flexion of the proximal interphalangeal (PIP) joint, and index finger flexion of the distal interphalangeal (DIP) joint. On ultrasound, subsections of the same RPNI and alternative RPNIs of the median nerve fascicles contracted based on the patient's varying motor intent. This finding shows that an RPNI contains individual motor units that contract independently, thus allowing for the accurate recording of discrete signals. Importantly, electrodes can be spaced millimeters apart on the large surface area of the RPNI to enable selective and functional prosthetic control, as theoretically, electrodes with more recording sites within RPNIs can provide enhanced signal selectivity^[9]. The use of indwelling bipolar electrodes in RPNIs overcomes a major barrier inherent to peripheral nerve interfaces that use intraneural electrodes which require micrometer spacing of numerous recording EMG signals from residual innervated muscles because signals can be recorded directly from



Figure 3. Intraoperative RPNI creation. This patient underwent implantation of four RPNIs, one each for the radial and median nerve and two for the ulnar nerve. RPNI: Regenerative peripheral nerve interface.

peripheral nerves through the RPNI^[15]. In fact, even in patients with proximal amputations, fascicular RPNIs can be created and utilized to capture signals for intrinsic finger movements. For instance, distinct RPNIs that facilitated individual finger movements were identified in a patient with a shoulder-level amputation^[9].

Human trials have also demonstrated that patients with RPNIs have long-term stability of RPNI signals for highly accurate, continuous control of prosthetic finger movements^[9]. Experimental tasks were designed to test the accuracy of prosthetic control. These tasks were completed by patients with high accuracy on day 0 (96%-100%) up to day 300 (96%-100%)^[9]. It should be noted that signal amplitudes and SNR remained unchanged over time without the need for recalibration of the original control algorithm. This is a significant advancement and major benefit due to the fact that other existing strategies of prosthetic control require frequent recalibrations for accurate, reliable control^[19-23]. For example, powered prostheses controlled using surface EMG often require daily recalibrations, and even multiple recalibrations during the same day, due to changes in electrode impedance, position, and motion artifacts throughout the day. Even as the user becomes more experienced using the device, the need for frequent recalibration cannot be eliminated^[19]. The outcomes from clinical trials in humans have helped establish the reliability, stability, and durability of RPNIs in signal transduction for intuitive neuroprosthetic control.

REGENERATIVE PERIPHERAL NERVE INTERFACES FOR SENSORY FEEDBACK

Despite the advancements in modern robotic technology, prosthetic users still primarily rely on visual feedback to improve the function and control of their prosthetic device^[24]. Lack of sensory feedback is a major limitation to reestablishing the full functionality of the natural limb. Restoring tactile sensation and proprioception would relieve the cognitive burden of relying solely on visual cues to monitor motor commands. In addition, the restoration of the sense of touch would provide tremendous psychological benefits and give individuals a sense of prosthetic embodiment^[25].

As a solution to these challenges, RPNIs have been used to provide sensory afferent signals for sensory feedback from prosthetic devices^[10,16,26]. Findings from a study on participants with upper limb amputations revealed the ability of RPNIs to restore sensation^[16]. In this study, patients underwent the creation of RPNIs on their median and ulnar nerves as well as implantation of indwelling bipolar electrodes in the RPNIs and intact forearm muscles. After one month, patients participated in monthly experimental stimulation sessions. Electrical stimulation of the RPNIs resulted in both meaningful and anatomically appropriate proprioceptive and cutaneous sensations in the residual limb^[16]. These initial results are promising and encourage further investigation into the potential of this interface to provide sensory feedback. The addition of sensory feedback with efferent motor control would establish a robust bidirectional closed-loop prosthetic paradigm that could significantly improve the quality of life for individuals with amputations and would fully capture the potential of extremity prostheses^[25,27].

PREVENTION AND TREATMENT OF NEUROMAS AND POSTAMPUTATION PAIN

Debilitating chronic pain is common after limb amputation. An estimated fifty to eighty percent of patients with limb loss suffer from residual limb pain (RLP) and phantom limb pain (PLP)^[28,29]. These conditions are associated with significant functional consequences, such as prosthetic abandonment and inadequate prosthetic rehabilitation, as well as impairments in activities of daily living and decreased quality of life^[29-32]. It has been well established in the literature that successful treatment of symptomatic neuromas in this patient population has the potential to alleviate both RLP and PLP^[33-35].

Symptomatic terminal neuromas develop when the transected axons of an injured nerve fail to reestablish connections with their end organs, which are no longer present following amputation. Exuberant regeneration of these axons occurs, forming disorganized, hyperexcitable, painful masses of Schwann cells, axonal sprouts, blood vessels, and connective tissue^[36,37]. Although multiple surgical interventions have been proposed for symptomatic neuroma treatment, such as traction neurectomy, these conventional methods have yielded unsatisfactory results including failed pain resolution and neuroma recurrence^[38-40].

The RPNI has been found to be an uncomplicated and effective solution for both the treatment and prevention of symptomatic neuromas^[17,33,41]. In contrast to other existing methods of neuroma treatment, the RPNI addresses the regenerating nerve's physiologic inclination for end organ reinnervation. In this construct, the free autologous muscle graft undergoes revascularization and is reinnervated by regenerating axons, forming new neuromuscular junctions. This leads to fewer axons without functional connections, thereby decreasing the erratic axons that contribute to the formation of a painful neuroma^[13,17]. Current studies investigating whether or not neuromas form within the RPNI construct using ultrasound have not shown neuroma formation within RPNIs.

Studies from our research group have shown that the utilization of RPNIs can successfully treat existing symptomatic neuromas and phantom limb pain^[33,42], in addition to preventing the formation of neuromas when prophylactically performed at the time of amputation^[43]. A retrospective study compared postamputation pain outcomes between patients who underwent prophylactic RPNI surgery at the time of amputation to age, gender, and level of amputation-matched control patients whose peripheral nerves were instead treated with either traction neurectomy, suture ligature, burial of peripheral nerve within the nearby muscle, or a combination of these strategies^[43]. RPNI patients experienced a significantly decreased incidence of symptomatic neuromas (0% *vs.* 13.3%) and phantom limb pain (51.5% *vs.* 91.1%) after a mean follow-up time of approximately one-year^[43]. Additionally, RPNI patients experienced an overall lower rate of postoperative complications compared to the control group (31.1% *vs.* 55.6%), demonstrating that the addition of RPNI surgery performed at the time of primary amputation does not increase surgical

morbidity^[43]. Further research is needed to investigate the possibility of neuroma formation within the RPNI construct.

The RPNI has demonstrated enormous potential to decrease the incidence of postamputation pain. Given the results of our study, all patients who undergo limb amputation should be considered for RPNI surgery for prophylactic prevention of postamputation neuromas. This will undoubtedly have positive implications for pain outcomes, rehabilitation, and overall quality of life for this patient population.

CONCLUSION

In summary, the RPNI is a neuroprosthetic control strategy that transduces signals from residual peripheral nerves with high accuracy and favorable SNRs for enhanced prosthetic device control and user experience. The indications for RPNIs continue to expand as this technique can be applied to any transected peripheral nerve to provide denervated target end organs for reinnervation. The RPNI is a safe, straightforward, and reproducible surgical technique. This novel interface for prosthetic motor control has also shown promise in restoring sensory feedback in persons with upper extremity amputations. Furthermore, RPNIs can be used to treat and prevent neuroma formation and postamputation pain. RPNIs have revolutionized the potential of neuroprosthetic control of the upper extremity, creating an enhanced, intuitive experience for prosthetic users.

DECLARATIONS

Authors' contributions

Performed writing of the manuscript: Burke KL Assisted with manuscript preparation: Kung TA, Hooper RC, Kemp SWP, Cederna PS

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Conflicts of interest

All authors declared that there are no conflicts of interest.

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Consent for publication

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