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Review

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## Frailty and Liver resection: where do we stand?

Georgios S. Sioutas<sup>1,#</sup>, Ioannis A. Ziogas<sup>2,#</sup>, Georgios Tsoulfas<sup>2</sup>

<sup>1</sup>Department of Medicine, School of Health Sciences, Democritus University of Thrace, Alexandroupolis 68100, Greece. <sup>2</sup>First Department of Surgery, Papageorgiou University Hospital, Aristotle University of Thessaloniki, Thessaloniki 54622, Greece. <sup>#</sup>Authors contributed equally.

Correspondence to: Dr. Georgios Tsoulfas, FICS, FACS, Associate Professor of Surgery, First Department of Surgery, Papageorgiou University Hospital, Aristotle University of Thessaloniki, 66 Tsimiski Street, Thessaloniki 54622, Greece. E-mail: tsoulfasg@gmail.com

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### Abstract

As the world population is continuously aging, the number of older patients requiring liver surgery is also on the rise. Data have shown that age should not be a limiting factor for liver resection, as it cannot accurately predict postoperative outcomes. Instead, frailty can serve as a more reliable measure of the patient's overall health and functional reserves. Several frailty assessment tools have been implemented for preoperative risk stratification before liver surgery, and higher scores have commonly been associated with postoperative morbidity, mortality, and length of hospital stay. However, no consensus has been reached on the most useful screening tool. Future studies should focus on comparing the currently available assessment tools, constructing a liver resection-specific tool, and assessing the role of frailty assessment tools in preoperative patient optimization.

Keywords: Frailty, age, elderly, liver resection, liver surgery, morbidity, morbidity, complications

#### INTRODUCTION

Liver resection is the current standard of care for most patients with benign or malignant liver lesions and adequate liver function<sup>[1,2]</sup>. Advances in healthcare have led to a continually increasing life-expectancy, which consequently leads to a higher number of elderly patients (> 60 years) being offered liver surgery<sup>[2,3]</sup>. Several studies sought to compare liver resection in younger vs. older surgical candidates and reported varying yet acceptable outcomes in appropriately selected older individuals<sup>[2,4-8]</sup>. In fact, morbidity and mortality rates in patients undergoing liver resection for hepatocellular carcinoma (HCC) seem to range



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9%-51% and 0%-42.9%, respectively<sup>[9]</sup>. Normal aging is associated with a gradual decline in the function of most organ systems, including the liver. The liver synthetic and metabolic activity, liver volume, and blood flow to the liver seem to be significantly affected in the elderly<sup>[10]</sup>. It is well known that the liver remnant regenerates after liver resection, and the final liver volume after regeneration does not seem to differ between younger and older individuals<sup>[9,11]</sup>. However, this process might be delayed in the elderly due to the liver's decreased proliferative capacity in the early period after the loss of the liver mass<sup>[12]</sup>. Data have shown that liver regeneration after living donor liver transplantation can be delayed in older donors when compared to younger donors<sup>[13]</sup>. These findings indicate that physiological deconditioning and remaining organ function might have a more significant effect on clinical outcomes than the actual chronological age<sup>[14,15]</sup>.

Frailty syndrome is defined as the increased vulnerability to stressors, loss of ability to adapt, and diminished resiliency secondary to an age-related decline in the physiological reserves and function of multiple organ systems<sup>[16,17]</sup>. It is essential to distinguish frailty from "comorbidity" and "disability"; although these three terms overlap to some extent and are often used interchangeably to predict patient outcomes, they represent entirely different entities<sup>[18]</sup>. As described by Feinstein, "comorbidity" is "any distinct additional entity that has existed or may occur during the clinical course of a patient who has the index disease under study"<sup>[19]</sup>. The term "disability" refers to the abnormal biological functioning or the defect that renders individuals inferior to the "normal" species around them leading to loss of social stability and survival<sup>[20]</sup>. Frailty should also not be considered synonymous to aging<sup>[21]</sup>, but rather an intermediate clinical state between normal and pathological aging<sup>[22]</sup>. Frail patients commonly fail to return to their prior homeostasis after a stressor, resulting in adverse clinical outcomes<sup>[23,24]</sup>. Therefore, the need for developing accurate risk-stratification tools that can potentially identify older patients at risk for postoperative complications is apparent<sup>[25]</sup>.

The aim of the present review is to summarize the impact of age on patient outcomes after liver surgery, describe the available frailty assessment tools, and discuss the impact of frailty on postoperative outcomes in patients undergoing liver resection.

#### LIVER RESECTION AND AGE

Several studies sought to investigate the outcomes of liver resection in young *vs.* old patients. Fong *et al.*<sup>[26]</sup> published one of the first studies examining the effect of age on liver surgery. Their study included 133 patients older than 65 years undergoing liver resection for colorectal liver metastases, and they found that age was an independent risk factor for increased risk of morbidity. According to the authors, major hepatic resection may be safely performed and result in favorable functional outcomes on appropriately selected older patients<sup>[26]</sup>. Cho *et al.*<sup>[2]</sup> investigated the safety of liver resection in the elderly and reported favorable outcomes in patients  $\geq$  70 years. Although most elderly patients were transferred to rehabilitation facilities postoperatively, there was no difference in terms of severe postoperative complications. The authors also performed a literature review and included 14 previous studies; only two (14.3%)<sup>[27,28]</sup> of them reported a statistically significant difference in mortality between old and young patients. Additionally, a large single-center study from France showed that age  $\geq$  75 years is a risk factor of mortality after liver resection<sup>[30]</sup>, while a multicenter study from the US showed that increasing age is associated with increased postoperative sepsis and overall mortality, but not overall morbidity<sup>[31]</sup>.

As liver resection represents the mainstay of treatment in non-metastatic HCC<sup>[32-34]</sup>, several studies aimed to investigate the difference in outcomes between young and old HCC patients. Therefore, data have proven the safety and feasibility of liver resection in appropriately selected patients aged not only more than 70 years, but, in some cases, even more than 80 years<sup>[35-38]</sup>. A meta-analysis<sup>[39]</sup> reported that the morbidity and mortality



Figure 1. "Physical Frailty Phenotype" model by Fried et al. [14]

rates did not differ significantly between older and younger patients undergoing hepatic resection for HCC, while the risk of mortality for younger patients was 2.7 times lower when compared to the elderly for colorectal liver metastases<sup>[39]</sup>. Interestingly, another meta-analysis reported that hepatic resection for liver malignancies is associated with a higher risk of postoperative renal failure, infection, and mortality in older *vs.* younger patients, while the length of stay (LOS) in the hospital, transfusions, and disease-free survival did not differ significantly between the two groups<sup>[40]</sup>. Nevertheless, the considerable variability in patient outcomes after liver resection in the elderly underlines the inability of age alone to predict postoperative outcomes accurately. Instead, factors that reflect the overall health status of the patient, such as frailty, may serve as more accurate predictors of postoperative outcomes. On that grounds, several frailty assessment tools have been developed in order to preoperatively determine patients at risk of adverse postoperative outcomes.

#### FRAILTY ASSESSMENT TOOLS

Numerous frailty screening tools have been described over the years<sup>[17,18,41]</sup>. The most commonly implemented one is the "Physical Frailty Phenotype" model by Fried *et al.*<sup>[14]</sup>, which describes frailty as the decrease in physiological reserve secondary to a multisystem functional decline. This tool assesses the following criteria to identify frail patients: (1) walking speed; (2) grip strength; (3) weight loss; (4) physical activity; and (5) exhaustion [Figure 1]. Patients meeting one or two of these criteria are deemed "pre-frail", while those meeting at least three criteria are categorized as frail<sup>[14]</sup>. Makary *et al.*<sup>[42]</sup> further validated this definition, and at the same time defined as "pre-frail" those fulfilling two or three of the above-mentioned criteria. The Phenotypic frailty tool requires only a questionnaire, a stopwatch, and a dynamometer, and thus can be completed in only 10-15 min<sup>[17]</sup>. It is also recognized by the American College of Surgeons and the American Geriatric Society for the assessment of the elderly preoperatively<sup>[43]</sup>. Nevertheless, the inherent drawback of this assessment method is the lack of psychosocial evaluation of the older patient<sup>[44]</sup>.

The second most commonly used frailty assessment tool is the "Deficit Accumulation Index" by Rockwood *et al.*<sup>[15]</sup> [Figure 2]. It defines frailty using a frailty index (FI) with the number of deficits or abnormal characteristics accumulated over several areas (i.e., physical, social, functional, and cognitive) on the numerator and the total number of characteristics assessed on the denominator<sup>[15,45,46]</sup>. Higher index values have been associated with an increased likelihood of frailty, adverse patient outcomes, disability, hospitalization, and death<sup>[45]</sup>. Although it is considered more sensitive than the Phenotypic frailty tool<sup>[16]</sup>, its downsides include the fact that it is time-consuming (up to 70 characteristics assessed sometimes) and its extensive focus on comorbidities (symptoms, diagnoses, abnormal values on laboratory tests, *etc.*) rather than on functional decline<sup>[18]</sup>. With the aim to assess frailty in a timely fashion and in a more efficient way, several modified FIs (mFIs), which may measure as few as five factors, have been generated<sup>[47]</sup>. In fact, 11-point mFIs have already been used to evaluate patients undergoing liver resection<sup>[48,49]</sup>.

Comprehensive geriatric assessment (CGA) is another well-established approach implemented to evaluate frailty in older patients<sup>[50,51]</sup>. It utilizes assessment tools and laboratory values to assess patients from several



Figure 2. "Deficit Accumulation Index" by Rockwood et al. [15]

standpoints, including physical medical comorbidities, nutritional status, mental and cognitive status, physical functioning and fitness, social networking, and environmental status<sup>[50,51]</sup>. A downside that CGA shares with FI is that it is time-consuming, mostly due to its complexity<sup>[51]</sup>. Two screening tools that can help identify elderly patients who will benefit from a more comprehensive assessment with the CGA are the Geriatric-8 (G8) and the Vulnerable Elders Survey-13 (VES-13)<sup>[52]</sup>. Those take into consideration age, self-rated health, and physical function along with other factors<sup>[53]</sup>, and both of them take only 5 min to complete<sup>[52]</sup>. The first one is specifically designed to identify vulnerable older patients with cancer, while the latter is designed to detect vulnerable older patients in the community. Hence, G8 was found to be predictive of postoperative complications in elderly patients with HCC, while VES-13 was not shown to have a predictive role<sup>[53]</sup>. The abbreviated CGA is another screening tool for elderly cancer patients that incorporates only the 15 most important items of the full assessment tool and requires only 5 min to complete<sup>[54,55]</sup>.

The FRAIL (Fatigue, Resistance, Ambulation, Illness, and weight Loss) index is another easy to complete screening tool that deems patients frail if three or more of its components are present<sup>[56]</sup>. A great advantage of this scale is that it has been validated not only in the elderly but also in middle-aged individuals<sup>[56,57]</sup>. On the other hand, the Edmonton Frail Scale (EFS) assesses the patients' health over ten frailty domains (including cognition, general health status, mood, continence, functional performance and independence, social support, polypharmacy, and nutrition)<sup>[58]</sup>. A score below 5 indicates the "no frailty" patients, a score between 6 and 11 identifies the "apparently vulnerable" individuals, and a score between 12 and 17 distinguishes the "severe frailty" patients<sup>[59]</sup>. Studies have shown that EFS is a valid and accurate tool that can be efficiently administered by non-geriatricians to assess and preoperatively optimize geriatric patients with or without cancer<sup>[58,60,61]</sup>. The Clinical Frailty Scale (CFS) is another quick frailty assessment tool based on clinical judgment<sup>[62]</sup>. Data suggest that it can accurately identify patients who may need institutional care, as well as those less likely to survive<sup>[62]</sup>. Data have shown that CFS can reliably distinguish patients who are going to have a complicated course and prolonged LOS while in the acute medical ward<sup>[63]</sup>. A screening tool for frailty broadly used in Japan is the Kihon Checklist (KCL)<sup>[64]</sup>. KCL is a self-reporting "yes/no" survey that can help clinicians assess the status of older individuals in several frailty domains (functional, physical, psychological, and social)<sup>[65]</sup>.

Moreover, there are some single item tools that are less comprehensive but can serve as quick and easy to apply screening tools. The most common tool falling into this category is the handgrip test, which utilizes a hydraulic hand dynamometer to evaluate the maximum strength of the dominant hand<sup>[52]</sup>. Its role in distinguishing "fit" from "frail" older individuals has been validated not only in the general population but also in cancer patients, as handgrip strength is highly associated with survival outcomes<sup>[52,66]</sup>. Although the

Tools	Ref.
Physical frailty phenotype	[14,42]
Deficit accumulation index	[15]
Comprehensive geriatric assessment	[50,51]
Abbreviated comprehensive geriatric assessment	[54,55]
Geriatric-8	[52,53]
Vulnerable elders survey-13	[52,53]
FRAIL index	[56]
Edmonton frail scale	[58,59]
Clinical frailty scale	[62]
Kihon checklist	[64,65]
The handgrip test	[52]
The "Up & Go" test	[52,67]

#### Table 1. Frailty assessment tools

FRAIL: fatigue, resistance, ambulation, illness, and weight loss

handgrip test can be applied in multiple settings, another tool particularly useful for hospitalized patients is the timed "Up & Go" test<sup>[67]</sup>. This test requires the patient to stand up and walk three meters, then to turn, walk back and sit down and can accurately assess balance and functional mobility<sup>[52]</sup>. Data suggest that it is particularly useful in identifying cancer patients at risk of postoperative complications<sup>[68]</sup>. A comprehensive list of the various tools used for the assessment of frailty is shown in Table 1.

#### LIVER RESECTION AND FRAILTY

There is a growing body of evidence that frailty assessment tools are useful in identifying frail patients at higher risk of postoperative morbidity and mortality, as well as extended LOS in the hospital. In fact, Kaibori *et al.*<sup>[53]</sup> evaluated the utilization of the G8 CGA tool in patients  $\geq$  70 years undergoing liver resection for HCC. Patients with a score lower than 14 demonstrated higher postoperative morbidity rate and extended LOS, but no difference in mortality when compared to patients with scores  $\geq 14^{[53]}$ . Notably, on multivariate analysis, G8 score < 14 was significantly associated with postoperative morbidity, while age  $\geq$  77 years was not found to be a significant risk factor<sup>[53]</sup>. It is worth mentioning that patients with HCC arising on a background of cirrhosis demonstrated a tendency towards inferior outcomes after liver resection<sup>[53]</sup>; however, further research is warranted in order to deduce meaningful conclusions.

Louwers et al.<sup>[48]</sup> investigated the impact of frailty, assessed by the 11-point mFI tool, on morbidity and mortality after open hepatectomy in 10,300 patients from the National Surgical Quality Improvement Project (NSQIP) database. As the mFI score increased, a statistically significant increase was associated with Clavien 4 complications, mortality, and extended LOS. Notably, this statistical significance was maintained in all types of hepatectomy (partial, right, left, and extended). Although this study highlighted the importance of mFI in preoperative planning and risk stratification, the authors stressed the need for simpler hepatectomy-specific frailty assessment tools<sup>[48]</sup>. Another study utilizing NSQIP hepatectomy data described the revised FI (rFI) on a "training set" of patients and compared it with the 11-point mFI ("validation set")<sup>[49]</sup>. rFI incorporates several variables, such as preoperative serum albumin and hematocrit, American Society of Anesthesiologists score, BMI, the extent of liver resection, and underlying pathology. Higher rFI scores were significantly associated with postoperative complications, prolonged LOS, and mortality, while higher mFI scores were linked only to a higher risk of morbidity but neither mortality nor LOS<sup>[49]</sup>. Chen et al.<sup>[69]</sup> evaluated the use of a five-item mFI to assess the effect of frailty on outcomes in patients undergoing combined colorectal and liver resection for colorectal cancer and liver metastases. Patients with higher mFI scores exhibited a higher incidence of mortality, overall and severe morbidity, as well as prolonged LOS. On multivariate analysis, higher mFI scores were found to be independent risk factors for overall and severe morbidity, while age was not found to be a significant factor that affects morbidity.

			Number of		Frailty assessment	Dia	tgnosis (%)				
rear	Autnors	Country	patients	Age (years)	tool	Primary cancer (HCC)	Metastatic disease	Other	Morbidity	Mortality	50
2016	Kaibori <i>et al.</i> <sup>[53]</sup>	Japan	71	78.3±3.2	G8	100% (100%)	0%	0%	P < 0.0001	P = 0.06	P = 0.01
2016	Louwers <i>et al.</i> [48]	USA	10,300	58 ± 12.2	11-point mFl	18.1% (N/A)	45.9%	36%	P < 0.001	P < 0.001	P < 0.001
2017	Gani <i>et al.</i> <sup>[49]</sup>	USA	1900	$60 \pm 14.1$	rFI	80.4%		19.6%	P < 0.001	P = 0.048	P < 0.001
			814	$59.3 \pm 13.4$	11-point mFl	79%		21%	P = 0.02	P = 0.29	P = 0.08
2018	Chen <i>et al.</i> <sup>[69]</sup>	USA	1928	$58.8 \pm 12.3$	5-point mFl	0% (0%)	100%	0%	P < 0.001	P = 0.006	P = 0.007
2019	ltoh <i>et al.</i> [70]	Japan	154	$64.6 \pm 9.4$	Gait speed	69.5% (61.7%)	24.7%	5.8%	P = 0.002	N/A	P = 0.03
2018	Tanaka <i>et al.</i> <sup>[64]</sup>	Japan	217	$74.7 \pm 4.5$	KCL	78.8% (69.1%)	20.3%	0.9%	P = 0.18	P = 0.02	P = 0.11
2019	Okabe <i>et al.</i> [71]	Japan	143	$75.4 \pm 4$	CFS	0% (0%)	100%	0%	P = 0.02	N/A	P = 0.01
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Table 2. Cohort studies on the association between frailty and postoperative adverse outcomes after hepatic resection

stay; mFI: modified frailty index; N/A: not available; rFI: revised frailty index values converted to mean and standard deviation based on Hozo et al. -: CFS: clinical fraility scale; FI: fraility index; G8: gerlatric-8; HCC: hepatocellular carcinoma; RCL: kinon checklist; LOS: Ingual ç

hepatic resection<sup>[64]</sup>. study groups. A prospective multicenter study from Japan sought to evaluate the impact of frailty, as measured by the KCL, on age-related morbidity after a higher rate of complications compared to individuals with a gait speed > 1.1 m/s, while both groups had a mortality rate of 0%. Although patients with assessment tools to evaluate postoperative outcomes after liver resection are summarized in Table 2 differ between frail and non-frail patients, while the 90-day mortality rate was significantly higher in the frailty group<sup>164]</sup>. Details of the studies utilizing frailty treatment, transfer to a rehabilitation facility, and dependency. However, the incidence of overall and major complications, 30-day mortality, and LOS did not found to be an independent risk factor of age-related events after liver resection, including major cardiopulmonary complications, delirium requiring medical postoperative complications, as well as LOS, were significantly higher in frail compared to non-frail patients. Nonetheless, mortality rates were 0% in both an independent risk factor for severe postoperative complications, while age was not found to be a significant risk factor. In addition, the incidence of severe walking with a speed > 1.1 m/s. As age was not included in the multivariate model, meaningful conclusions cannot be easily deduced. On another note, complications were not significantly older than those without complications, individuals with a gait speed of  $\leq$  1.1 m/s were significantly older than those resection at a single-center in Japan<sup>170</sup>. The authors utilized receiver operating characteristic curves and determined that a cutoff of 1.1 m/s can accurately impact of trailty on morbidity after liver resection for colorectal liver metastases using the CFS (frailty: CFS > 4). Multivariate analysis showed that frailty is low serum albumin ( $\leq$  4.0 g/dL) was also found to be an independent risk factor for postoperative complications<sup>[70]</sup>. Another Japanese study<sup>[71]</sup> assessed the identify patients at risk of postoperative complications, based on multivariate analysis. Patients with a gait speed at or below 1.1 m/s had longer LOS Gait speed, which is a component of the Fried's Phenotype tool, has also been utilized to determine postoperative outcomes in patients undergoing liver ". According to this phenotypic FI, patients are deemed frail when KCL is 8 or higher. Based on their multivariate analysis, frailty was and

# CONCLUSION

chronological age constitutes a valid predictor of postoperative outcomes. Instead, frailty assessed by numerous tools seems to better predict postoperative Overall, several studies have proven the feasibility and safety of liver resection in the elderly; however, data do not unanimously support the concept that morbidity, mortality, and LOS after liver resection. However, deducing meaningful conclusions remains challenging due to the lack of consensus regarding frailty definition, assessment tools, and score cutoffs. Future studies should focus on performing between-tool comparisons, developing a hepatectomy-specific frailty assessment tool, and evaluating the role of frailty assessment tools in preoperative patient optimization.

#### DECLARATIONS

#### Authors' contributions

Study concept, data analysis and interpretation, critical revision of the manuscript, final approval of the manuscript: Ziogas IA, Sioutas GS, Tsoulfas G

Data acquisition, drafting of the manuscript: Sioutas GS, Ziogas IA

#### Availability of data and materials

Not applicable.

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None.

#### **Conflicts of interest**

All authors declared that there are no conflicts of interest.

#### Ethical approval and consent to participate

Not applicable.

#### **Consent for publication**

Not applicable.

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