Review



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Immunotherapy in the treatment of colorectal cancer: a new kid on the block

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How to cite this article: Spallanzani A, Gelsomino F, Caputo F, Santini C, Andrikou K, Orsi G, Rimini M, Pipitone S, Riggi L, Bardasi C, Salati M, Cascinu S. Immunotherapy in the treatment of colorectal cancer: a new kid on the block. *J Cancer Metastasis Treat* 2018;4:28. http://dx.doi.org/10.20517/2394-4722.2018.31

Received: 13 May 2018 First Decision: 29 May 2018 Revised: 1 Jun 2018 Accepted: 6 Jun 2018 Published: 19 Jun 2018

Science Editor: Guofeng Xie Copy Editor: Jun-Yao Li Production Editor: Huan-Liang Wu

Abstract

In the last few years, the success of anti-PD1 and anti-PDL1 drugs in solid cancers treatment and the advances in molecular biology have provided new potential treatment strategies for patients with metastatic colorectal cancer. Unfortunately, only patients with mismatch repair deficiency seem to benefit from immunotherapy and they represent a small subset of the metastatic population. New ongoing studies focus on converting an immune ignorant tumour into an inflamed one by combination therapies and on introducing an immunotherapeutic approach in earlier stages of disease (neoadjuvant and adjuvant setting). In this review we summarize the current knowledge about the molecular and immune landscape of colorectal cancer and propose new potential combination strategies to enhance the efficacy of immunotherapy.

Keywords: Colorectal cancer, immunotherapy, microsatellite instability, pembrolizumab, nivolumab, atezolizumab

INTRODUCTION

Colorectal cancer (CRC) is the third most common cancer in males and the second in females, representing the fourth leading cause of cancer-related deaths worldwide in older adults^[1,2].

However, CRC-related mortality has declined progressively in the past decades, due to cancer screening programs, standardization of preoperative and postoperative care, improved surgical techniques and more-effective systemic therapies for early and advanced-stage disease^[3].

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Nevertheless, about 50% of patients develops metastases during the course of their disease. In these patients, chemotherapy (fluoropyrimidines, oxaliplatin and irinotecan) with biological agent [anti-vascular endothelial growth factor (anti-VEGF), anti-epithelial growth factor receptor (anti-EGFR) and multikinase inhibitor such as regorafenib] remains the standard of care, with median overall survival approaching 30 months^[4].

The molecular characterization of colorectal cancer has led to the identification of favorable and unfavorable immunological features linked to clinical outcome^[5].

Currently, CRC is classified into four consensus molecular subtypes (CMS), with unique clonal, stromal and immune dependences^[6].

The immune system has a substantial effect on cancer, especially as a suppressor of tumour initiation and progression. Additionally, it influences the response to immunotherapeutic and conventional treatment options (e.g., chemotherapy, radiotherapy and targeted therapies). However, the tumor can establish several mechanisms to escape immune surveillance. Therefore, different strategies may be pursued to restore the immune response against cancer cells, both as an active immunotherapy (cytokines, immune checkpoint inhibitors, co-stimulatory pathways and cancer vaccines) and as a passive immunotherapy (adoptive cellular therapy and monoclonal antibodies) approach^[7]. FDA has recently approved checkpoint inhibitors (nivolumab and pembrolizumab), for the treatment of patients with microsatellite instability (MSI) metastatic CRC. However, the most unsolved problem is the lack of efficacy of these antibodies in microsatellites stable (MSS) tumours, which represent the majority of CRC.

In this review we summarize the biological bases and the recent clinical evidences related to the use of immunotherapy in metastatic colorectal cancer (mCRC) to suggest different treatment strategies according to different CMS, transcriptomic pathways and stroma-immune microenvironment.

RATIONALE FOR IMMUNOTHERAPY IN CRC

The immune system has a major role in cancer: immune cells can act both as suppressors of tumor initiation and progression and as promoters of proliferation, infiltration and metastasis.

In 1970 Burnet^[8] proposed the concept of immune-surveillance, that was updated by Dunn *et al.*^[9] and Schreiber *et al.*^[10] with the identification of the process of immunoediting. This process consists of three well-defined phases: elimination, equilibrium and escape. The elimination phase refers to active surveillance, and includes innate and adaptive immune responses to tumour cells. First of all, cells of the innate immune system (NK cells, NK T cells, macrophages and dendritic cells) recognize the presence of a growing tumor after its stromal remodeling, a local tissue damage and the release of inflammatory signals, which recruit these cells to the tumor site. They produce IFN-gamma and IL-12, and destroy most of cancer cells, even if some of them survive and reach the "equilibrium" phase. Therefore, in the elimination phase, the release of IFN-gamma and production of chemokines as CXCL10, CXCL9 and CXCL11 determine the inhibition of angiogenesis. Meanwhile dendritic cells migrate into the draining lymph nodes and promote the differentiation phase and have a non-immunogenic phenotype are selected for growth. Progressively these cells become unstable and acquire various mutations, so they will be able to grow despite immune attack and reach the escape phase. In this third phase, tumor cells continue to grow and may lead to malignancies.

In this process we can identify three main characters^[11]:

1. Tumour cells have several mechanisms that block the activity of effector antitumor CD4+ and

CD8+ T cells, in order to reduce local tumour-infiltrating immune responses. Frequent mechanisms include loss of MHC class I expression, dysregulation of antigen processing machinery, production of immunosuppressive factors (TGF-beta; IL-10; VEGF; indoleamine 2,3 dehydrogenase), recruitment of immunosuppressive cells (i.e T-reg)^[12] and activation of negative costimulatory signals in tumour microenvironment like PD-L1^[13].

- 2. Tumour microenvironment consists of regulatory immune cells, extracellular matrix proteins, and fibroblasts (cancer-associated fibroblasts, CAFs). They secrete tumour-promoting factors that contribute to tumor invasion and neoangiogenesis^[14]. CAFs play a critical role in CRC immunosuppression, particularly in CRC RAS mutated; in fact they lead to tumour progression by activating epithelial mesenchymal transition and TGF-beta/SMAD signalling^[15]: high levels of CAFs markers are correlated with poor prognosis in CRC^[16].
- 3. The immune system includes innate and adaptive immune cells. The innate cells consist of macrophages, mast cells, neutrophils, dendritic cells, and myeloid-derived suppressor cells (MSDCs) and natural killer (NK) cells. The adaptive T cells include CD8+ cytotoxic T lymphocytes (CTLs), CD4+ Th1 cells, CD4+ Th17 cells and regulatory T cells (Tregs)^[7]. A strong lymphocytic infiltration is associated with better clinical outcome in many tumors, including colorectal cancer. Particularly, high densities of CD3+ T cells, CD8+ cytotoxic T cells and CD45RO+ memory T cells are associated with a longer disease free survival (DFS) and improved overall survival (OS)^[17]. Therefore in CRC also the infiltration of M1 macrophages, DCs and NK cells is associated with good prognosis, while the presence of M2 macrophages, MDSCs, Th17 and B cells is related with poor outcome^[18].

All these findings have been translated into the elaboration of the so called "immunoscore". It is based on the quantification of two lymphocytes populations (CD3/CD45RO, CD3/CD8 or CD8/CD45RO) in both tumor core and invasive margin. It ranges from 0 (low density of both cells in both cancer regions) to 4 (high density) and may predict DFS and OS in CRC^[19]. It may help identify patients with early stage disease who might benefit from adjuvant chemotherapy or immunotherapies according to T-cell densities^[20].

FROM MOLECULAR SUBTYPES TO STROMAL CLASSIFICATION

The development of CRC is supported by the accumulation of genetic and epigenetic alterations that transform colonic epithelial cells into colon adenocarcinoma cells. The genomic instability occurs early in carcinogenesis and it facilitates the acquisition of alterations in tumour suppressor genes and oncogenes in a clone of cells, resulting in cancer^[21,22]. Three fundamental pathways are implicated in this process:

- Chromosomal instability (CIN): CIN is observed in the majority of sporadic CRCs (65%-75%) and is characterized by a variety of chromosomal alterations leading to defects in chromosomal segregation, telomere stability, and the DNA damage response as well as a loss of heterozygosity. CIN-high tumours typically accumulate mutations in specific tumor-suppressor genes and oncogenes that activate critical pathways for CRC pathogenesis, including KRAS, CTNNB1, PIK3CA, APC, TP53 and SMAD4.
- 2. CpG-island methylator phenotype (CIMP): it is defined by hypermethylation of the symmetrical dinucleotide CpG and a global DNA hypomethylation. In many human genes there's a CpG island in their promoter region, and the methylation of the cysteines of the CpG-island implicates the transcriptional silencing of the gene^[23]. When this happens in promoters of tumour suppressor genes, it supports the possible development of cancer^[24].
- 3. Microsatellite instability (MSI): it occurs in 15% of early-stage colorectal tumors. It is caused by a lack of expression in the DNA mismatch repair (dMMR) proteins, which normally are involved in the correction of DNA replication errors. This defect results in accumulation of mistakes in microsatellite regions, which are short repetitive sequences of DNA, with unit length ranging from one to six bases. They are scattered throughout the coding and noncoding regions of the genome. MSI can be due

to germline mutations of MMR enzymes, as MLH1, MSH2, MSH6, and PMS2, which causes the so called Lynch syndrome^[25-27]. There are also sporadic dMMR CRCs, which arise mainly from epigenetic silencing of MLH1 promoter, and they are associated with CIMP phenotype and BRAF V600E mutations.

To define MSI, five microsatellites are evaluated trough PCR based assay: if $\geq 2/5$ are unstable, the sample is defined as MSI-high (MSI-H), while 1/5 or 0/5 are MSI-low (MSI-L) and MSS, respectively, which have a similar behavior^[28].

The progressive findings in the molecular characterization of CRC along with the identification of specific gene alterations as prognostic and predictive factors in this cancer, led to the elaboration of various CRC classifications, essentially based on gene expression^[29-33]. However, there were many differences among these classifications, so in 2015 the CRC Subtyping Consortium (CRCSC) developed a new classification, identifying four consensus molecular subtypes (CMS), analyzing the results of six CRC subtyping algorithms^[34]. Each CMS group had a specific pattern:

- CMS1 (MSI Immune, 14%): CMS1 samples were hypermutated, with low prevalence of somatic copy number alterations (SCNAs), enriched of MSI and CIMP tumours with hypermethylation status. A particular characteristic of this group was a more frequent presence of BRAF mutations, compared to the other CMS. This subtype was defined as immune, because of the rich immune infiltrate (especially Th1, cytotoxic T cells and NK cells) and the strong activation of immune evasion pathways, as we typically see in MSI CRC^[35].
- CMS2 (Canonical, 37%): this group exhibited the typical CIN pattern; it also showed more frequent copy number gains in oncogenes and losses in tumour suppressor genes. It was characterized by epithelial differentiation, with WNT and MYC activation, higher expression of the oncogenes *EGFR*, *ERBB2* (also known as *HER2*), insulin-like growth factor 2 (*IGF2*), insulin receptor substrate 2 (*IRS2*) and transcription factor hepatocyte nuclear factor 4α (*HNF4A*), as well as cyclins2.
- CMS3 (Metabolic, 13%): CMS3 samples were characterized by few SCNAs, a 30% significant hypermutation with a mixed MSI status, a higher prevalence of CIMP low cluster and an intermediate hypermethylation status. This subtype was defined as "metabolic" according to the common metabolic alterations and the higher expression of KRAS mutations, which made this group of cancers similar to a recently identified gastric cancer subtype^[12].
- CMS4 (Mesenchymal, 23%): similarly to CMS2, this group had a high prevalence of SCNAs. It showed the typical mesenchymal pattern, as the upregulation of genes involved in epithelial mesenchymal transition, the TGFβ activation, angiogenesis, matrix remodeling, with a consequent stromal infiltration, particularly CAFs.

This classification reflects also significant clinical and prognostic differences among the various subtypes: CMS1 cancers are frequent in females with right-sided tumours and have a higher histopathological grade, while CMS2 cancers are more frequently left-sided. Moreover, CMS4 cancers are often diagnosed at advanced stages and they show worse overall survival (OS) and relapse-free survival (RFS). Patients with the typical CMS1 pattern have poor survival after relapse, consistently with the known bad prognosis of patients with MSI and BRAF mutated CRC after relapse^[36], differently from CMS2 population, which has the best survival after relapse of these CMS groups^[37,38].

IMMUNOTHERAPY IN MSI mCRC

Mismatch repair deficient CRCs represent 15% to 20% of stage II and III CRCs and are associated with better prognosis than proficient (pMMR) tumors. In the metastatic setting, dMMR CRCs represent only around 5% and are associated with a poor prognosis^[39], as confirmed in the recent results presented at the 2017 ASCO

meeting (OS at 17.9 months and PFS at 3.9 months), whatever the chemotherapy regimen or targeted therapy used (bevacizumab or anti-EGFR)^[40].

Actually, immunotherapy is a prominent therapeutic approach in many cancers, such as melanoma, nonsmall cell lung cancer, kidney and bladder cancer. However, significant advances have been made also in CRC. A first study utilizing a CTLA-4 antagonist monoclonal antibody, tremelimumab, showed a possible usefulness of immune checkpoint inhibitors in CRC, obtaining one 6-month durable response^[41].

Then, in the phase II trial conducted by Le *et al.*^[42], the clinical activity of pembrolizumab was evaluated in three cohorts of patients: MSI-H CRC, MSI-H non CRC, and MSS CRC. The immune-related objective response rate (ORR) and the immune-related 6-month PFS rate were 40% and 78%, respectively, in the dMMR CRC patients, 0% and 11% in the pMMR CRC patients. These findings currently are being evaluated in the KEYNOTE-177 phase III trial in patients with dMMR metastatic CRC who have been randomized to treatment with pembrolizumab *vs.* standard therapy.

In Checkmate 142, nivolumab alone and the combination of nivolumab + ipilimumab were evaluated in patients with metastatic CRC, with or without MSI. Seventy patients with MSI-H CRC were enrolled and treated with nivolumab monotherapy (3 mg/kg every 2 weeks). At the preliminar presentation of the trial results, of the 47 patients which had at least 12 weeks of follow-up, 26% had an objective response while 30% had stable disease, with disease control rate of 55%. In the update published on *Lancet*, 23 of 74 patients achieved an objective response (ORR 31%) and 51 of 74 patients had disease control for 12 weeks or longer (DCR 69%)^[43]. By the use of combination therapy (nivolumab 3 mg/kg q2 week plus ipilimumab 1 mg/kg q3 week × 4 doses, followed by nivolumab monotherapy), investigator-assessed ORR was 55%, and disease control rate for \geq 12 weeks was 80%^[44]. In this heavily pre-treated population, 12 months overall survival was 73% and 85% with monotherapy and combination therapy respectively. Grade 3 and 4 drug related adverse events (AEs) were reported in 25 patients (7%) stopped the treatment due to toxicities. In the combination group, grade 3 and 4 AEs were reported in 32% of patients: 15 patients (13%) discontinued treatment because of study drug-relates AEs.

All these data supported the benefit of immunotherapy in MSI-H CRC, and for this reason FDA approved the use of nivolumab and pembrolizumab in patients with unresectable or metastatic MSI-H and dMMR CRC, that have progressed after previous treatment.

Similar results were not reached in MSS CRC, in fact in the pivotal pembrolizumab study, no response was achieved, with very poor PFS and OS, as subsequently confirmed in other trials^[45,46] [Table 1].

There are several combination clinical trials and novel immunotherapeutic strategies under active investigation for metastatic CRC [Table 2].

STRATEGIES TO CONVERT AN IMMUNE IGNORANT TUMOR INTO AN INFLAMED ONE

There are mainly 3 different tumour immune phenotypes [Figure 1]:

- 1. Highly immune-infiltrated tumours with favourable immune microenvironment, enriched of Th1-type functional TILs;
- 2. Highly immune-infiltrated tumours with unfavourable tumour microenvironment with active angiogenic and immunosuppressive pathways;
- 3. Poorly immunogenic tumours with minimal immune cell infiltration^[47].

Population	Drugs	Target	Patients	Response rate
Refractory MSI-H CRC	Pembrolizumab (42)	PD-1	25	57%
				Sporadic cases:100% (<i>n</i> = 6/6)
				LS cases: 27% (n = 3/11)
	Nivolumab (43)	PD-1	74	31%
				Sporadic cases: 36% (<i>n</i> = 10/36)
				LS cases: 30% (<i>n</i> = $8/27$)
	Nivolumab + ipilimumab (44)	PD-1 + CTLA-4	119	55%
Refractory MSS CRC	Pembrolizumab (42)	PD-1	28	0%
	Nivolumab + ipilimumab (44)	PD-1 + CTLA-4	20	5%
Refractory CRC	Tremelimumab (41)	CTLA-4	49	2%
	Nivolumab (45)	PD-1	19	0%
	BMS-936559 (46)	PD-L1	18	0%
	Atezolizumab + bevacizumab (49)	PD-L1	14	7%
	Atezolizumab + FOLFOX/bevacizumab,	PD-L1	30	40% (total)
	70% first line (49)			48% (first-line)
	Atezolizumab + cobimetinib (53)	PD-L1 MEK	23	17%
				(3 MSS, 1 unknown)

Table 1. Immunotherapy trials in metastatic colorectal cancer

CRC: colorectal cancer; CTLA-4: cytotoxic T lymphocyte associated antigen 4; PD-L1: programmed cell death ligand-1; PD-1: programmed cell death protein 1; MSS: stable microsatellite; MSI-H: high microsatellite instability

Trial	Treatment	Patient population	Endpoints
Keynote-177 NCT02563002	Pembrolizumab monotherapy <i>vs.</i> standard care chemotherapy	MSI-H CRC, 1st line metastatic	PFS
Keynote-164 NCT02460198	Pembrolizumab monotherapy	MSI-H CRC, metastatic refractory (Cohort A) or \geq 1 prior therapy (Cohort B)	ORR
NRG-GI004/S1610 NCT02997228	Atezolizumab <i>vs.</i> atezolizumab + FOLFOX + bevacizumab <i>vs.</i> FOLFOX + bevacizumab	MSI-H CRC, 1st line metastatic	PFS
Alliance A021502 NCT02912559	Atezolizumab + FOLFOX <i>vs.</i> FOLFOX alone	MSI-H CRC, stage III	DFS
NCT02870920	BSC + durvalumab + tremelimumab <i>vs.</i> BSC	MSS, chemorefractory mCRC	OS
NCT02788279	Atezolizumab <i>vs.</i> atezolizumab + cobimetinib <i>vs.</i> regorafenib	Chemorefractory mCRC	OS
NCT02873195 BACCI study	Atezolizumab + capecitabina + bevacizumab	Chemorefractory mCRC	PFS
NCT02948348	Nivolumab + chemoradiotherapy	Locally advanced CRC	Pathological complete response
NCT02888743	Durvalumab + tremelimumab +/- radiation	Chemorefractory mCRC	ORR

Table 2. Ongoing studies in colorectal cancer

CRC: colorectal cancer; MSS: stable microsatellite ; MSI-H: high microsatellite instability; BSC: best supportive care; PFS: progression free survival; OS: overall survival; DFS: disease free survival; ORR: overall response rate

CMS1 tumours are characterized by upregulation of PD-1, PDL-1, CTLA-4 and IDO as described above and so they are the best candidates for immunotherapy.

A major challenge is to render pMMR mCRC (second and third group descripted above) sensitive to immune checkpoint inhibitors. In the pivotal pembrolizumab trial by Le *et al.*^[42], no responses in the MSS CRC pretreated cohort were observed with median overall survival of 5 months. Furthermore in the "combo" experience Checkmate 142 with nivolumab and ipilimumab, only 1 response was observed among 20 patients with pMMR CRC^[44].

CMS4 tumours should be considered "hot tumours" with immunosuppressive signalling ongoing:



Figure 1. Immune subtypes classification. CMS: Consensus molecular subytpe; dMMR: deficit mismatch repair; TILs: tumor-infiltrating lymphocytes; PD1: programmed death protein 1; CTLA4: cytotoxic T-lymphocyte-associated protein 4; IDO1: indoleamine-pyrrole 2,3-dioxygenase; IFN_γ: interferon gamma; CXCR3-CCR5: chemokine receptor type 3-5; CAFs: cancer associated fibroblasts; TGFβ: transforming growth factor beta; CCL2-CXCL12: chemokin ligand 2-12

TGF-B signalling and an angiogenic microenvironment should be targeted to restore a "CMS-1-like" immune microenvironment. Preclinical data suggested a synergic effect of TGF-B and PD-1 inhibition in mouse model of mesenchymal CRC^[48]. The role of chemotherapy alone in CMS4 tumours is ambiguous: chemotherapy has a detrimental effect on the immune system but the cell-necrosis inducted and the subsequent release of neo antigens should be immunogenic, promoting the activity of APC and thus an immune-response. Based on previous clinical data suggesting an adjuvant immune effect of anti-VEGF antibody combined with standard chemotherapy, the combination of FOLFOX, bevacizumab and atezolizumab has been evaluated in a cohort of 23 naïve patients. Almost all patients demonstrated clinical benefit with 11 (48%) achieving partial response and 9 (40%) stable disease: how these results should be interpreted is still unclear but the clinical benefit reached in almost 90% of patients deserves further investigations^[49,50]. Several other clinical trials investigating the combination of chemotherapy, bevacizumab and check-point inhibitors are ongoing.

CMS2 and CMS3 tumours are typically "cold tumours" with downregulation of MHC class I and lack immune cell infiltration^[47]. A lot of strategies are still under evaluation to convert these immune-ignorant tumours into "hot tumours".

In preclinical experiences, MEK and PD-1 co-inhibition showed a synergistic effect in colorectal, melanoma and breast cancer models^[51]. Cobimetinib, a MAPK inhibitor, upregulates IFN-gamma, HLA molecules and PDL-1 expression stimulating CD8+ CTLs activity in the tumour microenvironment^[52].

Based upon these preclinical data, a phase I study that combined MEK and PDL-1 inhibition (cobimetinib and atezolizumab) was designed in which 4 of the 23 patients enrolled achieved partial response(17%): 3 of these 4 patients were pMMR, 1 had an unknown MSI/MMR status^[53]. Actually, a three arms phase III study in which patients with pMMR chemo-refractory CRC are randomized to receive atezolizumab, or a

combination of atezolizumab and cobimetinib or regorafenib has completed the accrual and its results are eagerly awaited.

Another strategy to inflame these "cold cancers" could be enhancing T cell infiltration, typically poor in these tumors. Histone deacetylase (HDAC) inhibitors like romidepsin (preclinically tested for this capacity) have been actually combined with anti-PD1 therapy in a phase I/II trial currently ongoing in CRC^[54].

Another option could be the use of BITEs (Bispecific T cell engager) that bind the CD3 subunit of the T cell receptor and a tumor specific antigen.

Interesting results from preclinical experiences^[55] lead to a phase I trial with CEA-CD3 TCB (RG7802, RO6958688). CEA-CD3 TCB is a novel T-cell bispecific antibody targeting CEA on tumour cells and CD3 on T cells increasing intratumoral T cell infiltration and activation and enhancing the PD-L1/PD-1 pathway^[56].

The phase I trial results, presented at ASCO 2017 by Tabernero *et al.*^[57], suggested antitumor activity in monotherapy and enhanced efficacy in combination with atezolizumab in patients with advanced CEA+ solid cancers with manageable safety profile.

COMBINATIONS WITH RADIOTHERAPY

Radiotherapy determines cell death in targeted lesions inducing local and systemic immune-mediated antitumour effects. In 1953, Mole^[58] proposed the term "abscopal effect" referring to the effects of ionizing radiation at a distance from the irradiated volume but within the same organism. Almost 50 years later, the role of the immune system in this "off target" effect has been settled. RT may affect antitumor immunity by enhancing antigen presentation by upregulation of major histocompatibility complex class I (MHC-1) expression of malignant cells and upregulation of tumor-associated antigens^[59]. The clinical use of immune checkpoint inhibitors has greatly increased the number of abscopally responding patients. In a preclinical trial, Park *et al.*^[60] achieved complete regression of primary tumour and partial response in distant metastases via abscopal responses with combination of radiotherapy and anti-PD1. At ASCO 2016, preliminary results of a phase II trial evaluating the abscopal effects of pembrolizumab after liver radiofrequency ablation or external beam radiotherapy had been presented. Tolerable safety profile and a partial response in non-irradiated lesions over 23 patients treated have been demonstrated^[61]. A phase II trial investigating the efficacy of durvalumab-tremelimumab in combination with radiotherapy in patients with liver limited disease is underway (NCT02888743).

Trials with long-course chemoradiation in combination with PD-1 inhibition in locally advanced rectal cancer are still ongoing and so answers about this approach should be available in the next few years (NCT02948348, NCT03038477).

IMMUNOTHERAPY COMBINATIONS

The clinical activity of epacadostat (IDO-inhibitor) alone appears limited but combination with pembrolizumab in melanoma patients reported ORR of 58%^[62,63]. Actually epacadostat has been investigated in combination with pembrolizumab and azacitidine in refractory MSS CRC.

Also cetuximab, an anti-EGFR antibody actually approved for treatment of pan-RAS wt colorectal cancer, demonstrated a T-cell response and antigen liberation in HNSCC; in mCRC patients treated with cetuximab a relevant intratumoral T-cell infiltrates has been shown^[64]. For these reasons, an ongoing phase I-II trial is examining the role of cetuximab-pembrolizumab combination in mCRC.

OTHER STRATEGIES TO ENHANCE THE IMMUNOTERAPY EFFECT

Other strategies are actually ongoing to enhance the response to immunotherapy^[65].

MGN1703 is a DNA-based Toll-like receptor that acts as an immunomodulator with immune activation in heavily pre-treated patients with mCRC in the phase II IMPACT trial in maintenance setting^[66]. Patients who had completed first line standard chemotherapy + bevacizumab were randomly allocated to lefitolimod or placebo. There was a statistically significant better PFS in the experimental arm from start of induction therapy with the greatest benefit for patients with relatively low tumour burden^[67]. Data on the use of MGN1703 (lefotolimod) as switch maintenance in patients with mCRC responding to first line chemotherapy are awaiting (Impala phase III trial).

In the perioperative liver-limited disease setting, the role of immunotherapy in association of chemotherapy was evaluated for the first time in 1996: a preoperative injection of IL-2 in patients with DUKES D tumours neutralized surgery-induced immunosuppression with improved overall survival due to postoperative mean numbers of T lymphocytes, natural killer cells and activated lymphocytes significantly higher in IL-2-treated patients than in controls^[68]. Results from ongoing trials with check-point inhibitors also in this setting are awaited.

In the CRC prevention setting, the role of immunotherapy alone or in combination with chemotherapy is under evaluation. Vaccination is by far the first approach evaluated. Based on a MUC-1 vaccination clinical trial that enrolled 39 patients and suggested the presence of immunosuppressive mediators in premalignant stages^[69], a multicenter randomized phase II trial for testing the efficacy of this vaccine is actually ongoing (NCT02134925). Therapeutic KRAS mutated vaccines have been tested in preclinical trials for advanced tumors^[70].

Combinations of immune-modulating agents and chemopreventive drugs have been tested in preclinical studies^[71]. The synergic effect of combining a non-steroidal anti-inflammatory drug (NSAID) with an immune checkpoint inhibitor is supported by preclinical data: aspirin induced upregulation of PD-L1 and PD-L2 expression^[72].

In a trial by Zelenay *et al.*^[73], the combination of COX-inhibitor and PD-1 inhibitor, was effective in eradicating BRAF-mutated melanoma neoplastic cells in mice with a significant increase in IFN, CXCL10, IL12 expression as immune-stimulating factors.

There are a lot of exogenous and endogenous factors (collectively called exposome) which are able to influence the development of CRC. However, for a comprehensive evaluation of tumor immunity, both the neoplastic cells and the immune system need to be deeply analyzed. Immune cells analyses in the tumor microenvironment have not been integrated into experimental immunological studies. In this regard, molecular pathological epidemiology (MPE) offers the opportunity to a multilevel research using bioinformatics and omics technologies to integrate immunology into population health sciences, providing a deeper understanding of the interaction between tumor, exposome and immune system and offering new insights for the development of intervention strategies, thus moving towards the era of precision medicine^[74]. For example, the relation between microbiota and efficacy of chemotherapy and immunotherapy has been extensively evaluated in experimental studies across various malignancies^[75-78]. Analysis of microbiota can be easily conducted by using oral swab or stool and integrated into immunology-MPE research^[79].

Routy *et al.*^[80] observed the negative impact of antibiotics assumption during immunotherapy in terms of ORR, PFS, OS in patients with NSCLC, renal cancer, urothelial cancer. To confirm the hypothesis

Molecular subtypes	Transcriptomic pathways	Potential treatment strategies	Stroma-immune microenvironment	Strategies for immunotherapy
CMS1 (14%)	Immune activation JAK-STAT activation	(1) Immune checkpoint inhibition (2) Anti PD-1 + anti CTLA-4/anti-IDO	Highly immunogenic	Immune checkpoint inhibition (anti PD1/ PDL-1/ anti-CTLA-4/anti-IDO)
CMS2 (37%)	WNT targets MYC activation EGFR activation VEGF or VEGFR activation Integrins activation TGFβ activation	 (1) Pan-RAS + BRAF + PI3K wt: polichemotherapy + anti-EGFR (2) BRAF mutated: BRAF inhibitor + anti-EGFR + MEK- inhibitor 	Poorly immunogenic	 Combined EGFR pathway inhibition and immune checkpoint inhibition Combined HDAC inhibitors and immune checkpoint inhibition Immuno-chemotherapy
CMS3 (13%)	DNA damage repair Glutaminolysis Lipidogenesis Cell cycle	 (3) HER-2 amplified: anti-HER2 + anti-EGFR (4) KRAS or NRAS mutated: polichemotherapy + anti-VEGF 	Poorly immunogenic	 (1) Combined MEK-inhibitor and immune checkpoint inhibition (2) Combined HDAC inhibitors and immune checkpoint inhibition (3) Immuno-chemotherapy
CMS4 (23%)	Mesenchymal transition Complement activation Immunosuppression	(1) Polichemotherapy + anti-VEGF (2) Chemotherapy + anti-TGFR	Inflamed (immune tollerant)	 Combined TGF pathway inhibition and immune checkpoint inhibition Combined anti-VEGF and immune checkpoint inhibitors Anti-T-reg and/or anti-MDSCs treatment

Table 3. Transcrig	otomic pathways env	olved and potential	treatment strategies for	each molecular subtypes
			0	

CMS: consensus molecular subtypes; EGFR: epidermal growth factor receptor; JAK: Janus kinase; STAT: signal transducer and activator of transcription; TGF β : transforming growth factor- β ; VEGF: vascular endothelial growth factor; VEGFR: VEGF receptor; PD1: programmed death protein 1; CTLA4: cytotoxic T-lymphocyte-associated protein 4; IDO1: indoleamine-pyrrole 2,3-dioxygenase; HDAC: histone deacetylase; MEK: mitogen-activated protein kinase (MAPK) kinase; MDSCs: myeloid derived suppressor cells

that dysbiosis might affect the therapeutic efficacy of immune check-point inhibitors, they explored the composition of gut microbioma of these patients and observed that *Akkermansia muciniphila* was overrepresented in the faeces of patients who later benefited from PD-1 inhibition.

Furthermore, they observed improving CPIs efficacy and increasing CCR9+CXCR3+CD4+ TILs levels when they transplanted faecal microbiota from cancer patients who responded to immunotherapy into antibiotic-free mice^[80].

MOLECULAR DRIVEN THERAPEUTIC HYPOTESIS

With the CMS classification system, approximately 85% of colorectal cancers could be molecularly classified. The evolution of precision medicine should be based on association of molecular information (mutations, methylation status, gene regulation), biological and clinical characteristics of the tumour [Table 3].

Early-stage patients with CMS1 tumours and in particular MSI tumours (most CMS1 cancers) have good prognosis with low recurrence rate. No adjuvant therapy should be considered for stage II tumours, while for stage III MSI-H CRC it is plausible that the addition of oxaliplatin could overcome the potential detrimental effect of fluoropyirimide monotherapy^[81]. For these subgroups of patients with MSI, hypermutated, hypermethylated cancers characterized by strong infiltration of immune cells, the usefulness of immune check-point inhibitors as the main treatment of advanced disease should be considered. Recently Shin *et al.*^[82] identified acquired mutations in 4 patients treated with pembrolizumab with previous clinical benefit: these mutations caused mistakes in antigen presentation and immune escape of cancer cells. New efforts should

be done in investigating mechanisms of innate or acquired resistance to immunotherapy in these subgroups of tumours.

CMS2 cancers have low mutation rate compared to CMS1 and in most cases no mutations of BRAF and RAS are detected. Typically MYC and WNT pathways are activated so multiple efforts to interact with these signal transduction cascades should be considered^[6]. For patients with KRAS, NRAS, BRAF and PIK3CA wild-type tumours (almost 30% of all cases), anti-EGFR antibody in combination with chemotherapy should remain the standard of care though retrospective analysis from the main phase III trials suggested differential benefit of anti-EGFR treatment according to primary tumour sidedness^[83,84]. Anti-EGFR benefit seems to be restricted to patients with distal primary tumor with overexpression of EGFR ligands and amplifications of EGFR and IRS2^[85].

CMS3 cancers are characterized by KRAS mutations (almost 68%) and enriched for multiple metabolism signatures including glutamine, fatty acid and lysophospholipid metabolism^[6]. Most CMS3 cancers don't have an easily identifiable therapeutic target but trials ongoing are evaluating the potential inhibition of these metabolic processes with glycolysis inhibitors such as inhibitors of glycogen synthase kinase or inhibitors of pyruvate dehydrogenase kinases. For tumours with HER-2 overexpression (3%-5% of this group), anti-HER2 and pan-ERBB TKI combination should overcome primary resistance to anti-EGFR treatment. Furthermore, in KRAS mutated cell lines, preclinical trials suggested that combination of pan-RAF and MEK inhibitors may be considered^[47].

As mentioned above, CMS2 and CMS3 tumours are so called "cold" or immune-ignorant tumours. Multiple clinical trials are evaluating combinations of chemo-immunotherapy and targeted agents and immunotherapy (anti MEK + anti PDL-1, CEA-CD3 TCB + anti PDL-1, anti-EGFR + anti-PD1) after negative results of previous trials with anti-PD1 and anti-PDL1 as monotherapy. Some interesting suggestions with COX-inhibitors, HDAC inhibitors should be confirmed by future phase III trials.

For CMS4 group, the identification of actionable targets is of major interest considering the worse relapsefree and overall survival. These tumours, characterized by mesenchymal stem-cell features, seems to have no benefit from standard adjuvant therapy with 5-FU and oxaliplatin because of EMT activation^[86].

For BRAF mutated tumours, target combination therapies with BRAF-inhibitors and MEK-inhibitors have shown lower clinical benefit than in melanoma^[87]. In a recent clinical experience by Kopetz *et al.*^[88], the addition of anti-EGFR to the previous combination seems to be more effective.

Combinations of TGFR inhibitors and chemotherapy are under evaluation in ongoing clinical trials for tumours with "TGF-B activated" signature. The pro-cancerogenic effect of TGF-B develops through a direct effect on cancer cells but also on immune cells with inhibition of CTLs and NK cells associated with an expansion of Treg cells and MDSCs. In preclinical trials, combination of TGFR-B inhibitor with an OX40 agonistic mAb or with anti-PD1, showed a potential synergistic effect with high tumour-specific IFNγ esponse^[89].

Another possible target could be angiogenesis: the subgroup analysis of CALGB 80404 trial confirmed clinical benefit with bevacizumab especially in CMS4 group^[40]. Furthermore retrospective analysis from Correct trial with Regorafenib (multiple TKIs that targets VEGFR1-2-3) highlighted CMS4 group as the one who benefits the most from this treatment^[90].

The efficacy of check-point inhibitors in this subgroup of patients is limited by the intense immunosuppressive response in the tumour microenvironment.

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Alternative approaches to enhance the immunotherapy efficacy in this subgroup of patients that are under evaluation, include blockade of immunosuppressive chemokine signalling circuits and pathways or elimination of MDSCs as observed in other neoplastic setting with immunosuppressive microenvironment^[90,91].

CONCLUSIONS AND FUTURE PERSPECTIVES

The development of immunotherapeutic agents has opened the way to a new era in the treatment of many solid tumors, such as renal cell carcinoma, melanoma, bladder or non-small cell lung cancer. However, despite tangible improvements in the prognosis of these malignancies, in most cases acquired resistance finally develops and leads to significant clinical progression and death. Therefore, researchers have focused primarily on the identification of the molecular bases that underlie the development of resistance in patients treated with immunotherapy. Immune checkpoint inhibitors, such as anti-PD1 and anti-PD-L1, are the most utilized among immunotherapeutic agents in the treatment of a broad spectrum of malignancies. In the near future, uncovering the molecular mechanisms responsible for primary and acquired resistance to these agents will certainly be of paramount importance. Firstly, it might allow a more accurate selection of patients who are less suitable candidate to receive immunotherapy, thus leading to a more rational allocation of the economic resources. As of today, basic research has focused primarily on PD-L1 expression as a potential predictive biomarker of response to anti-PD1 and anti-PD-L1, but, in most of the cases, it is far away from being defined a reliable biomarker. Secondly, by identifying the mechanisms underlying acquired resistance, it might be possible to develop potential strategies to overcome them.

In the era of precision medicine, the recent consensus on molecular classification of CRC has paved the way to a more personalized approach in the treatment of this disease, especially in the metastatic setting. In particular, it is now established that patients with CMS1 subtypes CRC (mainly MSI-H) are the best candidate for immunotherapy, with clinical trials demonstrating unprecedented results that lead to regulatory approval of pembrolizumab and nivolumab. Nevertheless, some clinical challenges need to be addressed in the near future in the treatment of MSI-H CRC. Firstly, as mentioned above, we need to understand why some patients are primarily resistant to these drugs and the molecular mechanisms of the development of secondary resistance. Secondly, it might be crucial to explore the role of immunotherapy in other settings, such as in the prevention of CRC, in the conversion therapy of potentially resectable liver metastases, in the adjuvant treatment of early-stage disease or in the neoadjuvant treatment of locally advanced rectal cancer.

However, MSI represents unfortunately an hallmark of a small fraction of patients with metastatic CRC. Therefore, one of the major challenges that researchers need to face in the next few years is to define strategies to convert immune-ignorant tumors (like CMS-2 and CMS-3 subgroups) into inflamed ones and to restore a "CMS-1 like" immune microenvironment in CMS-4 tumors. Many clinical trials are ongoing with new combination therapies. The results of these trials will hopefully help clinicians to abandon the therapeutic paradigm of 'one size fits all' and allow a more selective biomarkers-driven approach.

Therefore, now that immunotherapy revolution has begun with a "new kid on the block" in the therapeutic armamentarium of patients with CRC, enrollment in these clinical trials is largely encouraged.

DECLARATIONS

Authors' contributions

Conceived and designed the manuscript: Spallanzani A, Gelsomino F, Caputo F, Santini C Analysed and interpreted the data, drafted the article, revised it critically for important intellectual content and finally approved the version to be submitted: all authors

Availability of data and materials

Not applicable.

Financial support and sponsorship

None.

Conflicts of interest

All authors declare that there are no conflicts of interest.

Ethical approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

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