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Machine learning enhanced characterization and optimization of photonic cured MAPbl₃ for efficient perovskite solar cells

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Abstract

Photonic curing (PC) can facilitate high-speed perovskite solar cell (PSC) manufacturing because it uses high-intensity light pulses to crystallize perovskite films in milliseconds. However, optimizing PC conditions is challenging due to its many variables, and using power conversion efficiency (PCE) as the optimization metric is both time-consuming and labor-intensive. This work presents a machine learning (ML) approach to optimize PC conditions for fabricating methylammonium lead iodide (MAPbl₂) films by quantitatively comparing their ultraviolet-visible (UV-vis) absorbance spectra to thermal annealed (TA) films using four similarity metrics. We perform Bayesian optimization coupled with Gaussian process regression (BO-GP) to minimize the similarity metrics. Refining PC conditions using active learning based on BO-GP models, we achieve a PC MAPbl₃ film with an absorbance spectrum closely matching a TA reference film, which is further verified by its crystalline and morphological properties. Thus, we demonstrate that the UV-vis absorption spectrum can accurately proxy film quality. Additionally, we use an AI-based segmentation model for a more efficient grain size analysis. However, when we use the optimized PC condition to fabricate PSCs, we find that interaction between MAPbl₃ and the hole transport layer (HTL) during PC critically degrades the PSC performance. By adding a buffer layer between the HTL and MAPbl_a, the optimized PC PSCs produce a champion PCE of 11.8%, comparable to the TA reference of 11.7%. Using UV-vis similarity metrics instead of device PCE as the objective in our BO-GP method accelerates the optimization of PC processing conditions for MAPbl₃ films.

Keywords: Perovskite solar cells, Bayesian optimization, photonic curing, image segmentation, machine learning



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INTRODUCTION

In recent years, the efficiency of perovskite solar cells (PSCs) has improved remarkably, with power conversion efficiencies (PCEs) reaching up to 26.7%^[1]. Of the primary benefits for perovskites are the low cost and outstanding optoelectronic properties of solution-processed films, making PSCs an attractive addition to next-generation photovoltaic technologies^[2]. Perovskite active layers often require a thermal annealing (TA) step to convert a deposited precursor film into a fully crystalline film that has high absorbance and large grains, and thus long diffusion length and high mobility^[3,4]. However, this process typically takes tens of minutes of annealing at 100 to 150 °C and is a bottleneck for large-scale PSC manufacturing^[5]. Previous works on photonic curing (PC), utilizing a xenon flash lamp to deliver intense broadband light to the film, reduced the annealing time of perovskite films to ~20 ms^[6-8]. Therefore, this technique can be a candidate to replace TA in the scale-up manufacturing of PSCs.

All previous works on using PC for crystallizing perovskite films vary only by the amount of time over which light illuminates the sample, the pulse length (ms), and the energy the light delivers to the sample in one pulse, the radiant energy (J/cm²)^[6,7,9-12]. In this work, we use a more sophisticated pulse that includes micro-pulses (µpulse), which split a single pulse into several smaller sub-pulses with a specified duty cycle. Using these additional features allows us to shape the temperature profile of the thin film, ultimately gaining more control over how the film crystallizes. The addition of these two variables requires optimization of a four-dimensional input space. In the case of problems with only two variables, a typical varying one-variable-at-a-time approach is often sufficient to properly parameterize the space. However, this method often fails in higher dimensional input spaces, where the interdependence of the variables requires an impractically large number of test conditions to confidently reach any conclusion. Xu et al. showed success in using Bayesian optimization coupled with Gaussian process regression (BO-GP) as an effective tool to optimize the PC of a different methylammonium lead iodide (MAPbI₃) recipe using the device PCE as the objective for optimization^[12]. While PCE is the ultimate goal of the MAPbI₃ PC optimization process, making and testing a set of PSCs can take as long as two days to complete. When coupled with the fact that each sample needs to be produced numerous times to check for reproducibility, relying on PCE as the objective function in BO is labor-intensive and time-consuming, presenting a bottleneck in processing optimization.

Various studies have applied machine learning (ML) modeling along with high-throughput material, optical, and electronic characteristics to optimize perovskite materials^[13-15], e.g., finding optimal triple-cation perovskite composition using photoluminescence^[16] and employing machine vision and optical imaging of perovskite films to predict film quality and estimate short-circuit current density^[17]. In this work, we perform BO to optimize PC conditions to crystallize MAPbI₃ by measuring their ultraviolet-visible (UV-vis) absorbance spectra, which are used as a proxy for good PSCs. We quantitatively compare the UV-vis absorbance is chosen because it is a fast material characterization method, in addition to providing crucial information about MAPbI₃ thin film properties. Beyond light absorption, shifts and changes in the shape of the absorbance curve can indicate grain size and uniformity (including the presence of pinholes, defects, and intermediaries)^[18], film thickness^[19], and crystallinity^[20], which all play key roles in determining the PCEs of MAPbI₃ PSCs.

Furthermore, we employ a ML method to improve grain size determination. The usual ASTM E112-13 line intercept method of grain size determination^[21] is time-consuming and based on a limited amount of data from the image. By implementing an AI image segmentation model, we use data from the entire image and

quickly return average crystal grain sizes that agree with the results for the standard method.

Finally, we fabricate p-*i*-n PSCs using the optimized PC MAPbI₃ condition with a NiO_x hole transport layer (HTL) at the bottom. Unexpectedly, our optimized PC PSCs exhibit significantly lower PCEs than TA devices despite their similar UV-vis absorbance. Based on the film temperature simulation using Simpulse^{*}, we hypothesize that this is caused by an elevated MAPbI₃ film temperature during PC, resulting in previously known interfacial reaction between NiO_x HTL and the MAPbI₃ active layer^[22,23]. We find that the use of a buffer layer on top of the NiO_x alleviates this reaction, significantly improving the PCE for PSCs made with PC MAPbI₃.

MATERIALS AND METHODS

Materials

Patterned and unpatterned indium tin oxide (ITO) substrates (10 ohm/sq) were purchased from Kintec. Lead iodide (PbI_2) was purchased from TCI America and methylammonium iodide (MAI) was purchased from GreatCell Solar. All other chemicals were purchased from Sigma-Aldrich or Fisher. Chemicals were used as received unless otherwise specified.

Perovskite film preparation for training dataset

Samples for the training dataset were prepared on unpatterned ITO substrates. The MAPbI₃ precursor was prepared using established procedures^[24,25]. Briefly, equal molar PbI₂ and MAI were dissolved in 2-methoxyethanol (2-MOE) to make a 0.8 M solution with 40 mole % of *N*-methyl-2-pyrrolidone (NMP). The MAPbI₃ precursor was deposited onto spinning ITO substrates at 5,000 rpm for 15 s in a N₂-filled glovebox. For thermal annealed (TA) samples, the MAPbI₃ precursor films were immediately annealed at 100 °C for 10 min inside the glovebox, while the PC samples were transferred to a Pulse Forge Invent PC tool and pulsed in ambient air with conditions given in Supplementary Table 1.

PSC fabrication

We used patterned ITO substrates to make *p-i-n* PSCs. The substrates were cleaned sequentially with soapy water, deionized (DI) water, acetone, and isopropanol, followed by a 20-min UV-ozone treatment. The NiO_x precursor was prepared according to the following instructions. First, 0.1 M nickel nitrate hexahydrate and acetylacetone in 2-MOE were stirred overnight, and the solution was filtered through a 0.2 μ m polytetrafluoroethylene (PTFE) filter immediately before usage. 60 μ L of NiO precursor was spin-coated at 3,000 rpm for 30 s onto each sample followed by drying at 60 °C for 3 min. The temperature was increased to 150 °C and held for 5 min before increasing to 250 °C for calcination for a further 30 min. The hot plate was then turned off and the samples were allowed to cool for 20 min.

The samples were then either transferred to a glovebox for MAPbI₃ precursor deposition or had a PbI₂ or a [2-(3,6-Dimethoxy-9*H*-carbazol-9-yl)ethyl]phosphonic Acid (MeO-2PACz) buffer layer added. A PbI₂ buffer layer was applied by spin coating 0.1 M PbI₂ in dimethylformamide (DMF) at 3,000 rpm for 50 s in an N₂-purged glovebox before annealing at 100 °C for 15 min^[26]. The samples were then taken out of the glovebox and rinsed with an additional 1 mL of DMF to remove unbound residual PbI₂ before being dried and returned to the glovebox. A MeO-2PACz buffer layer was made by spin coating a 0.5 mg/mL solution of MeO-2PACz in ethanol at 3,000 rpm for 30 s in ambient air before transferring to an N₂ glovebox for TA at 100 °C for 10 min^[27].

All samples were then spin-coated with the MAPbI₃ precursor as described in the previous sub-section. Subsequently, the electron transport layer (ETL) was deposited by spin coating 20 mg/mL

phenyl- C_{61} -butyric-acid methyl ester (P C_{61} BM) in chlorobenzene at 1,200 rpm for 60 s followed by spincoating 60 µL of a 0.5 mg/mL bathocuproine (BCP) solution in ethanol at 4,000 rpm for 30 s. The top electrodes were deposited by thermal evaporation of 100 nm of Al followed by 50 nm of Ag. The diode area is 0.11 cm².

Materials characterization

Device current density - voltage (*J*-*V*) measurements were taken using a 2635A Keithly source meter under an AM 1.5G 100 mW/cm² illumination from an AAA solar simulator (Abet). Using a 0.0491 cm² aperture, device forward scans were measured using a voltage sweep of -0.2 to 1.2 V with reverse scans sweeping from 1.2 to -0.2 V at 70 mV/s. The following material characterization techniques were taken on TA/PC MAPbI₃ film samples on unpatterned ITO substrates. X-ray diffraction (XRD) patterns for each condition were measured using a Rigaku Mini Flex diffractometer at a scan speed of (3°/min) with Cu K*a* radiation ($\lambda =$ 1.518 Å). Scanning electron microscope (SEM) images of MAPbI₃ films were taken using a Zeiss Supra 40 SEM at an acceleration voltage of 5 kV in a 7:3 InlenseDuo:SE2 mode. Atomic force microscopy (AFM) was performed on at least three 5 × 5 µm² areas using an Asylum Research MFP-3D system. MAPbI₃ film thickness was measured using a Keyence optical profilometer (VK-X3100) in a laser confocal mode. Absorbance data for all TA/PC MAPbI₃ films was measured using an Ocean Optics USB 4000 spectrometer.

PC on MAPbl₃ and temperature simulation

PC of MAPbI₃ thin films was performed using a 500 V / 3 A PulseForge Invent system with a single lamp driver. Prior to pulsing a sample, the radiant energy for each PC condition was verified using a National Institute of Standards and Technology (NIST)-traceable bolometer. Samples were pulsed with the appropriate PC condition within 30 s after spin-coating. The pulsing procedure would involve securing the sample face-up onto the PulseForge Invent platform with two magnetic strips. Successful observation of crystallization is indicated by a color change from light brown to dark brown with a shiny appearance, similar to fully converted TA MAPbI₃ films, immediately after PC.

Simulations of temperature *vs.* time for all PC samples were made using the built-in software SimPulse^{*}. Simulated temperatures for each condition were taken on a material stack consisting of (from top down) MAPbI₃ (270 nm), ITO (155 nm), and soda-lime glass (1.1 mm). MAPbI₃ film thickness was nominally the same for all TA/PC annealing conditions [Table 1]. ITO and glass thickness were verified via specifications provided by the manufacturer. The thermal and optical properties for temperature simulations of ITO and glass were built into the SimPulse^{*} database. All simulated material properties are available in Supplementary Table 2.

ML method

Initial sampling

Initial PC conditions were chosen using a quasi-random Latin Hypercube Sampling (LHS) for the four PC parameters on the PulseForge Invent tool in " μ pulse" mode. The four input parameters (range, increment) correspond to the pulse length (10-50 ms, in steps of 0.1 ms), radiant energy (3.0-13.5 J/cm², in steps of 0.1 J/cm²), number of μ pulses (2-30, in steps of 1), and the duty cycle (20%-70%, in steps of 5%). The ranges were determined by the limit of the instruments or the desired outcome. For example, radiant energy above 13.5 J/cm² completely ablates the MAPbI₃ films. The ranges and increments for the four inputs result in over 13 million combinations, which is impossible to investigate with traditional methods. A set of 20 initial LHS conditions was selected from these combinations in a space-filling method to survey the outcomes for the defined input space [Supplementary Table 1].

Annealing condition	Fréchet distance similarity	Normalized (110) MAPbl ₃ peak intensity	σ _{rms} (nm)	Average grain size (nm)	MAPbl ₃ film thickness (nm)	Peak interface temperature (°C)
ТА	1.02E-02	1.0	14 ± 1	161 ± 61	270 ± 10	100
PC 03 (6.80 J/cm ²)	2.84E-02	0.67	22±1	151±52	270 ± 7	334
PC 25 (11.5 J/cm ²)	1.32E-02	0.85	12 ± 1	223 ± 84	263±5	464
PC 04 (12.2 J/cm ²)	6.35E-02	0.94	15 ± 0	313 ± 121	280 ± 7	509

Table 1. A summary of material properties for MAPbI₃ thin films produced by TA and the three PC conditions

MAPbl₃: Methylammonium lead iodide; TA: Thermal annealed; PC: Photonic curing.

Similarity metric calculations

Quantitative comparisons between samples made by PC and TA are evaluated using four similarity metrics: two versions of the Procrustes distance, Fréchet distance, and root mean square distance (RMSD). All similarity metrics were calculated using prebuilt or user-generated MATLAB functions. Procrustes distance seeks to measure the dissimilarity between two curves represented by the same number of points by performing a rotation, translation, and scaling factor to minimize the sum of squares distance^[28]. Curves that only differ by rotation, translation, or scale factor will have a Procrustes distance of zero. Procrustes distance was calculated using the built-in MATLAB function "procrustes"^[29]. To emphasize the shape of the absorbance curve due to translational shift, which reflects scattering or band gap change, we modified the MATLAB function "procrustes" to deactivate rotation and scaling. This is referred to as the modified Procrustes similarity metric. The discrete Fréchet distance was used to compare two curves with the same number of points by searching for the minimal "maximum" pairwise distance between the two curves^[30]. The discrete Fréchet distance, as calculated for this study, is a function that returns the maximum Euclidean distance between two discretely defined curves with the same endpoints^[31]. RMSD was used as the final metric to serve as a baseline by simply measuring the average magnitude of the difference between corresponding points and returning the results as a single number. While cosine similarity is a common method for comparing curves, it produced similar values for all curves and was not able to provide useful information. The GP model we developed was designed for maximization, and because our distance metrics sought to minimize, we inverted the values to properly train the model. To invert and scale each metric, we took the absolute value of its logarithm. Additional information about scaling is available in Supplementary Materials. All scripts and functions associated with this study will be available in the GitHub repository (See Data Availability).

Active learning based on BO-GP models

In the interest of not biasing ourselves with a single metric, we trained four models on all four metrics described above. The models were built in MATLAB using the "fitrgp" function with all the associated information about functions and model parameters available in Supplementary Materials. The model was trained using the Matern 5/2 kernel function with automatic relevance determination (ARD) enabled. ARD allowed for independent tuning of characteristic length scales and scale factors for each input dimension. While the GP model can update the kernel hyperparameters as it learns from the dataset^[12,32], this method did not work well for our data. When hyperparameters were allowed to be automatically tuned, a severely underfit model resulted. Therefore, we fixed the kernel hyperparameters by analyzing the variation amplitude and spacing of data for the four input variables.

A detailed explanation of how the kernel hyperparameters were chosen for each input variable is available in the Supplementary Materials. Feature importance for the four independently tunable PC variables can be

inferred by comparing their characteristic length scales. A smaller length scale indicates that the model is more sensitive to changes in that feature, meaning that small variations in the feature value lead to significant changes in the model's output. Conversely, a larger length scale suggests that the feature varies more slowly and has a less pronounced impact on the model's predictions. Supplementary Table 3 shows that the length scale hyperparameters of pulse length and radiant energy are ~2 to 3× shorter than those of the number of µpulses and duty cycle, indicating that pulse length and radiant energy are more important.

The noise variance for each GP model was calculated as the standard deviation for each similarity metric from the LHS PC condition of which most samples were produced. We consider this value to be the uncertainty in making reproducible PC MAPbI₃ films. Noise variance values were held constant during active learning iterations as the measurement uncertainty was not expected to change with the addition of new samples to the dataset. The acquisition function for the models was the upper confidence bound (UCB). Following the literature^[12,33,34], a UCB exploration hyperparameter of b = 1 was used to maintain a balance between exploration and exploitation when picking the next condition. Each similarity metric model would suggest a new condition to try; thus, in each BO iteration, we have a total of four new conditions, one from each GP model. The search for optimized PC conditions is declared successful when a PC condition produces similarity metric values comparable to the values for two TA MAPbI₃ films (~10⁻¹⁰ Procrustes distances, < 2.0 × 10⁻² Fréchet distance, and < 2.0 × 10⁻¹ RMSD); i.e., the similarity is within the experimental uncertainty.

Grain size determination

In literature, the ASTM E112-13 line intercept method^[21] is the standard for determining average grain size for crystalline samples. However, the ASTM method is a cumbersome process that often requires manually placing several random line segments onto an image and counting the number of grain boundaries that are crossed. The average for a single image can then be calculated after tabulating the total length of the line segments and the total number of boundaries crossed. The drawbacks of this method to analyze multiple images include its time-consuming and tedious nature, the use of only limited data, and possible bias from the researchers in choosing the lines. In this study, we propose an alternative approach whereby a set of images of the same size and magnification can be analyzed in minutes. Using an artificial intelligence (AI) segmentation model derived from Facebook's open-source Segment Anything Model^[15] in a Google Collaborate environment, we can generate masks that correspond to the location of crystalline grains within an SEM image. We can then extract the size of the grains and quickly display the information within our script. The results from the AI segmentation model are compared to those from the ASTM E112-13 method. Our AI segmentation method is much faster in processing multiple SEM images and uses all data in the image.

RESULTS AND DISCUSSION

UV-vis spectra

Supplementary Table 1 displays the input variables of the PC conditions and the four similarity metrics when compared to the TA sample made at the same time. All PC conditions mentioned in the rest of this study will be referenced as PC ## where "##" represents the number of the PC condition as labeled in Supplementary Table 1. The first 20 rows (PC 00 to PC 19) constitute the LHS conditions used as the training dataset for the initial GPR models. Of note from the LHS conditions was PC 07. Condition PC 07 (13.2 J/cm²) was a particularly high radiant energy pulse that ablated the MAPbI₃ from the substrate upon exposure and suggested an upper limit to the allowable radiant energy delivered to the film.

The first round of GP-generated conditions consists of PC 20 to PC 23 in Supplementary Table 2 and corresponds to a single new condition picked according to each of the four GP models. The four new conditions did not add any significant information about the dataset with none of the conditions producing a film with a new champion distance among any of the metrics. The models were rerun with the addition of these new data points, and the second round of GP-generated conditions (PC 24 to PC 26) were picked as the next most promising optimization conditions for the models trained on the first three similarity metrics (excluding RMSD due to overfitting). Condition PC 25, suggested by the Fréchet distance model, showed a particularly strong similarity to the TA reference. It was the only condition to achieve Procrustes distance values of 10⁻¹⁰ and one of only two conditions to yield Fréchet and RMS distances < 2.0 × 10⁻². UV-vis spectra of MAPbI₃ made using PC 03 (6.8 J/cm²), PC 04 (12.2 J/cm²), and PC 25 (11.5 J/cm²) are shown in Figure 1. PC 03, PC 25, and PC 04 are chosen to represent PC MAPbI₃ films produced with low, optimal, and high radiant energy conditions. PC 03, a lower-energy condition, shows a clear UV-vis shift, although its Fréchet distance is small [Table 1]. In contrast, PC 04, a higher-energy condition, may appear not too different from the TA reference at first glance but has a poor Fréchet distance.

The low similarity metrics of PC 25 suggest that we have found an optimized PC condition that can produce MAPbI₃ films with the same UV-vis absorption spectrum as the TA sample. To verify the conversion, we created a final round of conditions (PC 27 to PC 30) that were all picked from the model trained on Fréchet distances. None of the new four conditions produced similarity metrics better than PC 25, and we concluded that the model was properly trained. Figure 2A corresponds to the final heat maps of the expected maximum values of the inverted scaled Fréchet distance in each pair of input parameters of all available PC conditions with the color-coded points corresponding to each round of LHS and GP-generated PC conditions with the best condition, PC 25, marked with a "red star". The calculation of inverted scaled values of the Fréchet distance is detailed in the Supplementary Materials. Figure 2A clearly shows that the model has converged to an "optimal area" within the input parameter space. This area generally corresponds to a condition with a medium pulse length (> 20 ms), relatively higher radiant energy $(9-12 \text{ J/cm}^2)$, a large number of µpulses (> 20), and a mid-ranged duty cycle (40%-60%). The fact that the model is neither overfitted nor underfitted in Figure 2A strengthens our confidence that the correct hyperparameters were selected. The associated parity plot for measured vs. predicted outputs for the Fréchet distance model is shown in Figure 2B. A slope of near unity and a small y-intercept suggest that the surrogate model accurately represents the experimental data. The heat maps and parity plots for models trained on the other three metrics are shown in Supplementary Figures 1 and 2, respectively.

Material properties for photonic cured MAPbl₃

To evaluate the quality of the MAPbI₃ produced via PC, PC MAPbI₃ films for the three conditions PC 03, PC 25, and PC 04 representing films produced with low, optimal, and high radiant energies were examined. We first performed XRD on all MAPbI₃ films and a precursor film [Supplementary Figure 3]. For all annealed samples, tetragonal MAPbI₃ perovskite is the dominant crystalline feature with strong intensities displayed for the (110) and (220) major reflections at 14.2° and 28.4°, respectively. The TA sample and the two higher radiant energy PC samples show a small PbI₂ (001) peak at ~12.7°. The lower radiant energy condition (PC 03) and the precursor film both share a small peak around 8.2°, consistent with an intermediate MAPbI₃ phase for 2-MOE-based, NMP-assisted MAPbI₃^[24]. Previous works on PC of DMF/ dimethyl sulfoxide (DMSO)-based MAPbI₃ using radiant energy similar to PC 03 also contained residual DMSO adducts^[11]. The peak intensity for the (110) MAPbI₃ crystallographic reflection normalized to the TA sample served as the benchmark for the crystallinity of PC samples. Table 1 shows that the crystallinity of PC samples increases with increasing radiant energy.



Figure 1. UV-vis absorbance curves for TA reference and three PC ITO/MAPbl₃ samples showing the discrepancy of the curves for different PC conditions. UV-vis: Ultraviolet-visible; PC: Photonic curing; ITO: Indium tin oxide; MAPbl₃: Methylammonium lead iodide.

We measured surface roughness (σ_{RMS}) using AFM images shown in Supplementary Figure 4 with the results available in Table 1. The roughness of the PC 25 MAPbI₃ was 12 nm, the lowest of the three PC conditions. Condition PC 03 displays the highest surface roughness. We postulate that this is caused by poor crystal grain planarization due to the sample not being exposed to enough heat for long enough during crystallization. Other work has shown that with perovskite thin films, higher annealing temperatures lead to increased grain size, which in turn reduces the surface roughness^[36]. The high radiant energy sample, PC 04, also shows a higher surface roughness compared to PC 25 due to a larger number of small PbI₂ crystals on the surface of the film, as evident in the SEM images in Supplementary Figure 5. Rough MAPbI₃ active layers have been attributed to reduced adhesion and worse coverage of subsequent ETLs/HTLs^[37], and an increased number of surface defects^[38].

The morphology of MAPbI₃ films is compared in Supplementary Figure 5. The SEM images for two higher radiant energy conditions, PC 25 and PC 04 [Supplementary Figure 5C and D], show small light-colored crystals, most likely PbI₂, decorating the MAPbI₃ grain boundaries, consistent with XRD. Previous work on PC MAPbI₃ films made from a DMF/DMSO-based recipe also reported PbI₂ crystals when processed with high radiant energy^[7]. The SEM images of TA MAPbI₃ films in Supplementary Figure 5A show no obvious signs of PbI₂ on the surface, even though XRD for TA MAPbI₃ indicates its presence in the bulk, suggesting that most PbI₂ could be located there. Another possible explanation for the observed discrepancy between XRD and SEM for the TA sample could be a larger beam spot of XRD, which measures a broader area, whereas SEM analyzes smaller regions of the sample. Furthermore, films produced with PC 25 and PC 04 where the PbI₂ is present on the surface have a weaker PbI₂ (001) reflection compared to the TA reference, suggesting that PbI₂ segregation is kinetically limited, as previously reported^[7]. The MAPbI₃ film produced with condition PC 03 shows a rougher crystal morphology [Supplementary Figure 5B] consistent with the surface roughness results measured by AFM.

SEM grain size analysis using AI segmentation

In this section, we demonstrate the power of using AI segmentation to better quantify the grain size in an SEM image. Starting with an unedited SEM image [Figure 3A], we compare the conventional process using the standard ASTM E112-13 line intercept method [Figure 3B] and the new AI segmentation method



Figure 2. (A) Heat maps for the BO-GP model using inverted scaled Fréchet distance as the output metric projected on each pair of input variables; (B) The parity plot comparing the predicted inverted scaled Fréchet distances vs. the experimentally determined inverted scaled Fréchet distance for all PC conditions. BO-GP: Bayesian optimization coupled with Gaussian process regression; PC: Photonic curing.

[Figure 3C]. As previously mentioned, the manual ASTM method typically takes tens of minutes to hours to quantify the average grain size for multiple images. Using the AI segmentation method, we can quickly run each image through a script that generates masks for each grain in the image [Figure 3C]. The script then tabulates the size of each grain and outputs the average and standard deviation for each image and for the whole set of images. Our method of grain size determination is fast (~3 min for five images). Furthermore, we can compare the amount of data used between the two methods by dividing the sum of the lengths, in pixels, of the eight random lines used in the ASTM method in Figure 3B by the pixels of the entire image used in the AI segmentation method. A simple estimate shows that the AI segmentation method uses ~200 times more pixels in calculating the average grain size. This gives us confidence that the grain size and distribution we obtain using the AI segmentation method reflect the morphology shown in the images more truthfully.



Figure 3. (A) An unprocessed TA MAPbl₃ SEM image; (B) depicts the ASTM E112-13 line method for grain size determination; (C) shows masks for each grain generated by the AI segmentation model in the image; (D) The average grain diameters calculated using the AI segmentation model for the four types of MAPbl₃. The scale bar in (A)-(C) is 100 nm. TA: Thermal annealed; MAPbl₃: Methylammonium lead iodide; SEM: Scanning electron microscope; AI: Artificial intelligence.

As shown by the red circles in Figure 3C, the current segmentation model has some issues including double counting masks and poor grain edge detection. We validated and confirmed the accuracy of the model by first comparing the average grain diameters from the ASTM 112-13 method and our AI segmentation method. For example, in Figure 3, the grain size for this TA MAPbI₃ is 146 ± 6 nm from the ASTM E112-13 method and 152 ± 65 nm from the AI segmentation method, comparable within the standard deviation range. Note that the larger standard deviation for the AI segmentation method accurately reflects the size distribution when all grains in the image are used for analysis. Statistical values comparing the grain sizes calculated from multiple images using the ASTM E112-13 and AI segmentation methods for each annealing condition are available in Supplementary Table 4.

We also compared the model's output with an "ideally" segmented SEM image, which was obtained via an additional manual process to remove all overlapping masks. By removing overlapping masks and recalculating the grain size, the average diameter only differs by \pm 5% compared to the automatic AI segmentation method. Currently, our model works without any image pre-processing and minimal post-processing, which only removes extreme outliers such as small PbI₂ crystals. Further improvement on the AI segmentation method for grain size determination with pre- and post-processing features is the subject of future work.

The grain size distributions determined using the AI segmentation method for samples made using different annealing conditions are shown in Figure 3D and detailed in Table 1. By setting a lower threshold for masks, most PbI_2 crystals in PC 25 and PC 04 are filtered out and not confused with MAPbI₃ grains. Consistent with the crystallinity data from XRD and the results of a previous study on PC MAPbI₃^[7], the average grain size for photonic cured samples increases as the radiant energy delivered to the sample

increases. Generally, $MAPbI_3$ with larger crystal grain sizes corresponds to better PSC device performance as larger crystal grains reduce the likelihood of recombination events and trap states at the grain boundary, resulting in improved charge carrier lifetime and mobility^[39-42].

PSCs using optimally photonic cured MAPbl₃

Next, we compare PSC performance for *p-i-n* type devices made using TA and PC MAPbI₃ films. NiO_x is chosen as the base HTL. All PC PSCs used the optimized PC condition PC 25. In addition to the annealing method, the MAPbI₃/NiO_x interface is chemically modified. PSC *J-V* characteristics for all devices are shown in Figure 4 and Supplementary Table 5. The champion device *J-V* curves are shown in Figure 5.

Using NiO_x as the HTL, MAPbI₃ PSCs made by TA show PCEs of $10.3\% \pm 1.0\%$, while devices made by PC 25 show significantly worse *J*-*V* characteristics with an average PCE of $1.19\% \pm 0.75\%$. The PC PSCs primarily suffer in short-circuit current density (J_{sc}) with a still significant reduction to both open-circuit voltage (V_{oc}) and fill factor, as seen in Figure 4 between black and red. These results are very unexpected as the Fréchet distance calculated from the UV-vis absorption spectra taken on these devices [Supplementary Figure 6] is small, agreeing with the results of PC MAPbI₃ on ITO shown in Figure 1 and Table 1. Thus, the reduced *J*-*V* characteristics are not caused by the PC MAPbI₃ itself. Since our device structure is *p*-*i*-*n*, MAPbI₃ is deposited on the HTL, which undergoes the same processing conditions as the MAPbI₃. Consequently, there could be an unanticipated problem at the PC MAPbI₃/NiO_x interface.

Previous work showed that MAPbI₃ and NiO_x begin to react at temperatures as low as 120 °C with significant damage occurring as temperatures approach 180 °C under steady state heating^[23]. The reaction causes the decomposition of MAPbI, severely degrading overall PSC J-V characteristics, consistent with what we see in PSCs with PC MAPbI₃ on NiO_x $HTL^{[22]}$. As displayed in Table 1, the peak interface temperature for condition PC 25 simulated by SimPulse* reaches 464 °C, well above the threshold for MAPbI₃/NiO_x interaction. SimPulse[®] simulated temperature vs. time curve for PC 25 [Supplementary Figure 7] shows that the temperature at MAPbI₃/NiO_x interface stays above 120 °C for ~154 ms. PC involves non-equilibrium heating, so a direct comparison with TA using hot plates or ovens cannot be made. Nonetheless, we believe that these elevated temperatures and extended exposure are sufficient to cause the interfacial degradation using PC 25 as the processing condition for MAPbI₃ on top of NiO_{x} . We postulate that adding a barrier layer capable of withstanding high temperatures could buffer the MAPbI₃/ NiO_x interfacial reaction. Shutting off the undesired reaction could boost device J-V characteristics back to the level of regular TA counterparts. Previous works have suggested that using a buffer layer can serve as an effective barrier to improve performance and perverse the long-term stability of perovskite PSCs made with NiO, HTL^[26,43]. Another set of PC 25 devices using a NiO, HTL and a PbI, buffer layer were fabricated. As Figures 4 and 5 show, the PbI, buffer layer did produce a moderate improvement in device PCE, jumping from $1.19\% \pm 0.75\%$ to $5.43\% \pm 0.43\%$, but still falling far short of the TA MAPbI₃/NiO_x reference. These results give credence to the idea that the issue of decreased *J*-*V* characteristics and device PCE is not caused by the quality of the PC 25 MAPbI₃ but more so due to the detrimental interaction of MAPbI₃ and NiO_x at high temperatures.

Next, we investigated a more recently studied HTL/buffer layer, MeO-2PACz. Depositing MeO-2PACz on top of the NiO_x HTL and processing MAPbI₃ using PC 25 produce PSC devices with PCEs slightly better than the TA MAPbI₃/NiO_x reference [Figures 4 and 5, Supplementary Table 5]. Photonic cured PSCs with NiO_x HTL + MeO-2PACz show a J_{sc} of 16.5 mA/cm², closing in on the 17.2 mA/cm² we report for the TA MAPbI₃/NiO_x in Supplementary Table 5. The V_{oc} and fill factor for the PC 25 sample are boosted slightly compared to the TA device. To verify that PC 25 is the optimal PC condition for MAPbI₃ processing, we



Figure 4. Box plots for the statistical analysis of *J*-*V* characteristics for all PSC devices produced with different combinations of HTLs and annealing conditions. All PSCs contain NiO_x as the base-HTL. Plot labels contain the MAPbl₃ annealing type, and any addition of HTL buffer layer. MeO-2PACz is abbreviated as SAM in this plot's labels. PSC: Perovskite solar cell; HTLs: Hole transport layers; MAPbl₃: Methylammonium lead iodide; SAM: Self-assembled monolayer.



Figure 5. Champion forward and reverse *J*-*V* scans for devices produced with different HTL combinations and different MAPbl₃ annealing conditions. MeO-2PACz is abbreviated as SAM in this plot's labels. HTL: Hole transport layer; MAPbl₃: Methylammonium lead iodide; SAM: Self-assembled monolayer.

compared PSCs fabricated on NiO_x HTL + MeO-2PACz using PC 03, PC 25, and PC 04. The *J-V* parameters

[Supplementary Figure 8] show that PSC fabricated on $NiO_x + MeO-2PACz$ using PC 25 performed better than those made using other PC conditions. The results for TA devices fabricated on NiO_x HTL + MeO-2PACz are also included.

CONCLUSIONS

In this work, we demonstrate that UV-vis absorbance can function as an effective metric for training a BO-GP model to predict optimal PC conditions for making high-quality MAPbI₃ films. We identified an optimal MAPbI₃ PC condition (PC 25), which produces a UV-vis spectrum closely matching that of the TA MAPbI₃, achieving similarity metric values significantly better than other PC conditions. Material characterization shows that PC 25 produces smooth MAPbI₃ films with large grains and high crystallinity. Additionally, we used an AI-based segmentation model to determine grain size from SEM images, offering a quick and more effective analysis alternative to the standard ASTM E112-13 line intercept method. As a final test, we present *p-i-n* PSC results using NiO_x as the HTL. Despite the high quality of MAPbI₃ films made using PC 25, the PSC performance is degraded due to MAPbI₃/NiO_x interfacial reaction from PC processing. Inserting a buffer layer of MeO-2PACz brings average PCEs comparable to that of PSCs made with TA MAPbI₃. These results indicate the importance of a high-quality perovskite active layer and possible interactions between the active layer and charge transport layers that cannot be predicted by the quality of perovskite films alone. When developing a ML framework for process optimization, careful consideration of device architecture and adjacent materials is needed to define the objective for the BO-GP models.

DECLARATIONS

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Authors' contributions

Developed the project idea: Allen CR, Lee M, Hsu JWP Performed most experimental work including ML modeling, UV-vis testing/measurements, grain size analysis, and PSC fabrication: Allen CR Performed most advanced material characterization work including AFM, XRD, and SEM, and assisted with PSC fabrication: Bhandari B Oversaw experimental work and ML modeling: Xu W, Lee M, Hsu JWP Wrote the first draft of the manuscript: Allen CR, Xu W Revised and finalized the manuscript: Allen CR, Bhandari B, Xu W, Lee M, Hsu JWP

Availability of data and materials

Data and codes for this paper are available on GitHub (https://github.com/UTD-Hsu-Lab/MAPI-JMI).

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Conflicts of interest

All authors declared that there are no conflicts of interest.

Ethical approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

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