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# Did we miss something important? Rethinking the effects of autophagy and mitophagy on DNA damage repair and genomic stability

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**How to cite this article:** Cheng SM, Chang YC, Lin TY, Leung E, Coumar MS, Cheung CHA. Did we miss something important? Rethinking the effects of autophagy and mitophagy on DNA damage repair and genomic stability. *J Cancer Metastasis Treat.* 2025;11:16. <https://dx.doi.org/10.20517/2394-4722.2025.33>

**Received:** 5 Mar 2025 **First Decision:** 4 Jun 2025 **Revised:** 19 Jun 2025 **Accepted:** 11 Jul 2025 **Published:** 18 Jul 2025

**Academic Editor:** Ciro Isidoro **Copy Editor:** Ping Zhang **Production Editor:** Ping Zhang

## Abstract

Autophagy, a cellular recycling process, plays a key role in maintaining genomic stability and regulating DNA damage repair. However, recent studies have challenged this consensus, suggesting that upregulation of autophagy may induce DNA damage and contribute to genomic instability. Notably, several investigations have demonstrated that autophagy-mediated DNA damage can occur through mechanisms involving the production of reactive oxygen species (ROS). Despite these findings, many questions remain unresolved regarding the controversial DNA-damaging effects of autophagy and its potential role in promoting genomic instability and intratumoral heterogeneity. A more comprehensive understanding of the mechanisms and implications of “autophagy-mediated DNA damage” will offer crucial insights into the development and progression of various diseases from different perspectives. A deeper insight into autophagy mechanisms will also help identify potential adverse effects of



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autophagy-targeted interventions and clarify the molecular basis of side effects observed in various therapies in the future.

**Keywords:** Autophagy, BIRC5, DNA damage repair, genomic instability, mitophagy, ROS

## INTRODUCTION

Macroautophagy (hereafter referred to as autophagy) is an evolutionarily conserved process that regulates cellular homeostasis by degrading damaged intracellular components and misfolded proteins through the formation of autophagosomes and autolysosomes<sup>[1,2]</sup>. Selective autophagy is a subcategory of autophagy where specific cargoes, such as damaged organelles, are targeted for degradation. For instance, mitophagy is a selective form of autophagy that degrades mitochondria, whereas ER-phagy targets the endoplasmic reticulum for degradation in cells<sup>[3]</sup>. By breaking down ATP-enriched organelles, autophagy is also crucial for maintaining adequate ATP levels required for cell survival, particularly during nutrient stress. However, excessive autophagy can trigger cell death through mechanisms such as apoptosis and ferroptosis<sup>[4,5]</sup>. Thus, autophagy is widely recognized as a double-edged sword because its increased activity can promote either cell survival or cell death, depending on the cellular state and treatment conditions<sup>[6,7]</sup>.

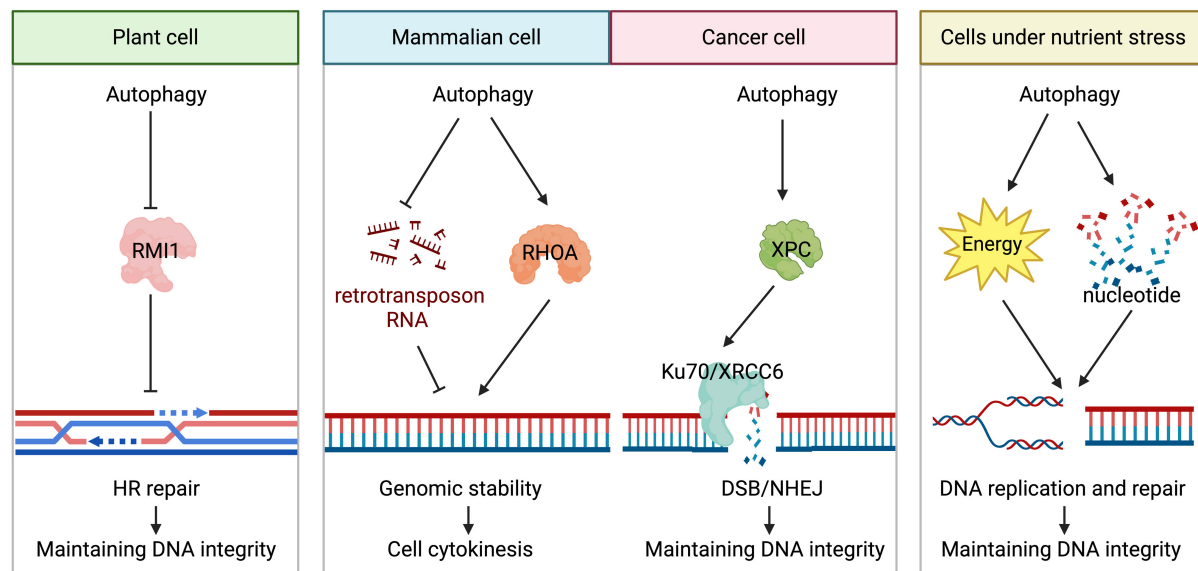
Dysregulation of autophagy (macroautophagy and selective autophagy) has been implicated in the pathogenesis of numerous disorders, such as cancers and Alzheimer's disease<sup>[8,9]</sup>. Consequently, therapeutic strategies targeting autophagic modulation are being actively pursued to counteract disease progression and restore systemic health<sup>[9-11]</sup>. Therefore, understanding the regulation and effects of autophagy is essential for advancing biological knowledge and informing clinical strategies<sup>[12,13]</sup>.

## CURRENT CONSENSUS

### Autophagy supports DNA damage repair and genomic stability

Most scientists believe that autophagy (and its upregulation) plays a key and positive role in DNA damage repair, redox homeostasis, and the maintenance of genomic stability<sup>[14-18]</sup>. Extensive evidence supports the involvement of autophagy in nucleotide excision repair (NER), base excision repair (BER), non-homologous end joining (NHEJ), and homologous recombination (HR) DNA repair pathways [Figure 1]. In mammalian cells, NER is the primary pathway for excising helix-distorting base lesions induced by UV and environmental carcinogens. In contrast, NHEJ and HR are used by cells to repair DNA double-strand breaks (DSBs). Evidence indicates that autophagy positively regulates the expression of xeroderma pigmentosum complementation group C (XPC), a DNA damage sensor involved in the early steps of global genome NER<sup>[19]</sup>, and its activation promotes the recruitment of Ku70 (XRCC6) to the DSB sites in cancer cells<sup>[20]</sup>. The binding of XRCC6 onto DSB sites is crucial for the recruitment of other components essential for NHEJ repair. Furthermore, autophagy regulates the phosphorylation of checkpoint kinase 1 (CHEK1/Chk1) to promote the initiation of the HR-mediated DNA damage repair process<sup>[21]</sup>. Autophagy also contributes to genomic stability by degrading retrotransposon RNA<sup>[22]</sup> and by regulating the expression of Ras homolog family member A (RHOA) in mammalian cells<sup>[23]</sup>. RHOA acts as an autophagic substrate, and autophagy is essential for maintaining the appropriate level of active RHOA during cell cytokinesis<sup>[23]</sup>. Autophagy deficiency increases the frequency of centrosome abnormalities<sup>[24]</sup>.

In plants, the autophagic degradation of RecQ-mediated genome instability protein 1 (RMI1), a component of the BTR complex responsible for double Holliday junction dissolution, promotes the HR repair of DNA inter-strand cross-links. Impaired autophagy inhibits the HR repair of DNA inter-strand cross-links and increases plant sensitivity to DNA damage<sup>[25]</sup>.



**Figure 1.** An illustration of the positive role of autophagy in DNA damage repair and maintenance of genomic stability. This figure highlights a portion of the process by which autophagy supports DNA damage repair and preserves genomic stability. The “→” represents “positive regulation/generation” and the “-|” represents “negative regulation/degradation”.

## CONTROVERSIES

### CTSS/BIRC5-regulated autophagy-mediated DNA damage

Although autophagy is commonly activated in response to DNA damage to promote DNA damage repair, recent findings from our group and others have demonstrated that autophagy upregulation (or excessive autophagy) can induce DNA damage and genomic instability in human cancer cells and mouse embryonic fibroblasts under certain circumstances. An early study by Huang *et al.* showed that inhibiting cathepsin S (CTSS) by the pharmacological inhibitor 6r (an  $\alpha$ -ketoamide-based compound) and ZFL (Z-FL-COCHO) activates autophagy and promotes intracellular ROS production in the human HONE-1 nasopharyngeal carcinoma cells<sup>[26,27]</sup>. They further demonstrated that inhibiting autophagy by inhibitors (wortmannin, 3-MA), or by siRNAs targeting key autophagy-involved molecules (ATG5, ATG7, and LC3), attenuates the ROS production and the DNA-damaging effect caused by 6r in cells<sup>[27]</sup>. Mechanistically, the 6r-induced autophagy triggers ROS generation via the upregulation of xanthine oxidase (XO), an enzyme involved in purine degradation that generates ROS as a byproduct during the conversion of hypoxanthine to uric acid<sup>[27]</sup>. It has been proposed that the mitochondrial ROS-induced ROS-release (RIRR) and the mitochondrion-to-mitochondrion RIRR spread phenomenon act as a positive feedback mechanism for enhanced ROS production, potentially leading to severe cytoplasmic and nucleic damage<sup>[28-31]</sup>. Interestingly, ROS generation through the 6r-autophagy-XO axis has been shown to induce subsequent mitochondrial damage and trigger a mitochondria-dependent secondary oxidative burst in cells<sup>[32]</sup>.

Our recent discovery provides further evidence supporting the DNA-damaging effect of autophagy. We have identified survivin (Baculoviral IAP Repeat Containing 5, BIRC5) as a novel autophagy suppressor, demonstrating that its downregulation enhances autophagy and induces genomic instability, partly via an autophagy-dependent mechanism. Despite BIRC5's established role as an inhibitor of apoptosis<sup>[33-35]</sup>, our finding shows that the small molecule BIRC5 inhibitor, YM155 (suppressing the transcription of the *BIRC5* gene), induces apoptosis-independent yet autophagy-dependent DNA damage and cell death in human breast cancer cells. We demonstrated that inhibiting autophagy with pharmacological inhibitors (3-MA, chloroquine, and bafilomycin A1) or by *LC3*-siRNA significantly attenuates the pro-DNA-damaging effect

of YM155 in various human breast cancer cell lines *in vitro*, including estrogen receptor-positive MCF7 breast cancer cells, tamoxifen-resistant MCF7-TamC3 and MCF7-TamR7, and the triple-negative MDA-MB-231<sup>[36]</sup>. Our findings also showed that BIRC5 downregulation promotes autophagy-dependent ROS production, which in turn leads to ROS-dependent DNA damage and strand breaks in human breast cancer and mouse embryonic fibroblast cells<sup>[37,38]</sup>. Despite the controversy, these findings challenge the current consensus that autophagy solely plays a protective role in DNA strand integrity and genomic stability.

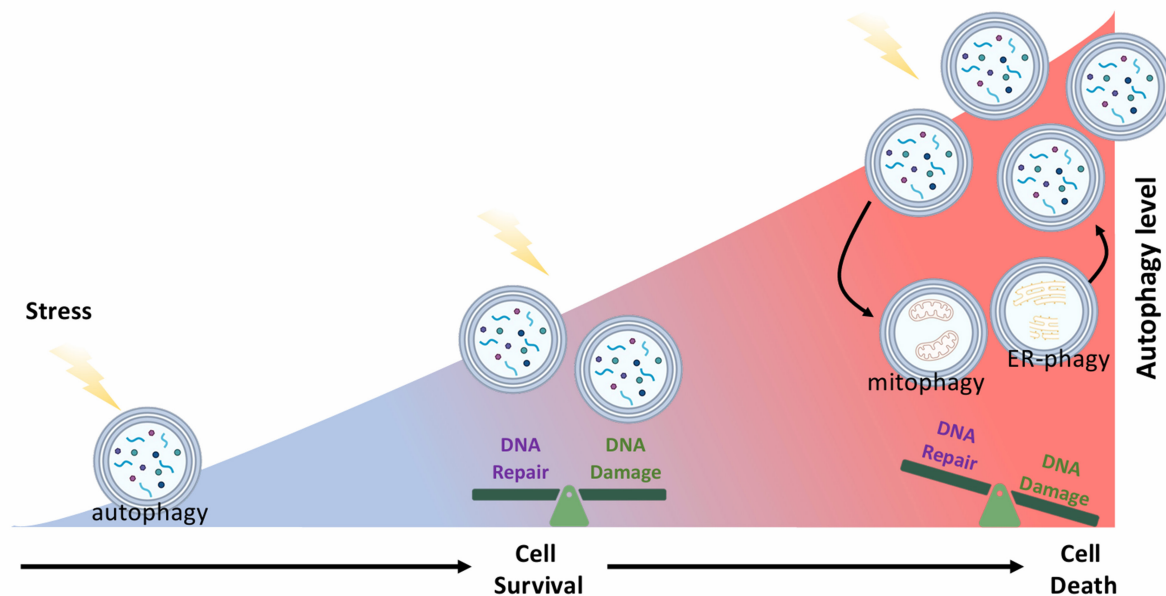
### Radiation-induced mitophagy-mediated DNA damage

Mild and transient oxidative stress initiates mitochondrial dysfunction. While the removal of dysfunctional and damaged mitochondria via mitophagy is generally considered beneficial for cell survival<sup>[39-42]</sup>, evidence also suggests that mitophagy can promote DNA damage and cell death under specific conditions<sup>[43-45]</sup>. Ren *et al.* reported that ionizing radiation induces ROS production and mitophagy in human PANC-1 and SW1990 pancreatic cancer cells<sup>[44]</sup>. Although the induction of ROS and mitophagy by ionizing radiation is widely observed across various cell types<sup>[46,47]</sup>, their study importantly demonstrated that ionizing radiation specifically activates parkin (PRKN)/BNIP3-mediated mitophagy, thereby exacerbating DNA damage in PANC-1 and SW1990 cancer cells. The authors further showed that siRNA-mediated downregulation of PRKN and BNIP3 attenuates both the DNA-damaging and pro-cell death effects of ionizing radiation in the same cancer cell lines<sup>[44]</sup>. They also demonstrated that pharmacological activation of mitophagy with carbonyl cyanide 3-chlorophenylhydrazone (CCCP) and valproic acid (VPA) enhances ionizing radiation-induced DNA damage in cells *in vitro*<sup>[44]</sup>. The same group subsequently showed mouse B16 and S91 melanoma cells with PRKN downregulation exhibit decreased sensitivity to ionizing radiation, whereas PRKN-overexpressing B16 and S91 cells exhibit increased sensitivity compared to wild-type cells *in vivo*<sup>[44]</sup>.

Basit *et al.* provided further evidence for a ROS-producing effect of mitophagy, demonstrating that mitochondrial complex I inhibition promotes a mitophagy-dependent ROS increase in melanoma cells<sup>[45]</sup>. Their research showed that inhibiting the complex I of the mitochondrial respiratory chain by the hypoxia-inducible factor-1 (HIF-1) inhibitor, BAY 87-2243, induces autophagosome formation, mitophagy upregulation, and ROS production in v-raf murine sarcoma viral oncogene homolog B1 (BRAF)<sup>V600E</sup> G361 and SK-MEL-28 melanoma cells<sup>[45]</sup>. Moreover, using autophagy-related protein 5 (ATG5)-siRNA and PTEN-induced kinase 1 (*PINK1*)-siRNA as tools to study the effect of mitophagy, the same research group determined that mitophagy plays an important role in BAY 87-2243-induced ROS production and reduction in BRAF<sup>V600E</sup> melanoma cell viability<sup>[45]</sup>.

### OPEN QUESTIONS

Despite significant advancements in our understanding of autophagy biology over the past two decades, several fundamental questions persist. For instance, the precise mechanisms by which autophagy shifts from a pro-survival to a pro-death role under varying circumstances remain elusive. Does autophagy upregulation promote the survival of cells under various stresses while concurrently inducing “sub-lethal” DNA damage and genomic instability (i.e., at a level of damage that is insufficient to cause cell death) in cells? Can different autophagy subtypes (e.g., the general macroautophagy, mitophagy, and ER-phagy) be simultaneously induced, subsequently engage in dynamic interactions with each other and with different intracellular molecules to generate a “unique” type of “DNA-damaging” autophagy in cells under certain conditions [Figure 2]? On the other hand, sub-lethal genotoxicity and repetitive DNA damage repair are known drivers of permanent genomic mutations. DNA damage repair mechanisms, such as NHEJ, are inherently error-prone, often leading to the introduction of mutations following repair. Notably, even low levels of oxidative stress have been shown to induce clustered DNA lesions, leading to NHEJ-mediated gene



**Figure 2.** Differential effects of autophagy on DNA damage repair and genomic stability at different autophagy levels. Schematic diagram showing the possible simultaneous interplay between different autophagy subtypes and their spatiotemporal effects on DNA damage repair in cells. Different types of autophagy (e.g., macroautophagy, mitophagy, and ER-phagy) can be induced and interact with each other, as well as with the surrounding intracellular molecular networks, resulting in differential effects on DNA damage repair and genomic stability, at different stages in cells under nutrient stress.

mutations in cells<sup>[48]</sup>. Could repetitive sub-lethal autophagy be one of the contributing causes of gene mutations, consequently promoting the generation of intratumoral heterogeneity? These questions are highly relevant given that cancer cells, particularly those situated in poorly vascularized regions of a tumor, frequently experience intermittent sub-lethal nutrient stress, a known activator for autophagy.

## FUTURE DIRECTIONS

As previously discussed<sup>[49]</sup>, the impact of autophagy on genome stability and cell viability likely varies with its activity levels [Figure 2]. The dynamic interplay between different autophagy subtypes further complicates our understanding of their diverse roles in DNA damage repair. Consequently, simply measuring the end effect of autophagy (such as cell survival and cell death; the presence or absence of DNA damage) is insufficient. Future investigations require a series of carefully designed “multiple time points” and “multiple treatment-amplitude” experiments. This approach will enable a comprehensive analysis of the dynamic and spatiotemporal effects of autophagy, particularly the contributions of sub-lethal autophagy in cells. To determine whether sub-lethal autophagy and the associated DNA damage promote intratumoral heterogeneity, advanced methodologies such as single-cell sequencing or spatial transcriptomics are required.

A more comprehensive understanding of the mechanisms and implications of this “autophagy-mediated sub-lethal DNA damage” will offer crucial new insights into the development and progression of various diseases in the future. On the other hand, the development of autophagy modulators as therapeutics is a rapidly advancing research area<sup>[50-52]</sup>. A deeper insight into autophagy mechanisms will help identify potential adverse effects of autophagy-targeted interventions and clarify the molecular basis of side effects observed in various therapies.

## DECLARATIONS

### Authors' contributions

Material preparation, conceptualization, visualization, writing - original draft: Cheng SM, Chang YC

Conceptualization: Lin TY, Coumar MS

Conceptualization and writing - original draft and proofreading: Leung E

Material preparation, conceptualization, funding acquisition, supervision, writing - original draft and proofreading: Cheung CHA

### Availability of data and materials

Not applicable.

### Financial support and sponsorship

This work was supported by the National Science and Technology Council (NSTC 113-2320-B-006-016), Taiwan.

### Conflicts of interest

Cheung CHA is an Editorial Board member of *Journal of Cancer Metastasis and Treatment*. Cheung CHA was not involved in any steps of editorial processing, notably including reviewer selection, manuscript handling, or decision making. The other authors declared that there are no conflicts of interest.

### Ethical approval and consent to participate

Not applicable.

### Consent for publication

Not applicable.

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